NOTICE

NamUs Solicitation Changes:

The closing date for this solicitation has been changed from March 4, 2011 to April 4, 2011.

Also, the following section has been inserted on page 5.

Important Note:

All applications to the NamUs solicitation should include in-house or contract system developer support for any NamUs system development or NamUs system upgrades that may be needed during the period of performance.

The NamUs system is currently supported utilizing: Cisco ASA 5505 Firewall, Redhat, Ruby on Rails, My SQL, Apache, as well as the internet.

Please see: <u>http://www.ojp.usdoj.gov/nij/funding/2011/namus-faqs.htm</u> for Frequently Asked Questions and additional NamUs solicitation information.

U.S. Department of Justice

Office of Justice Programs

National Institute of Justice

OMB No. 1121-0329



The <u>U.S. Department of Justice</u> (DOJ), <u>Office of Justice Programs</u> (OJP), <u>National Institute of Justice</u> (NIJ) is pleased to announce that it is seeking applications for funding under the National Missing and Unidentified Persons System (NamUs) Program. NamUs responds to the need to assist State and local law enforcement, medical examiners and coroners, allied professionals, and the general public with resolving missing and unidentified persons cases. This program furthers the Department's mission by sponsoring research to provide objective, independent, evidence-based knowledge and tools to meet the challenges of crime and justice, particularly at the State and local levels.

Solicitation: National Missing and Unidentified Persons System (NamUs)

Eligibility

Eligible applicants include States (including territories), units of local government (including federally recognized Indian tribal governments as determined by the Secretary of the Interior), nonprofit organizations (including tribal nonprofit organizations), and institutions of higher education (including tribal institutions of higher education) to manage the National Missing and Unidentified Persons System.

Deadline

Registration with <u>Grants.gov</u> is required prior to application submission. (See "How to Apply," page 8.)

All applications are due by 11:59 p.m. eastern time on April 4, 2011. (See "Deadlines: Registration and Application," page 3.)

Note: A Teleconference call for applicants and prospective applicants will be held on January 19, 2011, at 1:00 p.m. eastern standard time. The telephone numbers to participate are 202–353–0880 in the Washington, D.C., metropolitan area or the toll-free number 1–800–521–6079. The pass code to join the teleconference is 1561.

Contact Information

For technical assistance with submitting the application, contact the Grants.gov Customer Support Hotline at 800–518–4726 or via e-mail to <u>support@grants.gov</u>.

Note: The <u>Grants.gov</u> Support Hotline hours of operation are 24 hours a day, 7 days a week, except Federal holidays.

For assistance with any other requirement of this solicitation, contact Charles Heurich, Program Manager, at 202–616–9264 or by e-mail to Charles.Heurich@usdoj.gov.

Grants.gov number assigned to announcement: NIJ-2011-2809

SL# 000951

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National Missing and Unidentified Persons System (NamUs) CFDA 16.560

Overview

With this solicitation, NIJ seeks applications for funding for the National Missing and Unidentified Persons System (NamUs) Program. This program furthers the Department's mission by responding to the need to assist State and local law enforcement, medical examiners and coroners, allied professionals, and the general public with resolving missing and unidentified persons cases.

Currently in the United States, thousands of people are searching for those who are missing. NamUs was developed to provide national assistance in this search, for those living and deceased, in hopes of resolving these cases. NIJ seeks proposals from eligible candidates to partner with NIJ to administer and manage NamUs, support ongoing NamUs programs, continue national outreach efforts, provide national assistance, and oversee the forensic services provided through NamUs.

Authorizing Legislation: Title I of the Omnibus Crime Control and Safe Streets Act of 1968 (sections 201 and 202); Homeland Security Act of 2002 (section 232).

Deadlines: Registration and Application

Registration is required prior to submission. OJP strongly encourages registering with Grants.gov several weeks before the deadline for application submission. The deadline for applying for funding under this announcement is 11:59 p.m. eastern time on April 4, 2011. Please see the "How to Apply" section, page 8, for more details.

Note: A Teleconference call for applicants and prospective applicants will be held on January 19, 2011, at 1:00 p.m. eastern standard time. The telephone numbers to participate are 202–353–0880 in the Washington, D.C., metropolitan area or the toll-free number 1–800–521–6079. The pass code to join the teleconference is 1561.

Eligibility

Please refer to the title page for eligibility under this program.

Program-Specific Information—National Missing and Unidentified Persons System (NamUs)

Background

NamUs was created to respond to an overwhelming need for a central reporting system for unidentified human remains cases. In the Spring of 2005, NIJ assembled Federal, State, and local law enforcement officials, medical examiners and coroners, forensic scientists, key policymakers, and victim advocates for a national strategy meeting in Philadelphia called the "Identifying the Missing Summit." Shortly after this Summit, the work to develop NamUs began.

NamUs is the first national online repository for missing persons and unidentified dead cases. NamUs brings together two distinct data bases—the Unidentified Decedent System and the Missing Persons System—to provide a powerful tool for creating matches between them. NamUs is searchable and accessible by everyone including the general public, the law enforcement community, medical examiners and coroners, victim advocates, and others. NamUs can be used to search and track cases, print missing persons posters, find resources, and even map out travel routes in an effort to locate a missing person. Both systems provide access to free DNA testing and forensic services such as anthropologists and odontologists to allied professionals (e.g., law enforcement agencies, medical examiners, coroners, and the general public as appropriate).

In 2009 NamUs became a fully searchable system. NamUs will now search cases in the missing persons database against cases in the unidentified decedents database in an effort to identify unidentified human remains and solve missing persons cases.

A census by the Bureau of Justice Statistics (BJS) demonstrated the magnitude of the number of unidentified decedents nationwide. A Special Report, entitled *Medical Examiners and Coroners' Offices, 2004* (available at <u>bjs.ojp.usdoj.gov/content/pub/pdf/meco04.pdf</u>) stated that over 4,000 unidentified human decedents are reported each year by medical examiners and coroners, and of those, an estimated 1,000 remain unidentified 1 year later and become "cold cases." As of 2004, almost 13,500 unidentified human decedents were on record. The true number may actually be higher, as this census did not include unidentified human remains that are stored in other locations, such as law enforcement agencies. Experts refer to this national crisis as a "mass disaster over time" ("Missing Persons and Unidentified Remains: The Nation's Silent Mass Disaster." *NIJ Journal No. 256*. January 2007). (Also see the BJS Fact Sheet *Unidentified Human Remains in the United States, 1980–2004,* available at <u>bjs.ojp.usdoj.gov/content/pub/pdf/uhrus04.pdf</u>.)

More detailed information about NamUs and the missing and unidentified persons databases can be viewed at <u>www.namus.gov</u> and <u>www.namus.gov/about.htm</u>.

Goals, Objectives, and Deliverables

NIJ is seeking applicants to manage the NamUs program and provide the following tasks:

- (1) Assist stakeholders within the missing persons and/or unidentified human remains communities by entering data, locating data, and upgrading existing data in the NamUs system in support of the efforts of States and units of local government to identify missing persons and unidentified remains.
- (2) Provide forensic services to eligible stakeholders, including but not limited to: acquisition and analysis of DNA, coordination/collection of family reference samples, anthropological and odontological review and evaluation, and fingerprint examination.
- (3) When appropriate, have the resulting DNA profiles entered into the FBI's National DNA Index System using the Combined DNA Index System (CODIS) version 6.0.

- (4) Provide ongoing day-to-day management by overseeing the NamUs system, its personnel, and the overall program.
- (5) Provide quality assurance and quality control over the NamUs system data.
- (6) Develop and sustain national outreach and training efforts by:
 - a. Coordinating training and outreach presentations at national and regional conferences.
 - b. Making contacts within the law enforcement, medical examiners, and coroners communities to increase user awareness.
- (7) Track and analyze quantitative matrices to demonstrate the national impact of NamUs by providing summaries of the collected analytics.
- (8) Work closely with NIJ to further expand the offerings that NamUs can provide by working in partnership with NIJ to expand applications as NamUs continues to mature and expand.
- (9) Work closely with the Federal Bureau of Investigation's Criminal Justice Information Services unit (CJIS). Through an Interagency Agreement, CJIS has agreed to house and maintain the information technology (IT) portion of NamUs. The details of this agreement have not yet been finalized.

Important Note:

All applications to the NamUs solicitation should include in-house or contract system developer support for any NamUs system development or NamUs system upgrades that may be needed during the period of performance.

The NamUs system is currently supported utilizing: Cisco ASA 5505 Firewall, Redhat, Ruby on Rails, My SQL, Apache, as well as the internet.

Please see: <u>http://www.ojp.usdoj.gov/nij/funding/2011/namus-faqs.htm</u> for Frequently Asked Questions and additional NamUs solicitation information.

Amount and length of awards: NIJ anticipates that up to a total of \$2,500,000 may become available for one (1) award made through this solicitation. All NIJ awards are subject to the availability of appropriated funds and to any modifications or additional requirements that may be imposed by law. NIJ expects to make one award for FY 2011, depending on funds available, the number of high-quality applications, and other pertinent factors. Subject to the availability of future funds, between \$2 million and \$3 million may be made available on an annual basis for a 12-month supplement to an award made from this solicitation. NIJ cannot guarantee, however, that any such supplements will be funded. Such additional funding depends on, among other things, NIJ resources, strategic priorities, and satisfactory completion of each phase, stage, or task associated with the award.

Applicants should be aware that the total period of an award, including one that receives additional funding, ordinarily will not exceed 3 years.

Please note: All applicants under this solicitation must comply with Department of Justice regulations on confidentiality and human subjects' protection. See "Other Requirements for OJP Applications" at <u>www.ojp.usdoj.gov/funding/other_requirements.htm</u>.

What will not be funded:

Proposals <u>primarily</u> to purchase equipment, materials, or supplies. (The budget may include these items if they are necessary to manage the program.

- 1. Work that will be funded under another specific solicitation.
- 2. Vehicles.
- 3. Construction.
- 4. Renovation.
- 5. Rental costs for space.

Budget Information

Limitation on Use of Award Funds for Employee Compensation; Waiver: With respect to any award of more than \$250,000 made under this solicitation, Federal funds may not be used to pay total cash compensation (salary plus bonuses) to any employee of the award recipient at a rate that exceeds 110% of the maximum annual salary payable to a member of the Federal Government's Senior Executive Service (SES) at an agency with a Certified SES Performance Appraisal System for that year. (The 2011 salary table for SES employees is available at <u>www.opm.gov/oca/11tables/indexSES.asp</u>.) Note: A recipient may compensate an employee at a higher rate, provided the amount in excess of this compensation limitation is paid with non-Federal funds. (Any such additional compensation will not be considered matching funds where match requirements apply.)

The limitation on compensation rates allowable under an award may be waived on an individual basis at the discretion of the Assistant Attorney General of the Office of Justice Programs. An applicant that wishes to request a waiver must include a detailed justification in the budget narrative of its application. Unless the applicant submits a waiver request and justification with the application, the applicant should anticipate that OJP will request the applicant to adjust and resubmit its budget.

The justification should include: the particular qualifications and expertise of the individual, the uniqueness of the service being provided, the individual's specific knowledge of the program or project being undertaken with award funds, and a statement explaining that the individual's salary is commensurate with the regular and customary rate for an individual with his/her qualifications and expertise, and for the work that is to be done.

Match Requirement: See "Cofunding" paragraph under "What An Application Should Include" (below).

Performance Measures

To assist in fulfilling the Department's responsibilities under the Government Performance and Results Act (GPRA), Public Law 103-62, applicants that receive funding under this solicitation must provide data that measure the results of their work. Any award recipient will be required, post award, to provide the data requested in the "Data Grantee Provides" column so that OJP can calculate values for the "Performance Measures" column. Performance measures for this solicitation are as follows:

Objective	Performance Measure(s)	Data Grantee Provides
To manage NamUs in partnership with NIJ to identify, review, and enter missing and unidentified persons cases into the NamUs system with relevant stakeholders; to maximize the use of forensic services to assist in solving these cases; and to provide national outreach to maximize potential user awareness.	 Percent increase in the number of missing and unidentified persons cases entered into the NamUs system. Percent increase in the number of users registered in the NamUs system. Percent of cases where forensic service information (anthropology, dental, DNA, and fingerprints) was entered into the system. Percent increase in the number of DNA profiles and/or family reference samples entered into CODIS 6.0. Percent of cases resolved by use of the NamUs system. 	 The number of new missing persons cases entered into NamUs. Number of existing missing persons cases in NamUs. The number of new unidentified persons cases entered into NamUs. Number of existing unidentified persons cases in NamUs. Number of new users registered in the NamUs system. Number of users currently registered in the NamUs system. Number of cases where forensic service information (anthropology, dental, DNA, and fingerprints) was utilized and entered into the NamUs system. Number of cases currently reference samples entered into the system. Number of cases currently entered into the system. Number of cases currently entered into CODIS 6.0. Number of cases resolved due to NamUs assistance. Number of cases reviewed.

Submission of performance measures data is not required for the application. Instead, applicants should discuss in their applications their proposed methods for collecting data for performance measures. Please refer to the section "What an Application Should Include" (below) for additional information.

Note on project evaluations: Applicants that propose to use funds awarded through this solicitation to conduct project evaluations should be aware that certain project evaluations (such as systematic investigations designed to develop or contribute to generalizable knowledge) may constitute "research" for purposes of applicable DOJ human subjects protections. However, project evaluations that are intended only to generate internal improvements to a program or service, or are conducted only to meet OJP's performance measure data reporting requirements, likely do not constitute "research." Applicants should provide sufficient information for OJP to determine whether the particular project they propose would either intentionally or unintentionally collect and/or use information in such a way that it meets the DOJ regulatory definition of research.

Research, for the purposes of human subjects protections for OJP-funded programs, is defined as, "a systematic investigation, including research development, testing, and evaluation, designed to develop or contribute to generalizable knowledge." 28 C.F.R. § 46.102(d). For additional information on determining whether a proposed activity would constitute research, see the decision tree to assist applicants on the "Research and the Protection of Human Subjects" section of the OJP "Other Requirements for OJP Applications" Web page (www.ojp.usdoj.gov/funding/other_requirements.htm). Applicants whose proposals may involve a research or statistical component also should review the "Confidentiality" section on that Web page.

Notice of New Post-Award Reporting Requirements

Applicants should anticipate that all recipients (other than individuals) of awards of \$25,000 or more under this solicitation, consistent with the Federal Funding Accountability and Transparency Act of 2006 (FFATA), will be required to report award information on any first-tier subawards totaling \$25,000 or more, and, in certain cases, to report information on the names and total compensation of the five most highly compensated executives of the recipient and first-tier subrecipients. Each applicant entity must ensure that it has the necessary processes and systems in place to comply with the reporting requirements should it receive funding. Reports regarding subawards will be made through the FFATA Subaward Reporting System (FSRS), found at <u>www.fsrs.gov</u>.

Please note also that applicants should anticipate that no subaward of an award made under this solicitation may be made to a subrecipient (other than an individual) unless the potential subrecipient acquires and provides a Data Universal Numbering System (DUNS) number.

How to Apply

Applications will be submitted through Grants.gov. Grants.gov is a "one-stop storefront" that provides a unified process for all customers of Federal awards to find funding opportunities and apply for funding. Complete instructions on how to register and submit an application can be found at <u>www.Grants.gov</u>. If the applicant experiences technical difficulties at any point during this process, please call the Grants.gov Customer Support Hotline at **800–518–4726**, 24 hours a day, 7 days a week, except Federal holidays. Registering with Grants.gov is a one-time process; however, **processing delays may occur**, and it can take up to several weeks for first-time registrants to receive confirmation and a user password. OJP highly recommends that applicants start the registration process as early as possible to prevent delays in submitting an application package by the specified application deadline.

All applicants are required to complete the following steps:

1. Acquire a DUNS number. A DUNS number is required for <u>Grants.gov</u> registration. In general, the Office of Management and Budget requires that all applicants (other than individuals) for Federal funds include a DUNS (Data Universal Numbering System) number in their applications for a new award or renewal of an existing award. A DUNS number is a unique nine-digit sequence recognized as the universal standard for identifying and keeping track of entities receiving Federal funds. The identifier is used for tracking purposes and to validate address and point-of-contact information for Federal assistance applicants, recipients, and subrecipients. The DUNS number will be used throughout the grant life cycle. Obtaining a DUNS number is a free, one-time activity.

Obtain a DUNS number by calling Dun and Bradstreet at 866–705–5711 or by applying online at <u>www.dnb.com</u>. Individuals are exempt from this requirement.

- 2. Acquire or renew registration with the Central Contractor Registration (CCR) database. OJP requires that all applicants (other than individuals) for Federal financial assistance maintain current registrations in the Central Contractor Registration (CCR) database. An applicant must be registered in the CCR to successfully register in Grants.gov. The CCR database is the repository for standard information about Federal financial assistance applicants, recipients, and subrecipients. Organizations that have previously submitted applications via Grants.gov are already registered with CCR, as it is a requirement for Grants.gov registration. Please note, however, that applicants must update or renew their CCR registration annually to maintain an active status. Information about CCR registration procedures can be accessed at <u>www.ccr.gov</u>.
- 3. Acquire an Authorized Organization Representative (AOR) and a Grants.gov username and password. Complete the AOR profile on Grants.gov and create a username and password. The applicant organization's DUNS Number must be used to complete this step. For more information about the registration process, go to <u>www.grants.gov/applicants/get_registered.jsp</u>.
- 4. Acquire confirmation for the AOR from the E-Business Point of Contact (E-Biz POC). The E-Biz POC at the applicant organization must log into Grants.gov to confirm the applicant organization's AOR. Please note that there can be more than one AOR for the organization.
- 5. Search for the funding opportunity on Grants.gov. Please use the following identifying information when searching for the funding opportunity on Grants.gov. The Catalog of Federal Domestic Assistance (CFDA) number for this solicitation is 16.560, titled "National Institute of Justice Research, Evaluation, and Development Project Grants," and the funding opportunity number is NIJ–2011–2809.
- 6. Submit an application consistent with this solicitation by following the directions in Grants.gov. Within 24–48 hours after submitting the electronic application, the applicant should receive an e-mail validation message from Grants.gov. The validation message will state whether the application has been received and validated, or rejected, with an explanation. <u>Important:</u> Applicants are urged to submit applications at least 72 hours prior to the due date of the application to allow time to receive the validation message and to correct any problems that may have caused a rejection notification.

Note: Grants.gov will forward the application to OJP's Grants Management System (GMS). GMS does not accept executable file types as application attachments. These disallowed file types include, but are not limited to, the following extensions: ".com," ".bat," ".exe," ".vbs," ".cfg," ".dat," ".dbf," ".dll," ".ini," ".log," ".ora," ".sys," and ".zip."

Experiencing Unforeseen Grants.gov Technical Issues

If an applicant experiences unforeseen Grants.gov technical issues beyond the applicant's control that prevent submission of its application by the deadline, the applicant must contact NIJ staff **within 24 hours after the deadline** and request approval to submit its application. At that time, NIJ staff will instruct the applicant to submit specific information detailing the technical

difficulties. The applicant must e-mail: a description of the technical difficulties, a timeline of submission efforts, the complete grant application, the applicant DUNS number, and Grants.gov Help Desk tracking number(s) received. After the program office reviews all of the information submitted, and contacts the Grants.gov Help Desk to validate the technical issues reported, OJP will contact the applicant to either approve or deny the request to submit a late application. If the technical issues reported cannot be validated, the application will be rejected as untimely.

To ensure a fair competition for limited discretionary funds, the following conditions are <u>not</u> valid reasons to permit late submissions: (1) failure to begin the registration process in sufficient time, (2) failure to follow Grants.gov instructions on how to register and apply as posted on its Web site, (3) failure to follow all of the instructions in the OJP solicitation, and (4) technical issues experienced with the applicant's computer or information technology (IT) environment.

Notifications regarding known technical problems with Grants.gov, if any, are posted at the top of the OJP funding Web page, <u>www.ojp.usdoj.gov/funding/solicitations.htm</u>.

What an Application Should Include

This section describes what an application should include and sets out a number of elements. Applicants should anticipate that failure to submit an application that contains all of the specified elements may negatively affect the review of the application; and, should a decision be made to make an award, it may result in the inclusion of special conditions that preclude access to or use of award funds pending satisfaction of the conditions.

Moreover, applicants should anticipate that some application elements are so critical that applications unresponsive to the scope of the solicitation, or that do not include a program narrative, budget detail worksheet including a budget narrative, and resumes/curriculum vitae of key personnel will neither proceed to peer review nor receive further consideration.

OJP strongly recommends use of appropriately descriptive file names (e.g., "Program Narrative," "Budget Detail Worksheet and Budget Narrative," "Timelines," "Memoranda of Understanding," "Resumes") for all attachments. OJP recommends that resumes be included in a single file.

1. Information to complete the Application for Federal Assistance (SF-424)

The SF–424 is a standard form required for use as a cover sheet for submission of preapplications, applications, and related information. Grants.gov and GMS take information from the applicant's profile to populate the fields on this form. When selecting "type of applicant," if the applicant is a for-profit entity, please select "For-Profit Organization" or "Small Business" (as applicable).

2. Program Narrative

The program narrative section of the application should not exceed 25 double-spaced pages in 12-point font with 1-inch margins. Abstract, table of contents, charts, figures, appendices, and government forms do not count toward the 25-page limit for the narrative section.

If the program narrative fails to comply with these length-related restrictions, noncompliance may be considered in peer review and in final award decisions.

Program Narrative Guidelines:

a. Title Page

- b. **Project Abstract** (not counted against the 25-page program narrative limit and not to exceed 600 words).
- c. **Table of Contents and Figures** (not counted against the 25-page program narrative limit).
- d. **Main body.** The main body of the program narrative should describe the project in depth. The following sections should be included as part of the program narrative:
 - Statement of the Problem.
 - Project/Program Design and Implementation.
 - Capabilities/Competencies.
 - Impact/Outcomes and Evaluation.
 - Plan for Collecting the Data Required for This Solicitation's Performance Measures. Note: Submission of performance measures data is not required for the application. Performance measures are included as an alert that successful applicants will be required to submit specific data to NIJ as part of their reporting requirements. For the application, the applicant should indicate an understanding of these requirements and discuss how the applicant will gather the required data, should the applicant receive funding.
 - Dissemination Strategy.

Note: Within the above six sections, the narrative should address:

- Purpose, goals, and objectives.
- Review of relevant literature.
- Implications for criminal justice policy and practice in the United States.
- Management plan and organization.
- e. Appendices (not counted against the 25-page program narrative limit) include:
 - Bibliography/references.
 - Any tools/instruments, questionnaires, tables/charts/graphs, or maps pertaining to the proposed project.
 - Curriculum vitae, resumes or biographical sketches of key personnel.
 - Project timeline and calendar with expected milestones.
 - Human Subjects Protection Paperwork including Institutional Review Board (IRB) documentation and forms (see www.ojp.gov/nij/funding/humansubjects/human-subjects.htm).
 - Privacy Certificate (for further guidance go to www.ojp.gov/nij/funding/humansubjects/privacy-certificateguidance.htm).
 - List of previous and current NIJ awards to applicant organization.
 - Letters of cooperation/support or administrative agreements from organizations collaborating in the project, (if applicable).

- List of other agencies, organizations, or funding sources to which this proposal has been submitted (if applicable).
- Other materials specified by the solicitation.

3. Budget Detail Worksheet and Budget Narrative

a. Budget Detail Worksheet

A sample Budget Detail Worksheet can be found at <u>www.ojp.gov/funding/forms/budget_detail.pdf</u>. If the budget is submitted in a different format, the budget categories listed in the sample budget worksheet should be included.

For questions pertaining to budget and examples of allowable and unallowable costs, please see the OJP Financial Guide at <u>www.ojp.usdoj.gov/financialguide/index.htm</u>.

b. Budget Narrative

The Budget Narrative should thoroughly and clearly describe <u>every</u> category of expense listed in the Budget Detail Worksheet. The narrative should be mathematically sound and correspond with the information and figures provided in the Budget Detail Worksheet. The narrative should explain how <u>all</u> costs were estimated and calculated and how they are relevant to the completion of the proposed project. The narrative may include tables for clarification purposes but need not be in a spreadsheet format. As with the Budget Detail Worksheet, the Budget Narrative should be broken down by year.

Cofunding: A grant made by NIJ under this solicitation may account for up to 100 percent of the total cost of the project. The application should indicate whether it is feasible for the applicant to contribute cash, facilities, or services as non-Federal support for the project. The application should identify generally any such contributions that the applicant expects to make and the proposed budget should indicate in detail which items, if any, will be supported with non-Federal contributions.

4. Indirect Cost Rate Agreement (if applicable)

Indirect costs are allowed only if the applicant has a federally approved indirect cost rate. (This requirement does not apply to units of local government.) A copy of the rate approval should be attached. If the applicant does not have an approved rate, one can be requested by contacting the applicant's cognizant Federal agency, which will review all documentation and approve a rate for the applicant organization or, if the applicant's accounting system permits, costs may be allocated in the direct cost categories. If DOJ is the cognizant Federal agency, obtain information needed to submit an indirect cost rate proposal at www.oip.usdoj.gov/financialguide/part3/part3chap17.htm.

5. **Tribal Authorizing Resolution** (if applicable)

If an application is being submitted by either (1) a tribe or tribal organization or (2) a third party proposing to provide direct services or assistance to residents on tribal lands, then a current authorizing resolution of the governing body of the tribal entity or other enactment of the tribal council or comparable governing body authorizing the inclusion of the tribe or tribal organization and its membership should be included with the application. In those instances when an organization or consortium of tribes proposes to

apply for a grant on behalf of a tribe or multiple specific tribes, then the application should include a resolution from all tribes that will be included as a part of the services/assistance provided under the grant. A consortium of tribes for which existing consortium bylaws allow action without support from all tribes in the consortium (i.e., without authorizing resolution or other enactment of each tribal governing body) may submit a copy of its consortium bylaws with the application in lieu of tribal resolutions.

6. Additional Attachments

Provide an organizational chart illustrating the structure of key personnel and other NamUs project support personnel.

Provide a narrative of the roles and responsibilities of the personnel included in the organizational chart as outlined above.

7. Other Standard Forms

Additional forms that may be required in connection with an award are available on OJP's funding page at <u>www.ojp.usdoj.gov/funding/forms.htm</u>. For successful applicants, receipt of funds may be contingent upon submission of all necessary forms. Please note in particular the following forms.

- a. <u>Certifications Regarding Lobbying: Debarment, Suspension and Other</u> <u>Responsibility Matters; and Drug-Free Workplace Requirements</u> (required to be submitted in GMS prior to the receipt of any award funds).
- b. <u>Disclosure of Lobbying Activities</u> (required for any applicant that expends any funds for lobbying activities; this form must be downloaded, completed, and then uploaded).
- c. <u>Accounting System and Financial Capability Questionnaire</u> (required for any applicant other than an individual that is a non-governmental entity and that has not received any award from OJP within the past 3 years; this form must be downloaded, completed, and then uploaded).
- d. <u>Standard Assurances</u> (required to be submitted in GMS prior to the receipt of any award funds).

Selection Criteria

Statement of the Problem (Understanding of the problem and its importance)—10%

Applicants should include appropriate citations and other information to demonstrate an understanding of the problem regarding missing and unidentified persons in the United States and the expected impact of NamUs.

Project/Program Design and Implementation (Quality and technical merit)-20%

- 1. Awareness of the state of current capabilities, research, or technology.
- 2. Soundness of methods and analytic and technical approach.
- 3. Feasibility of proposed project and awareness of pitfalls.
- 4. Innovation and creativity (when appropriate).

Capabilities/Competencies (Capabilities, demonstrated productivity, and experience of applicants)—20%

- 1. Qualifications and experience of proposed staff.
- 2. Demonstrated ability of proposed staff and organization to manage the effort.
- 3. Adequacy of the plan to manage the project, including how various tasks are subdivided and resources are used.
- 4. Successful past performance on NIJ grants and contracts (when applicable).

Budget—20%

- 1. Total cost of the project relative to the perceived benefit.
- 2. Appropriateness of the budget relative to the level of effort.
- 3. Use of existing resources to conserve costs.

Impact/Outcomes and Evaluation (Relevance to policy and practice)—15%

- 1. Potential for significant advances in scientific or technical understanding of the problem.
- 2. Potential for significant advances in the field.
- 3. Relevance for improving the policy and practice of criminal justice and related agencies in the United States and improving public safety, security, and quality of life.
- 4. Affordability and cost-effectiveness of proposed products, when applicable (e.g., purchase price and maintenance costs for a new technology or cost of training to use the technology).

Relevance of the project for policy and practice in the United States

Higher quality applications clearly explain the practical implications of the project. They connect technical expertise with criminal justice policy and practice. To ensure that the project has strong relevance for policy and practice, some researchers and technologists collaborate with practitioners and policymakers. The application may include letters showing support from practitioners, but they carry less weight than clear evidence of the applicant's understanding of how policymakers and practitioners can best use and benefit from the proposed work. While a partnership may affect State or local activities, it should also have broader implications for other communities nationwide.

Dissemination Strategy—15%

- 1. Well-defined plan for the grant recipient to disseminate results to appropriate audiences, including researchers, practitioners, and policymakers.
- 2. Suggestions for print and electronic products that NIJ should consider developing for practitioners and policymakers.
- 3. If applicable, a clear strategy leading to the adoption into practice of any equipment or software.

Review Process

OJP is committed to ensuring a fair and open process for awarding grants. NIJ reviews the application to make sure that the information presented is reasonable, understandable, measurable, and achievable, as well as consistent with the solicitation.

Peer reviewers will review the applications submitted under this solicitation that meet basic minimum requirements. NIJ may use either internal peer reviewers, external peer reviewers, or

a combination to review the applications under this solicitation. An external peer reviewer is an expert in the field of the subject matter of a given solicitation who is NOT a current U.S. Department of Justice employee. An internal reviewer is a current U.S. Department of Justice employee who is well-versed or has expertise in the subject matter of this solicitation. Eligible applications will be evaluated, scored, and rated by a peer review panel. Peer reviewers' ratings and any resulting recommendations are advisory only. In addition to peer review ratings, considerations for award recommendations and decisions may include, but are not limited to, underserved populations, geographic diversity, strategic priorities, past performance, and available funding.

The Office of the Chief Financial Officer (OCFO), in consultation with NIJ, conducts a financial review of applications for potential discretionary awards to evaluate the fiscal integrity and financial capability of applicants; examines proposed costs to determine if the budget detail worksheet and budget narrative accurately explain project costs; and determines whether costs are reasonable, necessary, and allowable under applicable Federal cost principles and agency regulations.

Absent explicit statutory authorization or written delegation of authority to the contrary, all final grant award decisions will be made by the Assistant Attorney General (AAG), who may also give consideration to factors including, but not limited to, underserved populations, geographic diversity, strategic priorities, past performance, and available funding when making awards.

Additional Requirements

Applicants selected for awards must agree to comply with additional legal requirements upon acceptance of an award. OJP strongly encourages applicants to review the information pertaining to these additional requirements prior to submitting an application. Additional information for each requirement can be found at www.ojp.usdoj.gov/funding/other requirements.htm.

- Civil Rights Compliance
- Faith-Based and Other Community Organizations
- Confidentiality
- Research and the Protection of Human Subjects
- Anti-Lobbying Act
- Financial and Government Audit Requirements
- National Environmental Policy Act (NEPA)
- DOJ Information Technology Standards (if applicable)
- Single Point of Contact Review
- Non-Supplanting of State or Local Funds

- Criminal Penalty for False Statements
- Compliance with Office of Justice Programs Financial Guide
- Suspension or Termination of Funding
- Nonprofit Organizations
- For-profit Organizations
- Government Performance and Results Act (GPRA)
- Rights in Intellectual Property
- Federal Funding Accountability and Transparency Act (FFATA) of 2006
- Awards in Excess of \$5,000,000 Federal Taxes Certification Requirement
- Active CCR Registration

If a proposal is funded, the award recipient will be required to submit several reports and other materials, including quarterly financial reports, semi-annual progress reports, a final progress report, and, if applicable, an annual audit report in accordance with Office of Management and Budget Circular A–133. Future awards and fund drawdowns may be withheld if reports are delinquent.

Interim reports: Grantees must submit weekly data reports (with content agreed upon by NIJ Program Office) and, if applicable, an annual audit report in accordance with Office of Management and Budget Circular A–133. Future awards and fund drawdowns may be withheld if reports are delinquent.

Application Checklist

National Missing and Unidentified Persons System (NamUs)

This application checklist has been created to assist in developing an application.

What an Application Should Include:

- _____ Application for Federal Assistance (SF-424) (see page 10)
- _____ Program Narrative (see page 10)
 - _____ Appendices to the Program Narrative (see page 11)
 - _____ Bibliography/references
 - _____ Any tools/instruments, questionnaires, tables/charts/graphs, or maps pertaining to the proposed project
 - Curriculum vitae, resumes or biographical sketches of key personnel
 - _____ Project timeline and calendar with expected milestones
 - Human Subjects Protection Paperwork
 - _____ Privacy Certificate
 - _____ List of previous and current NIJ awards to applicant organization.
 - _____ Letters of cooperation/support or administrative agreements from organizations collaborating in the project (if applicable)
 - List of other agencies, organizations, or funding sources to which this proposal has been submitted (if applicable)
- _____ Budget Detail Worksheet (see page 12)
- _____ Budget Narrative (see page 12)
- Indirect Cost Rate Agreement (if applicable) (see page 12)
- _____ Tribal Authorizing Resolution (if applicable) (see page 12)
- Program Narrative/Abstract Format (see page 10)
 - ____ Double-spaced
 - _____ 12-point standard font
 - _____ 1" standard margins
 - ____ Narrative is 25 pages or less
 - ____ Additional Attachments (see page 13)
 - Organizational chart illustrating the structure of key personnel and other NamUs project support personnel.
 - _____ Narrative of the roles and responsibilities of the personnel included in the organizational chart as outlined above.
 - ___ Other Standard Forms as applicable (see page 13), including:
 - _____ Disclosure of Lobbying Activities (if applicable)
 - _____ Accounting System and Financial Capability Questionnaire (if applicable)