U.S. Department of JusticeOffice of Justice Programs National Institute of Justice



NIJ FY24 Evaluation of BJA Byrne State Crisis Intervention Program (SCIP): Scan of Practices and Evaluability Assessments

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Step 1: Application Grants.gov Deadline: 11:59 p.m. Eastern Time on November 19, 2024 **Step 2: Application JustGrants Deadline:** 8:59 p.m. Eastern Time on December 03, 2024

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Synopsis

Program Description Overview

The <u>U.S. Department of Justice</u> (DOJ), <u>Office of Justice Programs</u> (OJP), <u>National Institute of Justice</u> (NIJ) is seeking applications for funding.

OJP is committed to advancing work that promotes civil rights and equity, increases access to justice, supports crime victims and individuals impacted by the justice system, strengthens community safety, protects the public from crime and evolving threats, and builds trust between law enforcement and the community.

With this solicitation, NIJ seeks proposals to examine programs funded by the Bureau of Justice Assistance (BJA) Byrne State Crisis Intervention Program (SCIP) in Fiscal Years (FY) 2022-2024. The BJA Byrne SCIP program provides funding for the implementation and/or creation of specific program areas: (1) extreme risk protection order (ERPO) programs, (2) courts response to people in crisis, (3) community-based services for people in crisis, and (4) funding for law enforcement crisis intervention programs or initiatives. This NIJ solicitation seeks research addressing two categories: (1) scan of practices of state crisis intervention activities including those funded by BJA under the FY 2022-2023 and FY 2024 BJA Byrne SCIP (anticipate one award of up to \$600,000); and (2) evaluability assessments for each of the four program areas funded by BJA under the FY 2022-2023 and FY 2024 BJA Byrne SCIP solicitations (anticipate 4 awards of up to \$1,500,000 per award). Applicants must clearly indicate the category to which they are responding in the application title. Applicants *may* submit to more than one category, but must submit a separate, complete application for each category. Within Category 2, applicants may apply to assess programs that cover more than one BJA Byrne SCIP program area, where applicable.

This program furthers the DOJ's mission to uphold the rule of law, to keep our country safe, and to protect civil rights.

Funding Category

Competition ID	Competition Title (Category Name)	Expected Number of Awards	Dollar Amount for Award	Performance Start Date	Performance Duration (Months)
C-NIJ-2024- 00011-PROD	Category 1: Scan of Practices of state crisis intervention activities including those funded by BJA under the FY 2022-2023 and FY 2024 Byrne SCIP	1	Anticipate 1 award of up to \$600,000.	03/1/2025	16
C-NIJ-2024- 00012-PROD	Category 2: Evaluability Assessments for each of the four program areas funded by BJA under the FY 2022-2023 and FY 2024 BJA Byrne SCIP	4	Anticipate 4 awards of up to \$1,500,000 per award.	03/1/2025	30

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Eligibility

- State governments
- Special district governments
- City or township governments
- Public and State controlled institutions of higher education
- County governments
- Public housing authorities/Indian housing authorities
- Native American tribal organizations (other than Federally recognized tribal governments)
- Native American tribal governments (Federally recognized)
- Nonprofits having a 501(c)(3) status with the IRS, other than institutions of higher education
- Nonprofits that do not have a 501(c)(3) status with the IRS, other than institutions of higher education
- Private institutions of higher education
- For-profit organizations other than small businesses
- Small businesses
- Independent school districts
- Other
 - Units of local government

An applicant may submit more than one application, if each application proposes a different project in response to the solicitation. Also, an entity may be proposed as a subrecipient (subgrantee) in more than one application.

NIJ will consider applications under which two or more entities (project partners) would carry out the federal award; however, only one entity may be the applicant for the solicitation. Any others must be proposed as subrecipients (subgrantees). See the <u>Application Resource Guide</u> for additional information on subawards.

NIJ may choose to fund applications submitted under this FY 2024 solicitation in future fiscal years, dependent on, among other considerations, the merit of the applications and the availability of appropriations.

Agency Contact Information

For assistance with the requirements of this solicitation, contact the OJP Response Center by phone at 800-851-3420 or 301-240-6310 (TTY for hearing-impaired callers only) or email grants@ncjrs.gov. The OJP Response Center operates from 10:00 a.m. to 6:00 p.m. Eastern Time (ET) Monday–Friday and from 10:00 a.m. to 8:00 p.m. ET on the solicitation closing date.

For procedures related to unforeseen technical issues beyond the control of the applicant that impact submission by the deadlines, see the "How To Apply" section, <u>Experiencing Unforeseen Technical Issues</u>.

For assistance with submitting the <u>Application for Federal Assistance standard form (SF-424)</u> and a <u>Disclosure of Lobbying Activities (SF-LLL)</u> in Grants.gov, contact the Grants.gov Customer Support Hotline at 800-518-4726, 606-545-5035, <u>Grants.gov Customer Support</u>, or

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<u>support@grants.gov</u>. The Grants.gov Support Hotline is open 24 hours a day, 7 days a week, except on federal holidays.

For technical assistance with submitting the **full application** in JustGrants, contact the JustGrants Service Desk at 833-872-5175 or <u>JustGrants.Support@usdoj.gov</u>. The JustGrants Service Desk operates from 7:00 a.m. to 9:00 p.m. ET Monday–Friday and from 9:00 a.m. to 5:00 p.m. ET on Saturday, Sunday, and federal holidays.

Pre-Application Information Session

NIJ will hold a webinar on this solicitation on Wednesday, September 11, 2024 at 1 p.m. ET. This call will provide a detailed overview of the solicitation and allow interested applicants to ask questions. Preregistration is required for all participants. Register by clicking on this link https://oipmeetings.webex.com/weblink/register/rc4d4fd7bfac8671de887b39efc7e8852 and following the instructions. To use the time most efficiently, NIJ encourages participants to review this solicitation and submit any questions in advance and no later than Monday, September 9, 2024. Submit questions to Danielle.Crimmins@usdoj.gov with the subject "Questions for NIJ's FY24 Evaluation of BJA Byrne State Crisis Intervention Program (SCIP): Scan of Practices and Evaluability Assessments Webinar."

Application Submission Information

Registration

Before submitting an application, an applicant must have a registration in the <u>System for Award Management (SAM.gov)</u>.

The SAM.gov registration is required for a person applying on behalf of a small business (company). The business should obtain an Employer Identification Number (EIN) and not apply using a social security number: <u>IRS Apply for an Employer Identification Number (EIN) Online</u>.

Submission

Applications must be submitted to DOJ electronically through a two-step process that begins in Grants.gov and is completed in JustGrants. See the <u>Submission Dates and Time</u> section for the Grants.gov and JustGrants application deadlines.

Step 1: The applicant must register for this opportunity in Grants.gov at https://grants.gov/register and submit by the Grants.gov deadline the required Application for Federal Assistance standard form (SF-424) and a Disclosure of Lobbying Activities (SF-LLL). See the Submission Dates and Time section for application deadlines.

Step 2: The applicant must submit the **full application**, including attachments, in JustGrants at <u>JustGrants.usdoj.gov</u> by the JustGrants application deadline. See the <u>Submission Dates and Time</u> section for application deadlines.

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Program Description

Program Description Overview

With this solicitation, NIJ seeks proposals to examine programs funded by the Bureau of Justice Assistance (BJA) Byrne State Crisis Intervention Program (SCIP) in Fiscal Years (FY) 2022 - 2024. The BJA Byrne SCIP program provides funding to state governments for the implementation and/or creation of specific program areas including: (1) extreme risk protection order (ERPO) programs, (2) courts response to people in crisis, (3) community-based services for people in crisis, and (4) funding for law enforcement crisis intervention programs or initiatives. This solicitation seeks research addressing two categories:

- 1. Scan of Practices of state crisis intervention activities including those funded by BJA under the FY 2022-2023 and FY 2024 BJA Byrne SCIP (anticipate one (1) award of up to \$600,000).
- 2. Evaluability Assessments for each of the four program areas funded by BJA under the FY 2022-2023 and FY 2024 BJA Byrne SCIP solicitations (anticipate four (4) awards of up to \$1,500,000 per award).

Applicants must clearly indicate the Category to which they are responding in the application title, Category 1 or 2. Applicants *may* submit to more than one Category, but must submit a separate, complete application for each Category. Within Category 2, applicants may apply to assess programs that cover more than one BJA program area, where applicable.

Applicants are strongly encouraged to refer to the following key sources of information:

- Bipartisan Safe Communities Supplemental Appropriations Act, 2022.
- BJA FY24 Byrne State Crisis Intervention Program Formula Solicitation.
- BJA FY 2022-2023 Byrne State Crisis Intervention Program Formula Solicitation and award information.
- BJA FY 2022 Extreme Risk Protective Order and Firearm Crisis Intervention Training and Technical Assistance Initiative.
- BJA Byrne State Crisis Intervention Program Performance Measure Questionnaire.
- <u>Byrne State Crisis Intervention Program (SCIP) | Overview | Bureau of Justice Assistance (ojp.gov)</u>.

NIJ will give special consideration to proposals with methods that include meaningful engagement with the people closest to the subject of study, including practitioners as well as community members representing crime victims, people under criminal justice supervision, and members of high-crime communities.

Applicants are encouraged to propose multidisciplinary research teams to build on the complementary strengths of different methods and areas of subject matter expertise. NIJ also seeks proposals that include consideration and measurement of issues of diversity, discrimination, and bias across age, gender, race, ethnicity, religion, and sexual orientation, as applicable.

Applications proposing research involving partnerships with juvenile justice, criminal justice or other agencies should include a strong letter of support, signed by an appropriate decision-making authority from each proposed partnering agency. A letter of support should include the

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partnering agency's acknowledgment that de-identified data derived from, provided to, or obtained through an award funded by NIJ will be archived by the grant recipient with the National Archive of Criminal Justice Data (NACJD) at the conclusion of the award. Applicants and their potential partners are encouraged to review NIJ's data archiving guidance. If selected for an award, grantees will be expected to have a formal agreement in place with partnering agencies by January 1, 2025. That formal agreement must include a provision to meet the data archiving requirements of the award.

NIJ seeks proposals that include robust, creative, and multi-pronged dissemination strategies that include strategic partnerships with organizations and associations that are best equipped to ensure that research findings lead to changes in policies and practices. Special consideration will be given to proposals that dedicate at least 15% of the requested project award funding toward implementing such strategies, as demonstrated in the "Budget Worksheet and Budget Narrative."

In the case of partnerships that will involve the use of federal award funds by multiple partnering agencies to carry out the proposed project, only one entity/partnering agency may be the applicant (as is the case with any application submitted in response to this solicitation); any others must be proposed as subrecipients. The applicant is expected to conduct the preponderance of the work proposed.

Statutory Authority

Bipartisan Safer Communities Supplemental Appropriations Act, 2022 (Pub. L. No. 117-159, 136 Stat. 1313, 1339); 28 U.S.C. 530C.

Specific Information

In collaboration with BJA, NIJ seeks applications in two categories to examine programs funded under BJA's SCIP in FY's 2022-2023 and FY 2024. With this solicitation, the two categories include:

- Scan of Practices of state crisis intervention activities including those funded by BJA under the FY 2022-2023 and FY 2024 Byrne SCIP solicitations (anticipate one (1) award of up to \$600,000).
- Evaluability Assessments for each of the four program areas funded by BJA under the FY 2022-2023 and FY 2024 BJA Byrne SCIP solicitations (anticipate four (4) awards of up to \$1,500,000 per award).

As authorized by the Bipartisan Safer Communities Act of 2022, Byrne SCIP provides formula funds to state governments for the creation and/or implementation of ERPO programs; courts and community-based services for people in crisis; and funding for law enforcement crisis intervention programs or initiatives.

BJA Byrne SCIP state grantees are required to create a Crisis Intervention Advisory Board (CIAB) and Program Plan. The goal of the CIAB is to inform and guide the state's gun violence reduction programs/initiatives. The board must include, but is not limited to, representatives from law enforcement, the community, courts, prosecution behavioral health providers, victim services, and legal counsel.

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BJA Byrne SCIP state grantees are also required to develop a state Crisis Intervention Program Plan which must be developed in coordination with and demonstrate evidence of approval by a CIAB.¹ The plan must also be approved by BJA post-award via a Grant Award Modification (GAM) before funds can be obligated, expended, or drawn down.²

The Program Plans must include a plan outlining the overall goals for the use of the Byrne SCIP funds and the process by which the grantee will use grant funds to make subawards,³ as well as a description of the CIAB, its membership, and its governance structure.

BJA Byrne SCIP funds may be used to support, but would not be limited to, the following program areas:

- 1. ERPO Programs: Implementing ERPO Programs an extreme risk protection order (ERPO) is intended to empower family members, health care providers, school officials, or law enforcement officers to petition a court to temporarily prevent a person from accessing firearms if they are found to be a danger to themselves or others. In 2021, the Department of Justice released model legislation to help states create their own ERPO systems and provide for such intervention before warning signs turn into tragedy. The Bipartisan Safer Communities Act also seeks to ensure that ERPO laws commonly referred to as "red flag laws" and programs are implemented in accordance with the Constitution and provide for adequate due process protections. Programs must ensure that the accused has the right to an in-person hearing, the right to know opposing evidence, and the right to be represented by counsel at no expense to the government.
 - Training for those implementing ERPO programs, including judiciary and court staff, first responders, social service providers, clinicians, and family members.
 - Communication, Education, and Public Awareness
 - Outreach to community members, stakeholders, municipal leaders, law enforcement agencies, and those engaging with individuals deemed to be at high risk of firearm violence to raise public awareness about the value and public safety benefits of existing ERPO laws and programs and effective implementation of such laws and programs.
 - Development and distribution of ERPO fact sheets, brochures, webinars, television or radio engagement, and social media outreach in order to execute the communication, education, and public awareness strategy.
 - Publication of best practices regarding ERPO programs.

2. Related Court-based Programming

- Develop processes to identify, triage, and connect court-involved people in crisis to services.
- Expand the capacity of existing drug, mental health, and veterans' treatment courts, including assistance for clients who are most likely to commit or become victims of gun crimes.

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¹ Advisory board approval is also required for any subsequent changes to the program's scope or budget.

² For more information see BJA Byrne SCIP Program Page: <u>Byrne State Crisis Intervention Program (SCIP) |</u> Overview | Bureau of Justice Assistance (ojp.gov) and the Solicitation Webinar.

³ All subawards must be expressly approved by BJA post-award via a GAM before funds can be obligated for a subaward(s).

- Implementing or expanding domestic violence courts focused on those at risk for gun
 related violence. Embed social workers in prosecutor, public defender and/or courts
 agencies to provide screening, assessment, and referral to services for people in
 crisis, such as court-based navigators.
- Prosecutor, pretrial, or court diversion programs.
- Development and implementation of validated gun violence risk assessment tools, enhancement of existing tools and service case management and navigation programs to assess the risks and needs of clients and connect them to critical services, in order to mitigate their risk of gun violence and enhance their access to effective interventions.
- Community courts that connect people in crisis with community resources.
- Programming and training on domestic violence cases and related protection orders, including relinquishment of firearms.
- Threat assessment training for prosecutors, judges, law enforcement, and public defenders.

3. Referrals to Community-based Services for People in Crisis

- Pre-arrest law enforcement and first responder deflection.
- Assertive community treatment.
- Behavioral threat assessment programs and related training.
- Triage services, mobile crisis units (both co-responder and civilian only), and peer support specialists.
- Suicide and crisis prevention and referral to services.
- Technological supports such as smartphone applications to help families and patients navigate mental health and related systems and telehealth initiatives, including technology solutions for telehealth visits outside the hospital.
- Behavioral health responses and civil legal responses to people in crisis, such as regional crisis call centers, crisis mobile team response, and crisis receiving and stabilization facilities for individuals in crisis.
- De-escalation training for law enforcement, first responders, and other justice practitioners.
- Embedding social workers with law enforcement and co-responder programs.
- Specialized training for individuals who work with or are in families of adults and youth who are in crisis.
- Related law enforcement-based programs, training, and technology, focused on crisis intervention for those at risk to themselves or others.

4. Funding for Law Enforcement Crisis Intervention Programs or Initiatives

- Development and or delivery of specialized training, including crisis response and intervention training (CRIT), and overtime for officers to attend such training.
- Training for school resource officers on identifying youth at risk for firearm violence.
- Supplies, equipment, technology, and training to safely secure, store, track, and return relinquished guns, such as gun locks and storage for individuals and businesses, and software or other technologies to track relinquished guns.
- Gun safety training for community members.
- Systems purchase or enhancement to facilitate service and/or tracking of ERPOs.

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- Technology, analysis, or information-sharing solutions for ensuring law enforcement, probation, prosecutors, the courts, and public defenders are informed when a prohibited person attempts to purchase a firearm.
- Data collection, analysis, and strategic planning to address community gun violence.
- Personnel, supplies, and other related costs for crisis intervention officers or coresponders.

BJA Byrne SCIP formula funding requires grantees⁴ to cooperate with the statutorily required evaluation activities as part of the terms and conditions of the award (see the <u>Bipartisan Safer Communities Supplemental Appropriations Act</u>, 2022).

With this solicitation and in collaboration with BJA, NIJ requests applications to support activities in the following two categories:

- 1. Scan of Practices of state crisis intervention activities including those funded by BJA under the FY 2022-2023 and FY 2024 Byrne SCIP solicitations.
- 2. Evaluability Assessments for each of the four programs areas funded by the FY 2022-2023 and FY 2024 BJA Byrne SCIP solicitations.

Category 1: Scan of Practices of state crisis intervention activities including those funded by BJA under the FY 2022-2023 and FY 2024 Byrne SCIP⁵

In collaboration with BJA, NIJ is soliciting proposals to conduct a scan of practices of state crisis intervention activities funded by BJA under the FY 2022-2023 and FY 2024 Byrne SCIP. The goal of Category 1 is to improve and better understand violence prevention activities generally and SCIP funded programs specifically, and how they complement and/or supplement one another, at both the state and local levels.

This effort is distinct from BJA's Training and Technical Assistance (TTA) provider efforts as it will build on and complement information collected by BJA and the TTA providers.

The FY 2022 BJA TTA Solicitation included three categories:

- 1. Developing and supporting extreme risk protection order programs
- 2. Supporting state, local, and tribal courts implementing safer communities
- 3. Implementing safer communities training and technical assistance.

Applicants are strongly encouraged to consult <u>BJA's FY 2022 Extreme Risk Protective Order</u> and <u>Firearm Crisis Intervention Training and Technical Assistance Initiative</u> to better understand the SCIP TTA efforts as well as to obtain information on awarded TTA providers.⁶

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⁴ Only state governments are eligible for BJA Byrne SCIP funds. The term "states" includes the District of Columbia, the Commonwealth of Puerto Rico, the Northern Mariana Islands, the U.S. Virgin Islands, Guam, and American Samoa. When referencing BJA Byrne SCIP grantees, the state is the grantee.

⁵ Selected grantees will work with NIJ and BJA to identify the FY2024 award sites in their scan of practice.

⁶ BJA awarded three TTA providers in FY2022. For more information see: <u>FY 2022 Extreme Risk Protective Order and Firearm Crisis Intervention Training and Technical Assistance Initiative | Bureau of Justice Assistance (ojp.gov).</u>

⁷ Note: BJA's TTA data collection is subject to change.

In addition, BJA SCIP grantees report quarterly data using the <u>BJA Byrne State Crisis</u> <u>Intervention Program Performance Measure Questionnaire</u>⁸ which requests information on general grant activity, partnership activity (i.e., advisory board), program overview (i.e., types of funded programs), and program activities related to each SCIP initiative area (ERPO programs, courts response to people in crisis, community-based services for people in crisis, and funding for law enforcement crisis intervention programs or initiatives), and TTA activities.

Applicants are encouraged to review the BJA Byrne State Crisis Intervention Program Performance Measure Questionnaire to better understand SCIP reporting efforts for the purposes of developing proposals for the scan of practices.

At a minimum, the scan of practices should include:

- A systematic review of all BJA SCIP state/territory grantee plans approved at the time of data collection and the current status of the programs/initiatives;⁹
- A review of state and local level crisis intervention and violence prevention activities to understand how SCIP funding is being implemented in concert with and contributing to other relevant state and local efforts. Applicants are encouraged to put forth parameters to narrow down the scope of the review; and
- Relevant data collection from state-level coordinators, subgrantees relevant to the state
 plan, and other relevant state- and or local-level stakeholders implementing crisis
 intervention and violence prevention efforts. This will inform NIJ and BJA's
 understanding of how SCIP funding is being implemented in concert with other efforts
 within states and localities across the nation, within the broader state-level initiatives,
 and the narrower local programmatic efforts of subgrantees.

The selected grantee will work with NIJ and BJA to review previously collected data, including both the TTA providers and BJA performance measure questionnaire, to ensure additional data collection is not duplicative, and extends to other crisis intervention and violence prevention efforts.

When developing the scan of practices plan and timeline, applicants should include adequate time for project methods and data collection tools to be reviewed and approved by an Institutional Review Board (IRB).

The performance period for Category 1 **should not exceed 16 months**. When developing the project management plan, applicants should provide appropriate levels of effort for staff to complete the project in the specified timeline.

The goal of the scan of practices is to better understand the SCIP-funded programs and initiatives being implemented within states and localities across the nation. In addition, findings will inform site selection for the evaluability assessments in Category 2.

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⁸ The BJA SCIP performance measures are completed four times per year to report grantees activity during the prior three months.

⁹ Applicants are encouraged to review BJA's SCIP TTA collection efforts (see: <u>FY 2022 Extreme Risk Protective</u> Order and Firearm Crisis Intervention Training and Technical Assistance Initiative | Bureau of Justice Assistance) and the <u>BJA Byrne State Crisis Intervention Program Performance Measures Questionnaire.</u>

Category 2: Evaluability Assessments for each of the four program areas funded by BJA under the FY 2022-2023 and FY 2024 BJA Byrne SCIP

In collaboration with BJA, NIJ is solicitating proposals to conduct evaluability assessments of the four program areas funded by BJA under the FY 2022-2023 and FY 2024 BJA Byrne SCIP solicitations.¹⁰

NIJ anticipates funding four evaluability assessments, one focused on each of the topical areas funded under Byrne SCIP. Applicants may apply to assess multiple program areas where applicable. 11 Programs and initiative topics funded under SCIP include:

- 1. ERPO programs
- 2. Related Court-based Programming
- 3. Referrals to Community-based Services for People in Crisis
- 4. Funding for Law Enforcement Crisis Intervention Programs or Initiatives

Applicants are encouraged to review the <u>BJA FY 2022-2023 Byrne State Crisis Intervention</u> <u>Program Formula</u> and <u>FY24 Byrne State Crisis Intervention Program Formula</u> solicitation to aid in developing an evaluability assessment plan.

In consultation with BJA, NIJ will determine the final number of SCIP grantee programs to be included in evaluability assessment studies. These decisions will be informed by Category 1 results. The evaluability assessments will not begin during the first year of the project period.

The successful applicants will be expected to assess up to eight programs in a single assessment project. The programs subject to assessment will be determined by NIJ and BJA at a later date, and the final number of programs to be included in an evaluability assessment project may be subject to change.

Each study's evaluability assessment plan should include descriptions of, but would not be limited to, how they would approach the following tasks:

- **Descriptions of Program Activities.** Assess program activities underway at selected Byrne SCIP sites which appear promising as tools to prevent or reduce crime and violence, with a particular focus on gun violence. This should include, but is not limited to, program strategies and how they were implemented, collaborations within the site, and details on how SCIP was implemented in concert with or complementary to other violence prevention efforts in the locality, including the site's logic model, a detailed description of any training and technical assistance (TTA) the site(s) has/have received, or will receive during the evaluation, and the provider supplying the TTA.¹²
- Assessment of Program Maturity. Assess and document the maturity of program/initiative implementation at each site, to include if the program is developing a new program or initiative or continuing the implementation of an ongoing effort.

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¹⁰ BJA Byrne SCIP FY24 awards will be funded in FY 2024. Successful grantees to this solicitation will work with NIJ and BJA to incorporate FY24 award sites into their evaluability assessment plans.

¹¹ In some instances, Byrne SCIP funded grantees are making programmatic information available publicly. Applicants are encouraged to seek out this information when applicable.

¹² The detailed description on TTA provided may include why the TTA was provided (i.e., to address an issue), when it was provided, outcomes, challenges, etc.

- Plans to Assess Implementation Fidelity. Describe the program model with fidelity benchmarks of implementation activities.
- Development of a Logic Model. Draft a program logic model to illustrate strategies and intended inputs and outcomes. Describe the theory behind the programs being implemented, if applicable. Applicants should clearly describe how the proposed programs are intended to contribute to the expected outcomes, such as reducing gun violence.
- Assessment/Development of an Outcome Study Design and Identification of a Counterfactual. Make recommendations regarding the design (e.g., experimental, quasi-experimental, etc.) for an outcome study. This should also include the identification of a counterfactual for assessing change, sample size for the treatment and counterfactual group, participation recruitment, etc.
- Assessment of Data Availability and Quality. Assess and document the availability
 and quality of both operational and administrative data at each site. Clearly identify the
 data to be collected or that is available to measure both program activities and
 outcomes. These data should support potential process, outcome, and cost
 effectiveness evaluations.
- **Development of Primary Data Collection Tools**. Describe how data collection tools (e.g., surveys, interview guides, focus group protocols, etc.) will be developed and used in an impact evaluation.
- Assessment of Evaluation Feasibility. Provide critical information about the programs'
 ability to participate in a comprehensive, rigorous multi-site, multi-year evaluation. The
 assessment should include recommendations about the grantee's readiness to
 participate in an evaluation, whether additional support and resources are needed to
 assist the grantee in preparing for an evaluation, and recommendations for the type of
 evaluation that would be suitable for each grantee site.
- Power Analysis. Applicants should conduct a power analysis to identify the sample sizes required to detect a difference in the treatment and control/comparison groups based on the anticipated effect sizes of the types of activities and/or services associated with the treatment group. If a multi-site evaluation is proposed, applicants proposing to pool data across sites should explain how the interventions, populations and contexts among sites are similar enough to merit pooling and, if so, include both pooled and sitespecific calculations in their power analysis.
- **Assessment of Contamination.** Discuss likely sources of contamination in the evaluation design, and how the applicant proposes to mitigate contamination and other threats to internal validity.
- **Identification of Outcomes.** Discuss outcome measures specific to reducing violence and crime, particularly gun violence.

Applicants must demonstrate their past experience conducting evaluability assessments and are encouraged to provide past reports or data collection tools as examples in appendices. After awards are made, the grantee will work closely with NIJ to develop the formatting and structure for these reports, as well as their review and finalization.

After an award is made, the grantee will work with NIJ to revise and finalize the research plan for conducting the evaluability assessments, to account for and incorporate findings from the scan of practice and determination of selected sites in collaboration with NIJ and BJA.

A special condition will be attached to the award stipulating that the grantee may not obligate, expend, or drawdown more than 10% of the award, subject to other withholding special

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conditions, until NIJ approves the revised evaluability assessment plan, and issues a Grant Adjustment Modification (GAM) to remove or modify this special condition.

When developing the evaluability assessment plan and timeline, applicants are encouraged to build time into their project management plan that allows for finalizing the evaluability assessment plan and for project documents to be reviewed and approved by an IRB.

The project period for this task is **no more than 30 months**.

Final evaluability assessment and case study reports will be made public. Based on the availability of funds, and at the discretion of the NIJ Director, findings from the both the scan of practices and evaluability assessments will inform forthcoming NIJ evaluation efforts of the BJA Byrne SCIP.

Additional Information

Applicants should discuss potential risks and harms to individuals or groups associated with the use and/or misuse of any practice or technology proposed as a part of the research and evaluation, as well as any ethical considerations that may factor into its use. In addition, applicants should be aware of all relevant statutes, regulations, and/or governing judicial decisions (e.g., established case law, prevailing court rulings) related to the practice or technology's proposed use. Any limitations that may impede the lawful use or implementation of any practice or technology proposed should be described, and a realistic approach to mitigate such limitations in the context of the proposed research should be discussed.

Evaluation Research

If an application includes an evaluation research component (or consists entirely of evaluation research), the application is expected to propose the most rigorous evaluation design appropriate for the research questions to be addressed. If the primary purpose of the evaluation is to determine the effectiveness or impact of an intervention (e.g., program, practice, or policy), the most rigorous evaluation designs may include random selection and assignment of participants (or other appropriate units of analysis) to experimental and control conditions. In cases where randomization is not feasible, applicants should propose a strong quasi-experimental design that can address the risk of selection bias.

Applications that propose evaluation research should also include an implementation evaluation component to ensure that implementation fidelity metrics are collected and analyzed. Applicants are encouraged to propose research methods that enable interim feedback on implementation fidelity to enable program providers to make ongoing improvements in program delivery.

If an application proposes an evaluation involving program staff, the applicant must demonstrate research/evaluation independence and provide a description of and justification for the roles of program staff as distinct from evaluation activities, a discussion of potential risks (if any) to independence and integrity, and a description of the safeguards that will be employed to ensure research independence.

NIJ also encourages applicants to consider the feasibility of including a cost/benefit analysis. In cases where evaluations find that interventions have produced the intended benefit, cost/benefit analysis provides valuable and practical information for practitioners and policymakers that helps with decision-making. Evaluation research projects may also address a wide range of

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research questions beyond those focused on the effectiveness or impact of an intervention. Different research designs may be more appropriate for different research questions and at different stages of program development. The intervention strategies, setting, other contextual factors, and resources should be considered when selecting an evaluation design.

In all cases, applications are expected to propose the most rigorous evaluation design appropriate for the research questions to be addressed. Applicants are encouraged to review evidence-rating criteria at https://crimesolutions.ojp.gov/about/how-we-review-and-rate-program-start-finish for further information on high-quality evaluation design elements.

New Investigator/Early Career Opportunity

NIJ is interested in supporting researchers who are early in their careers and new to NIJ's research grant portfolios, specifically non-tenured assistant professors, or equivalent full-time staff scientist positions in a research institution, who propose research on topics relevant to NIJ. To that end, NIJ may, in appropriate circumstances, give special consideration in award decisions to applications proposing such researchers as principal investigators (PIs). To qualify, the proposed PI must, at the time of application submission:

- Hold a non-tenured assistant professor appointment at an accredited institution of higher education in the United States or an equivalent full-time staff scientist position at a research institution in the United States.
- Have completed a terminal degree or post-graduate clinical training within the six (6) years prior to September 30, 2024.
- Have never previously received NIJ funding as a PI on a research project with the exception of Graduate Research Fellows.

If seeking to be considered for the New Investigator/Early Career Opportunity, the applicant should identify that it is submitting a New Investigator/Early Career proposal on the title page of the application.

Solicitation Goals and Objectives

Goals

The goal of this solicitation is to independently and objectively examine programs funded under the FY 2022-2023 and FY 2024 Byrne SCIP, which supports state crisis intervention court proceedings and related programs or initiatives, particularly ERPO programs, and including, but not limited to, mental health courts, drug courts, and veteran treatment courts. Findings from this research will assist state, local, and tribal jurisdictions in preventing or reducing crime and violence, with a particular focus on gun violence.

Objectives

The objectives of this solicitation are to promote and fund a comprehensive overview of the BJA Byrne SCIP and assess the feasibility of conducting rigorous evaluations of BJA Byrne SCIP sites.

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Deliverables

Research award recipients are expected to produce the following deliverables.

<u>Final Research Report</u>. Any recipient of an award under this solicitation will be expected to submit a Final Research Report by the end of the award project period. Recipients will also be required to deliver a draft of their Final Research Report no later than 90 days prior to the end of the award project period. Additional information on the final research report requirement for the solicitation is posted on NIJ's webpage.

Required Data Sets and Associated Files and Documentation. Any recipient of an award under this solicitation will be expected to submit to the National Archive of Criminal Justice Data (NACJD) all data sets that result, in whole or in part, from the work funded by the award, along with associated files and any documentation necessary for future efforts by others to reproduce the project's findings and/or to extend the scientific value of the data set through secondary analysis. Grant recipients are *strongly encouraged* to submit data sets no later than 90 days or earlier prior to the end of the award project period.

In addition to these deliverables, NIJ expects scholarly products to result from each award under this solicitation, taking the form of one or more published, peer-reviewed, scientific journal articles, and/or (if appropriate) law review journal articles, book chapter(s) or book(s) in the academic press, technological prototypes, patented inventions, or similar scientific products. NIJ expects that there will be an equal effort to make the research findings accessible to practitioner and policymaker audiences.

Category 1: Scan of Practices of state crisis intervention activities including those funded by BJA under the FY 2022-2023 and FY 2024 Byrne SCIP:

- An outline of the final report shall be delivered to NIJ three (3) months before the end of the performance period. NIJ and BJA will review and provide feedback, as needed.
- A draft final report and an executive summary shall be delivered two (2) months before
 the end of the performance period. These deliverables should detail comprehensive
 findings, significant policy implications and lessons learned, and provide a general
 description of the methods used and activities performed.
- Monthly conference calls with NIJ to discuss the status of the scan of practices, and additional meetings with BJA staff as necessary or required.
- A publication of the findings from the scan of practice orientated specifically towards policy makers and practitioners.
- Quarterly progress reports to keep NIJ and BJA apprised of the status of the scan of practices.
- A summary progress report of all award-related activities and interim findings for each fiscal year (October 1–September 30) for the life of the grant. This will result in two reports for the award.¹³ Content from the summary progress report will be reported in BJA's Annual Report to Congress. This report will be due each year on October 1st for the life of the grant.

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¹³ Additional summary reports will be required if the 16-month timeline is not met. Information about the additional reporting requirements will be provided by NIJ if and as needed.

Category 2: Evaluability Assessments for each of the four program areas funded by BJA under the FY 2022-2023 and FY 2024 BJA Byrne SCIP:

- An outline of the final report shall be delivered to NIJ five months (5) before the end of the performance period. NIJ and BJA will review and comment on the outline as needed.
- A draft final report and an executive summary shall be delivered three (3) months before
 the end of the performance period. This should detail the results of the evaluability
 assessment for each site, an outcome evaluation design and data collection tools, any
 significant policy implications and lessons learned, and provide a general description of
 the methods used and activities performed.
- One evaluability assessment report for each program assessed, which must include recommendations on whether an evaluation is feasible, and rationales for the type of evaluation that would be suitable for each site. This shall be delivered two (2) months before the end of the performance period.
- A case study report describing the program strategies and how they were implemented, collaborations within the site, and details on how SCIP was implemented in concert with or complementary to other violence prevention efforts in the locality, including the site's logic model. This shall be delivered two (2) months before the end of the performance period.
- Monthly conference calls with the NIJ science staff program manager to review progress and address issues, bi-annual meetings with NIJ and BJA to discuss the status of the evaluability assessment(s), and additional meetings as necessary or required.
- Quarterly progress reports to keep NIJ apprised of the status of the evaluability assessment task. Additional information about the content of the progress reports and their due dates will be provided once an award is made.
- A summary progress report of all award-related activities and interim findings for each fiscal year (October 1–September 30) for the life of the grant. Content from this summary progress report will be reported in BJA's Annual Report to Congress, per the statutory requirements. This report will be each due year on October 1st for the life of the grant.

In select circumstances recipients funded to develop algorithms, methods, software, hardware or other technologies may be required to deliver exemplars along with detailed implementation instructions for third-party evaluation.

For information about what the applicant needs to submit regarding Goals, Objectives, and Deliverables, please see the <u>How to Apply</u> section on the <u>Application Goals, Objectives, Deliverables, and Timeline</u>.

Priority Areas

1. NIJ will give special consideration in award decisions to proposals for which a Minority Serving Institution (MSI) is the lead applicant.

Minority-Serving Institutions include:

- Historically Black Colleges and Universities (HBCU)
- Hispanic-serving Institutions (HSI)
- Tribal Colleges and Universities (TCU)
- Alaska Native-serving Institutions or Native Hawaiian-serving Institutions (ANNH)

Predominantly Black Institutions (PBI)

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- Asian American and Native American Pacific Islander-serving Institutions (AANAPISI)
- Native American-serving Non-Tribal Institutions (NASNTI)

Whether an institution is an MSI is based on the original purpose of its establishment or its current enrollment thresholds and is designated as such by the federal government for various purposes under specific statutes. For more information, please see the U.S. Department of Education's <u>definitions</u> and <u>lists of institutions</u>. (The lists are provided for reference purposes only; MSI status is not an eligibility criterion for this solicitation.)

Note: Addressing this priority area is one of many factors that NIJ considers in making funding decisions. Receiving priority consideration for one or more priority areas does not guarantee an award.

Federal Award Information

Awards, Amounts, and Durations

Anticipated Number of Awards:

Category 1: 1 award. Category 2: 4 awards.

Anticipated Maximum Dollar Amount per Award:

Category 1: up to \$600,000 Category 2: up to \$1,500,000

Period of Performance Start Date: 03/01/2025

Period of Performance Duration (Months):

Category 1: 16 Months Category 2: up to 30 Months

Anticipated Total Amount to Be Awarded Under This Solicitation: \$6,600,000

Additional Information: Grantees must clear all required award special conditions before incurring expenses, barring spending pre-approval, and if applicable, confirm NIJ approval of new or modified IRB and privacy certificate documents before beginning research activities.

Continuation Funding Intent

NIJ may, in certain cases, provide additional funding in future years to awards made under this funding opportunity through continuation awards. NIJ will consider, among other factors, NIJ's strategic priorities, a recipient's overall management of the award, and the progress of the work funded under the award, when making continuation award decisions.

Availability of Funds

This funding opportunity, and awards under this funding opportunity, are subject to the availability of funding and to any changes or additional requirements that may be imposed by the agency or by law. In addition, nothing in this solicitation is intended to, and does not, create any right or benefit, substantive or procedural, enforceable at law or in equity by any party

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against the United States, its departments, agencies, or entities, its officers, employees, or agents, or any other person.

Type of Award

NIJ expects to make awards under this funding opportunity as grants (Category 1) and cooperative agreements (Category 2). Cooperative agreements provide for OJP to have substantial involvement in carrying out award activities. See the "Administrative, National Policy, and Other Legal Requirements" section of the Application Resource Guide for a brief discussion of important statutes, regulations, and award conditions that apply to many (or in some cases, all) OJP grants.

Budget Information

If the applicant is proposing a project that reasonably could be conducted in discrete phases, with each phase resulting in completion of one or more significant, defined milestones, then NIJ strongly recommends that the applicant structure the application — specifically including the narrative, expected scholarly products, timelines/milestones, and budget detail worksheet and budget narrative — to clearly define each phase. (This is particularly the case if the applicant proposes a project that will exceed — in cost or the length of the period of performance — the amount or length of time anticipated for an individual award (or awards) under this solicitation.) Given limitations on the availability to NIJ of funds for awards for research, development, and evaluation, this information will assist NIJ in considering whether partial funding of applications would be productive. (If, in FY 2024, NIJ elects to fund only certain phases of a proposed project, the expected scholarly products from the partial-funding award may, in some cases, vary from those described above.)

NIJ may elect to fund applications submitted under this FY 2024 solicitation in future fiscal years, dependent on, among other considerations, the merit of the applications and on the availability of appropriations.

What will not be funded:

- Applications primarily to purchase equipment, materials, or supplies, or to provide direct services. (A budget may include these items if they are necessary to conduct research, development, demonstration, evaluation, or analysis.)
- Applications that are not responsive to the purposes of this specific solicitation.
- Applications that do not propose to conduct a scan of practices or an evaluability assessment of BJA's Byrne SCIP.

Cost Sharing or Matching Requirement

See "Cofunding" paragraph under "Budget and Associated Documentation" in the "Application and Submission Information" section.

Eligibility Information

For the purposes of this notice of funding opportunity, "state" means any state of the United States, the District of Columbia, the Commonwealth of Puerto Rico, the Virgin Islands, Guam, American Samoa, and the Commonwealth of the Northern Mariana Islands.

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For the purposes of this notice of funding opportunity, other units of local government include towns, boroughs, parishes, villages, or other general purpose political subdivisions of a state.

Foreign governments, foreign organizations, and foreign colleges and universities are not eligible to apply. Federal agencies are not eligible to apply.

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How To Apply

Application Resources

When preparing and submitting an application, the following resources may aid prospective applicants:

- 1. Grants.gov "How to Apply for Grants"
- 2. OJP "How To Apply" section in the Application Resource Guide
- 3. JustGrants Application Submission Training
- 4. NIJ Guidance for Applicants and Awardees

This solicitation (notice of funding opportunity) incorporates guidance provided in the OJP Grant Application Resource Guide (Application Resource Guide), which provides additional information for applicants to prepare and submit applications to OJP for funding. If this solicitation requires something different from any guidance provided in the Application Resource Guide, the difference will be noted in this solicitation and the applicant is to follow the guidelines in this solicitation, rather than the guidance in the Application Resource Guide that is in conflict.

How To Apply

Registration

Before submitting an application, all applicants must register with the System for Award Management (SAM.gov). An applicant must renew their registration every 12 months. If an applicant does not renew their SAM.gov registration, it will expire. An expired registration can delay or prevent application submission in Grants.gov and JustGrants. Applicants are encouraged to start the SAM.gov registration process at least 30 days prior to the application deadlines. Applicants who fail to begin the registration or renewal process at least 10 business days prior to the Grants.gov deadline may not complete the process in time and will not be considered for late submission.

A small business, in addition to obtaining the SAM.gov registration, should obtain an Employer Identification Number (EIN) from the Internal Revenue Service. The person applying for an award will submit the EIN value as the Tax Identification Number (TIN). The applicant should not submit their social security numbers. For additional information, including the steps to obtain an EIN: https://www.irs.gov/businesses/small-businesses-self-employed/apply-for-an-employer-identification-number-ein-online.

Submission

Applications must be submitted to DOJ electronically through a two-step process that begins in Grants.gov and is completed in JustGrants.

Step 1: After registering with SAM.gov, the applicant must submit the SF-424 and SF-LLL in Grants.gov at https://grants.gov/register by the Grants.gov deadline. To leave time to address any technical issues that may arise, an applicant should submit the SF-424 and SF-LLL as early as possible and recommended not later than 48 hours before the Grants.gov deadline. If an applicant fails to submit in Grants.gov by the deadline, they will be unable to

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apply in JustGrants. Applicants can confirm Grants.gov submission by verifying their application status shows as "submitted" or "agency tracking number assigned."

Step 2: The applicant must then submit the **full application**, including attachments, in JustGrants at <u>JustGrants.usdoj.gov</u> by the JustGrants deadline.

OJP recommends that applicants submit the complete application package in JustGrants at least 48 hours prior to the JustGrants deadline. Some of the required sections of the application will be entered directly into JustGrants, and other sections will require documents to be uploaded and attached. Therefore, applicants should allow enough time before the JustGrants deadline to prepare all the requirements of the application. Applicants may save their progress in the system and add to or change the application as needed prior to hitting the "Submit" button at the end of the application in JustGrants.

An applicant will receive emails when successfully submitting in Grants.gov and JustGrants and should maintain all emails and other confirmations received from SAM.gov, Grants.gov, and JustGrants systems.

For additional information, see the "<u>How To Apply</u>" section in the <u>Application Resource Guide</u> and the <u>DOJ Application Submission Checklist</u>.

Submission Dates and Time

The **SF-424 and the SF-LLL** must be submitted in Grants.gov by 11:59 p.m. eastern time on November 19, 2024.

The **full application** must be submitted in JustGrants by 8:59 p.m. eastern time on December 03, 2024.

To be considered timely, the **full application** must be submitted in JustGrants by the JustGrants application deadline. Failure to begin the SAM.gov, Grants.gov, or JustGrants registration and application process in sufficient time (i.e., waiting until the due dates identified in this solicitation for those systems to begin the application steps) is not an acceptable reason to request a technical waiver.

Experiencing Unforeseen Technical Issues Preventing Submission of an Application (Technical Waivers)

OJP will only consider requests to submit an application after the deadline when the applicant can document that a technical issue with a government system prevented submission of the application on time.

If an applicant misses a deadline due to unforeseen technical issues with SAM.gov, Grants.gov, or JustGrants, the applicant may request a waiver to submit an application after the deadline. However, the waiver request will not be considered unless it includes documentation of attempts to receive technical assistance to resolve the issue prior to the application deadline. A tracking number is the most typical documentation and is generated when the applicant contacts the applicable service desks to report technical difficulties. Tracking numbers are generated automatically when an applicant emails the applicable service desks, and for this reason, long call wait times for support do not relieve the applicant of the responsibility of getting a tracking number.

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An applicant experiencing technical difficulties must contact the associated service desk indicated below to report the technical issue and receive a tracking number:

- SAM.gov: contact the <u>SAM.gov Help Desk (Federal Service Desk)</u>, Monday–Friday from 8:00 a.m. to 8:00 p.m. ET at 866-606-8220.
- Grants.gov: contact the <u>Grants.gov Customer Support Hotline</u>, 24 hours a day, 7 days a
 week, except on federal holidays, at 800-518-4726, 606-545-5035, or
 support@grants.gov.
- JustGrants: contact the JustGrants Service Desk at <u>JustGrants.Support@usdoj.gov</u> or 833-872-5175, Monday–Friday from 7:00 a.m. to 9:00 p.m. ET and Saturday, Sunday, and federal holidays from 9:00 a.m. to 5:00 p.m. ET.

If an applicant has technical issues with SAM.gov or Grants.gov, the applicant must contact the OJP Response Center at grants@ncjrs.gov within **24 hours of the Grants.gov deadline** to request approval to submit after the deadline.

If an applicant has technical issues with JustGrants that prevent application submission by the deadline, the applicant must contact the OJP Response Center at grants@ncjrs.gov within 24 hours of the JustGrants deadline to request approval to submit after the deadline.

Waiver requests sent to the OJP Response Center must:

- Describe the technical difficulties experienced (provide screenshots if applicable);
- Include a timeline of the applicant's submission efforts (e.g., date and time the error occurred, date and time of actions taken to resolve the issue and resubmit; and date and time support representatives responded);
- Include an attachment of the complete grant application and all the required documentation and materials;
- Include the applicant's Unique Entity Identifier (UEI); and
- Include any SAM.gov, Grants.gov, and JustGrants Service Desk tracking numbers documenting the technical issue.

OJP will review each waiver request and the required supporting documentation and notify the applicant whether the request for late submission has been approved or denied. An applicant that does not provide documentation of a technical issue (including all information listed above), or that does not submit a waiver request within the required time period, will be denied. For more details on the waiver process, OJP encourages applicants to review the "Experiencing Unforeseen Technical Issues" section in the Application Resource Guide.

Application and Submission Information

Content of Application Submission and Available Surveys

The following application elements **must** be included in the application to meet the basic minimum requirements to advance to peer review and receive consideration for funding:

- SF-424 and SF-LLL (in Grants.gov)
- Proposal Narrative (in JustGrants)

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- Budget Web-Based Form, which includes the budget details and the budget narrative (in JustGrants)
- Curriculum Vitae/Resumes for Key Personnel. (For purposes of this solicitation, "key personnel" means the principal investigator, and any and all co-principal investigators.)

If NIJ determines that an application does not include these elements, it will not proceed to peer review and will not receive any further consideration.

Content of the SF-424 in Grants.gov

The SF-424 must be submitted in Grants.gov. It is a required standard form used as a cover sheet for submission of pre-applications, applications, and related information. See the <u>Application Resource Guide</u> for additional information on completing the SF-424.

In Section 8F of the SF-424, please include the name and contact information of the individual **who will complete the application in JustGrants**. JustGrants will use this information (email address) to assign the application to this user in JustGrants.

Intergovernmental Review: This funding opportunity **is not** subject to <u>Executive Order (E.O.)</u> <u>12372</u>. In completing the SF-424, an applicant should answer question 19 by selecting "Program is not covered by E.O. 12372."

Content of the JustGrants Application Submission

Entity and User Verification (First Time Applicant)

For first time JustGrants applicants, once the application is received from Grants.gov, DOJ will send an email (from DIAMD-NoReply@usdoj.gov) to the individual listed in Section 8F of the SF-424 with instructions on how to create a JustGrants account. This email should arrive within 24 hours after this individual receives confirmation from Grants.gov of their SF-424 and SF-LLL submissions. Register the Entity Administrator (the person who manages who can access JustGrants on behalf of the applicant), the Application Submitter, and Authorized Representative for the applicant with JustGrants as early as possible and (recommended) not later than 48-72 hours before the JustGrants deadline. Once registered in JustGrants, the Application Submitter will receive a link in an email to complete the rest of the application in JustGrants. Find additional information on JustGrants Application Submission in the Application Resource Guide.

Standard Applicant Information

The "Standard Applicant Information" section of the JustGrants application is pre-populated with the SF-424 data submitted in Grants.gov. The applicant will need to review the Standard Applicant Information in JustGrants and make edits as needed. Within this section, the applicant will need to add ZIP codes for areas affected by the project; confirm their Authorized Representative; and verify and confirm the organization's unique entity identifier, legal name, and address.

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Proposal Abstract

A Proposal Abstract (no more than 400 words) summarizing the proposed project — including its purpose, primary activities, expected outcomes, the service area, intended beneficiaries, and subrecipients (if known) — must be completed in the JustGrants web-based form. This abstract should be in paragraph form without bullets or tables, written in the third person, and exclude personally identifiable information. Abstracts will be made publicly available on the OJP and USASpending.gov websites if the project is awarded. See the Application Resource Guide for an example of a proposal abstract.

Data Requested With Application

The following application elements should be submitted in the web-based forms in JustGrants.

Financial Management and System of Internal Controls Questionnaire (including Applicant Disclosure of High Risk Status)

The Financial Management and System of Internal Controls Questionnaire helps OJP assess the financial management and internal control systems, and the associated potential risks of an applicant as part of the pre-award risk assessment process. Every OJP applicant (other than an individual applying in their personal capacity, not representing an applicant organization) is required to complete the web-based Questionnaire form in JustGrants. See the Application Resource Guide: Financial Management and System of Internal Controls Questionnaire (including Applicant Disclosure of High Risk Status) for additional guidance on how to complete the questionnaire.

Brief Applicant Entity Questionnaire

The Office of Justice Programs (OJP) is collecting the following information to help assess its efforts to attract a broader range of applicants. These questions apply to the applicant entity and its work and scope only, and not to the specific project(s) being proposed within the application. Responses to the questions will not be considered in the application review process. The questions included in the questionnaire are available in the Standard Forms & Instructions: Brief Applicant Entity Questionnaire.

Principal Investigator Contact Information

To facilitate administrative processes associated with application review and post review activities, applicants are requested to enter the name of the Principal Investigator for the application project, as well as their contact information.

Proposal Narrative

The Proposal Narrative should be submitted as an attachment in JustGrants. The attached document should be double-spaced, using a standard 12-point size font; have no less than 1-inch margins; and should not exceed 30 numbered pages. If the Proposal Narrative fails to comply with these length restrictions, NIJ may consider such noncompliance in peer review and in final award decisions.

The Proposal Narrative must include the following sections:

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a. Title Page (not counted against the proposal narrative page limit)

The title page should include:

- Project title
- Submission date
- Funding Opportunity Number
- Principal Investigator (and any co-principal investigators)
- Unique author identifier (e.g., ORCID, ResearcherID, Scopus Author ID), if available
- Request for consideration under "new investigator" and/or "priority areas of research"
- Key words, including science focus area.
- Contact information (that is, name address, telephone number, and email address) for both the applicant and principal investigator.
- b. Resubmit Response (if applicable) (not counted against the proposal narrative page limit)

If an applicant is resubmitted an application presented previously to NIJ, but not funded, the applicant should indicate this. A statement should be provided, no more than two pages, addressing: (1) the title, submission date, and NIJ assigned application number of the previous application; and (2) a brief summary of revisions to the application including responses to previous feedback received from NIJ.

c. Table of Contents and Figures (not counted against the proposal narrative page limit)

d. Main Body

1. Statement of the Problem

The statement of the problem should address the need for research in this area. Applicants should discuss current gaps in data, research, and knowledge, including those for particular justice sectors, for certain populations, and to answer questions relevant to current policy and practice needs and public interests. It is not uncommon for multiple problems to exist simultaneously (for example, there may be a criminal justice problem affecting public safety, a current technical challenge/need identified by forensic practitioners, as well as a scientific/technology gap between the current state of the art and a desired solution) and applicants should discuss each of these clearly and justify the importance of the separate issues. As part of this discussion, applicants should present a review of previous literature and discuss previous research related to these problems.

2. Project Design and Implementation

Applicants should provide a detailed description of the strategies to implement this research project and address the research questions. Design elements should follow directly from the research project's goals and objectives and address the programspecific information noted in the solicitation. Applicants should describe the research

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methodology and analysis plan in detail and demonstrate the validity and usefulness of the data they will collect. Applicants should consider the rigor and soundness of the methodology and analytical and technical approaches for the proposed research and address the feasibility of the proposed project and potential challenges or problems in carrying out the activities.

3. Potential Impact

Applicants should describe the potential impact of the research and how it may inform or improve criminal or juvenile justice-related policy, practice, or theory in the United States. The applicant should include a discussion of the proposed dissemination plan to produce scholarly products and to make information available to broader interested audiences, such as criminal justice practitioners or policymakers.

4. Capabilities and Competencies

This section should describe the experience and capabilities of the applicant organization, key staff, and any proposed subgrantees (including consultants) that the applicant will use to implement and manage this effort and the federal funds under this award, highlighting any previous experience implementing projects of similar scope, design, and magnitude. Applicants should address:

- Experience and capacity to work with the proposed data sources in the conduct of similar research efforts.
- Experience and capacity to design and implement rigorous research and data analysis projects.
- Experience producing and disseminating meaningful deliverables.

Applicants should also outline the management plan and organization that connects to the goals and objectives of the project. Among other factors, that plan should include a description of the anticipated level of effort of key personnel.

- 5. Appendices (not counted against the proposal narrative page limit) include:
 - If the application (including the budget) identifies any proposed noncompetitive agreements that are or may be considered procurement "contracts" (rather than subawards), for purposes of federal grants administrative requirements, the applicant also must list the entities with which the applicant proposes to contract.
 - List of other agencies, organizations, or funding sources to which this application has been submitted (if applicable).
 - Any tables/charts/graphs supporting program narrative.

6. Plan for Collecting the Data Required for This Solicitation's Performance Measures

Note: An applicant is **not** required to submit performance data with the application. Rather, performance measure information is included to provide notice that award recipients will be required to submit performance data as part of each award's reporting requirements.

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NIJ will require each award recipient to submit regular performance data that show the completed work's results. The performance data directly relate to the solicitation goals and objectives identified in the "Goals and Objectives" section. Applicants can visit OJP's performance measurement page at www.ojp.gov/performance for more information on performance measurement activities.

A list of performance measure questions for this program can be found in the "<u>Performance Measures</u>" section. Some measures are presented as examples, while others are the exact measures that every recipient will be expected to address.

NIJ will require award recipients to submit performance measure data in quarterly financial reports, semi-annual performance reports, and the final research report, and separately submit a semi-annual performance report in JustGrants. NIJ will provide further guidance on the post-award submission process, if the applicant is selected for award.

Application Goals, Objectives, Deliverables, and Timeline

The applicant will submit the project's goals, objectives, deliverables and timeline in JustGrants. This is a summary of the goals, objectives, deliverables, and timeline that relate to the proposal narrative. The applicant will also enter the projected fiscal year and quarter that each objective and deliverable will be completed. Please refer to the <u>Application Submission Job Aid</u> for step-by-step directions.

- **Goals:** The applicant should describe the project's intent to change, reduce, or eliminate the problem noted in the proposal narrative.
- **Program Objectives and Timeline:** The applicant should include objectives to accomplish its goals. Objectives are specific, measurable actions to reach the project's desired results. The included timelines should be clearly linked to the goal.
- **Deliverables and Timeline:** Project deliverables refer to outputs tangible (for example, a report or a website) or intangible (for example, greater feelings of safety reported by the community) that are documented and submitted within the scope of a project. Deliverables include timelines.

Deliverables Expected by Successful Applicants

Program objectives and deliverables should be included in one timeline.

Budget and Associated Documentation

Funding Restrictions

All recipients and subrecipients (including any for-profit organization) must forgo any profit or management fee.

Budget Detail and Narrative Web-Based Form

The applicant will complete the JustGrants web-based budget form, which includes both detailed calculations and budget narrative sections.

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For additional information about how to prepare a budget for federal funding, see the "Application Resource Guide" section on <u>Budget Preparation and Submission Information</u> and the technical steps to complete the budget form in JustGrants in the <u>Complete the Application in JustGrants</u>: Budget training.

The following paragraph (on "Cofunding") expressly modifies the "<u>Cost Sharing or Matching Requirement</u>" provisions in the Application Resource Guide. The applicant is to follow the guidance in the following paragraph instead of the guidance stated under the "Cost Sharing or Matching Requirement" heading in the OJP Grant Application Resource Guide.

Cofunding: An award made by NIJ under this solicitation may account for up to 100% of the total cost of the project. The application should indicate whether it is feasible for the applicant to contribute cash, facilities, or services as non-federal support for the project. The application should identify generally any such contributions that the applicant expects to make and the proposed budget should indicate in detail which items, if any, will be supported with non-federal contributions.

If a successful application proposes a voluntary match amount, and OJP approves the budget, the total match amount incorporated into the approved budget becomes mandatory and subject to audit.

Prior Approval, Planning, and Reporting of Conference/Meeting/Training Costs

OJP strongly encourages every applicant that proposes to use award funds for any conference, meeting, or training related activity (or similar event) to review carefully — before submitting an application — the Application Resource Guide for information on prior approval, planning, and reporting of conference/meeting/training costs.

Costs Associated With Language Assistance (if applicable)

If an applicant proposes a program or activity that would deliver services or benefits to individuals, the costs of taking reasonable steps to provide meaningful access to those services or benefits for individuals with limited English proficiency may be allowable. See the Application Resource Guide for information on costs associated with language assistance.

Budget/Financial Attachments

Pre-Agreement (Pre-Award) Costs (if applicable)

Pre-agreement costs are defined as costs requiring approval incurred by the applicant prior to the start date of the period of performance of the federal award. OJP does not typically approve pre-agreement costs. If a successful applicant, in anticipation of the federal award, but before the start date of the period of performance, incurs costs that are necessary for efficient and timely performance of the funded project, those costs may not be charged to the award. See the "Costs Requiring Prior Approval" section in the DOJ Grants Financial Guide Post-Award Requirements for more information.

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Indirect Cost Rate Agreement (if applicable)

Indirect costs are costs of an organization that are not readily assignable to a particular project but are necessary to the operation of the organization and the performance of the project. Examples of costs usually treated as indirect include those incurred for facility operation and maintenance, depreciation, and administrative salaries. The requirements for the development and submission of indirect cost proposals and cost allocation plans are listed in Appendices III – VII of 2 C.F.R. Part 200. A non-federal applicant should follow the guidelines applicable to its type of organization. If applicable, an applicant with a current federally-approved indirect cost rate agreement will upload it as an attachment in JustGrants. See the DOJ Financial Guide for additional information on Indirect Cost Rate Agreement.

Consultant Rate (if applicable)

Costs for consultant services require prior approval from OJP. If the proposed project expects to fund consultant services, compensation for individual consultant services is to be reasonable and consistent with that paid for similar services in the marketplace. See the DOJ Grants Financial Guide for information on the consultant rates, which require prior approval from OJP.

Limitation on Use of Award Funds for Employee Compensation for Awards Over \$250,000; Waiver (if applicable)

If an applicant proposes to hire employees with federal award funds, for any award of more than \$250,000 made under a funding opportunity, an award recipient may not use federal funds to pay total cash compensation (salary plus cash bonuses) to any employee of the recipient at a rate that exceeds 110% of the maximum annual salary payable to a member of the federal government's Senior Executive Service (SES) at an agency with a Certified SES Performance Appraisal System for that year. See the Application Resource Guide for information on the "Limitation on Use of Award Funds for Employee Compensation for Awards over \$250,000; Waiver."

Disclosure of Process Related to Executive Compensation (if applicable)

This notice of funding opportunity expressly modifies the Application Resource Guide by not incorporating its "Disclosure of Process Related to Executive Compensation" provisions. Applicants to this funding opportunity are not required to provide this disclosure.

Additional Application Components

The applicant will attach the additional requested documentation in JustGrants.

Curriculum Vitae or Resumes

Provide curriculum vitae or resume of the principal investigator and any and all co-principal investigators. In addition, curriculum vitae, resume, or biographical sketches of all other individuals (regardless of "investigator" status) who will be significantly involved in substantive aspects of the proposed project (including, for example, individuals such as statisticians used to conduct proposed data analysis).

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Tribal Authorizing Resolution (if applicable)

An application in response to this solicitation may require inclusion of tribal authorizing documentation as an attachment. If applicable, the applicant will upload the tribal authorizing documentation as an attachment in JustGrants. See the Application Resource Guide for information on tribal authorizing resolutions.

Letters of Support (if applicable)

Applicants should include, for each named supporting entity, a signed letter of support that outlines the supporting entity's reasons for supporting for the project and the scope of work they are committing to. Applications submitted from two or more entities are encouraged to submit signed letters of support that provide a detailed description of why the proposed project should receive federal funding.

Each letter of support should include the following: (1) a description of the relationship between the applicant entity and the supporting entity; (2) a description of the need and what benefits would be gained from the project; (3) a description of the applicant's capacity to complete the proposed project; and (4) acknowledgement that de-identified data derived from, provided by, or obtained through the award will be archived with the National Archive of Criminal Justice Data (NACJD). Letters of support should be signed by the relevant decision-maker and then submitted as one separate attachment to the application in JustGrants.

Memoranda of Understanding (MOUs) and Other Supportive Documents (if applicable)

Applicants should include for each named partner, a signed Memorandum of Understanding (MOU), letter of intent, or subcontract that confirms the partner's agreement to support the project through commitments of staff time, space, services, or other project needs. For applications submitted from two or more entities, applicants are encouraged to develop and submit signed MOUs or signed letters of intent that provide a detailed description of how the agencies will work together to meet project requirements.

Each MOU or letter of intent should include the following: (1) names of the organizations involved in the agreement; (2) what service(s) and other work will be performed under the agreement by what organization; and (3) duration of the agreement.

Subcontracts, MOUs, or letters of intent should be submitted as one separate attachment to the application.

Research and Evaluation Independence and Integrity Statement (if applicable)

If an application proposes research (including research and development) and/or evaluation, the applicant must demonstrate research/evaluation independence and integrity, including appropriate safeguards, before it may receive award funds. The applicant will upload documentation of its research and evaluation independence and integrity as an attachment in JustGrants. For additional information, see the Application Resource Guide.

Bibliography/References

Provide a bibliography of any references cited in the Proposal Narrative.

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Any Tools/Instruments, Questionnaires, Tables/Charts/Graphs, or Maps

Any tools/instruments, questionnaires, tables/charts/graphs, or maps pertaining to the proposed project that are supplemental to such items included in the main body of the narrative.

List of Individuals in the Application

To assist OJP in assessing actual or apparent conflicts of interest (including such conflicts on the part of prospective reviewers of the application), provide a complete list of the individuals named or otherwise identified anywhere in the application (including in the budget or in any other attachment) who will or may work (or advise or consult) on the proposed research, development, or evaluation project. Applicants should use the "Proposed Project Staff, Affiliation, and Roles" form available on the NIJ webpage to prepare this list.

Human Subjects Protection

Human Subjects Protection paperwork as a separate attachment (documentation and forms related to Institutional Review Board [IRB] review). See https://nij.ojp.gov/funding/human-subjects-protection. Note: Final IRB approval is not required at the time an application is submitted.

Privacy Certificate

Privacy Certificate as a separate file (for further guidance go to https://nij.ojp.gov/funding/confidentiality-and-privacy-protections and https://nij.ojp.gov/funding/privacy-certificate-quidance#model-privacy-certificate).

Request to Use Incentives or Stipends

Applicants proposing to use incentives or stipends payments as part of their research project design, must submit an incentive or stipend approval request, as a separate document, according to the requirements set forth at https://nij.ojp.gov/funding/participant-support-costs-and-incentives.

Documentation of "New Investigator" status (if applicable)

Documentation of "new investigator" status, if applicable.

Consortium/Contractual Agreements

Explain the programmatic, fiscal, and administrative arrangements to be made between the applicant organization and the consortium organization(s).

Data Archiving Plan

Applicants should anticipate that NIJ will require, through special award conditions, that data sets resulting in whole or in part from projects funded under this solicitation be submitted for archiving with the NACJD. See https://nij.ojp.gov/funding/data-archiving.

Applications should include as a separate file a brief plan — labeled "Data Archiving Plan" — to comply with data archiving requirements. The plan should provide brief details about proposed

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data management and archiving, including submission to NIJ (through NACJD) of **all files and documentation** necessary to allow for future efforts by others to reproduce the project's findings and/or to extend the scientific value of the data set through secondary analysis. Pertinent files and documentation include, among other things, **qualitative and quantitative** data produced, instrumentation and data collection forms, codebook(s), any specialized programming code necessary to reproduce all constructed measures and the original data analysis, description of necessary de-identification procedures, and (when required) a copy of the privacy certificate and informed consent protocols.

The plan should be one or two pages in length and include the level of effort associated with meeting archiving requirements.

Note that recipients are strongly encouraged to submit required data sets at least 90 days before the end of the period of performance.

Project Management Plan and Timeline

Applicants should also outline the management plan and organization that connects to the goals and objectives of the project, including the project management structure, approach, and strategies. Among other factors, that plan should include a description of the anticipated level of effort of key personnel. In addition, applicants should include a project management timeline documenting the timing for project tasks, management, milestones, and deliverables.

Disclosures and Assurances

The applicant will address the following disclosures and assurances.

Disclosure of Lobbying Activities

The SF-LLL attachment that was completed and submitted in Grants.gov is attached to this section.

Applicant Disclosure of Duplication in Cost Items

To ensure funding coordination across grant making agencies, and to avoid unnecessary or inappropriate duplication among grant awards, the applicant will disclose if it has any pending applications for federal funding, including pending applications for subawards of federal funds. Complete the JustGrants web-based Applicant Disclosure of Duplication in Cost Items form. See the Application Resource Guide for additional information.

DOJ Certified Standard Assurances

Review and accept the DOJ Certified Standard Assurances in JustGrants. See the <u>Application</u> Resource Guide for additional information.

DOJ Certifications Regarding Lobbying; Debarment, Suspension and Other Responsibility Matters; Drug-Free Workplace Requirements; Law Enforcement and Community Policing

Review and accept in JustGrants the DOJ Certifications Regarding Lobbying; Debarment, Suspension and Other Responsibility Matters; Drug-Free Workplace Requirements; Law

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Enforcement and Community Policing. See the <u>Application Resource Guide</u> for additional information

Applicant Disclosure and Justification – DOJ High-Risk Grantees

If applicable, submit the DOJ High-Risk Disclosure and Justification as an attachment in JustGrants. A DOJ High-Risk Grantee is an award recipient that has received a DOJ High-Risk designation based on a documented history of unsatisfactory performance; financial instability; management system or other internal control deficiencies; noncompliance with award terms and conditions on prior awards or is otherwise not responsible. See the Application Resource Guide for additional information.

Application Review Information

Review Criteria

Basic Minimum Review Criteria

OJP screens applications to ensure they meet the basic minimum requirements prior to conducting the peer review. Although specific requirements may vary, the following are requirements for all OJP solicitations:

- The application must be submitted by an eligible type of applicant.
- The application must request funding within any stated programmatic funding limits or boundaries.
- The application must be responsive to the scope of the solicitation.
- The application must include all items necessary to meet the basic minimum requirements.

Merit Review Criteria

Applications that meet the basic minimum requirements will be evaluated by peer reviewers on how the proposed project/program addresses the following criteria:

Statement of the Problem (understanding of the problem) (10%)

- Demonstrated understanding of the problem.
- Demonstrated importance of research questions, goals, and objectives, including alignment with the aims of the solicitation.
- Demonstrated awareness of the state of current research.

Project Design and Implementation (quality and technical merit) (45%)

- Feasibility of proposed project.
- Soundness of methods and analytic and technical approach to addressing the stated aim(s) of the proposed project.
- Awareness of potential pitfalls of proposed project design and feasibility of proposed actions to minimize and/or mitigate them.
- Feasibility of completing the deliverables noted in the solicitation.

Capabilities and Competencies (capabilities, demonstrated productivity, and experience of the applicant organization and proposed project staff) (25%)

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- Qualifications and experience of proposed project staff (that is, the principal investigator, any and all co-principal investigators, and all other individuals (and organizations) identified in the application (regardless of "investigator" status) who will be significantly involved in substantive aspects of the proposed project).
- Demonstrated ability of the applicant organization to implement the proposed strategies and manage the effort (applicants should briefly describe the management plan here; full details for the project management structure, approach, and strategies should be described in the project management plan).
- Relationship between the capabilities/competencies of the proposed project staff (including the applicant organization) and the scope and strategies of the proposed project.

Potential Impact (15%)

- Potential for a significant scientific or technical advance(s) that will improve criminal/juvenile justice in the United States.
- Potential for significantly improved understanding of the stated criminal/juvenile justice problem.
- Potential for innovative solution to address (all or a significant part of) the stated criminal/juvenile justice problem.

Budget (5%)

In addition, peer reviewers will consider and may comment on the following additional items in the context of scientific and technical merit.

- Alignment of the proposed budget with proposed project activities.
- Appropriateness of the budget relative to the level of effort.
- Use of existing resources to conserve costs.

Reviewers are not expected to conduct a detailed financial analysis and assessment of the budget but rather to consider it from the perspective of their experience.

Other Review Criteria/Factors

Other important considerations for OJP include geographic diversity, strategic priorities (specifically including, but not limited to, those priority areas already mentioned, if applicable), available funding, past performance, and the extent to which the Budget web-based form accurately explains project costs that are reasonable, necessary, and otherwise allowable under federal law and applicable federal cost principles. Costs are allowable when they are reasonable, allocable to, and necessary for the performance of the federal award, and when they comply with the funding statute and agency requirements (to include the conditions of the award), including the cost principles set out in the Uniform Requirements 2 C.F.R. Part 200, Subpart E.

Risk Criteria/Factors

Pursuant to the Part 200 Uniform Requirements, before award decisions are made, OJP also reviews information related to the degree of risk posed by the applicant. Among other things to help assess whether an applicant with one or more prior federal awards has a satisfactory record of performance, integrity, and business ethics, OJP checks whether the applicant is listed in SAM.gov as excluded from receiving a federal award.

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In addition, if OJP anticipates that an award will exceed \$250,000 in federal funds, OJP also must review and consider any information about the applicant that appears in the non-public segment of the integrity and performance system accessible through SAM.gov.

Important note on Responsibility/Qualification Data (formerly FAPIIS): An applicant may review and comment on any information about its organization that currently appears in SAM.gov and was entered by a federal awarding agency. OJP will consider such comments by the applicant, in addition to the other information in SAM.gov, in its assessment of the risk posed by the applicant.

Review Process

Applications submitted under this solicitation that meet the basic minimum requirements will be evaluated for technical merit by a peer review panel(s) in accordance with OJP peer review policy and procedures using the review criteria listed above.

All final award decisions will be made by the Director of the National Institute of Justice (NIJ), who may take into account not only peer review ratings and NIJ staff recommendations, but also other factors as indicated in this section.

Federal Award Administration Information

Federal Award Notices

Generally, award notifications are made by the end of the current Federal fiscal year, September 30. See the <u>Application Resource Guide</u> for information on award notifications and instructions.

Evidence-Based Programs or Practices

OJP strongly encourages the use of data and evidence in policymaking and program development for criminal justice, juvenile justice, and crime victim services. For additional information and resources on evidence-based programs or practices (programs or practices that have been evaluated as effective), see the Application Resource Guide.

Information Regarding Potential Evaluation of Programs and Activities

OJP may conduct or support an evaluation of the projects and activities funded under this solicitation. For additional information on what should be included in the application, see the <u>Application Resource Guide</u> section entitled "Information Regarding Potential Evaluation of Programs and Activities."

Administrative, National Policy, and Other Legal Requirements

If selected for funding, in addition to implementing the funded project consistent with the OJP-approved application, the recipient must comply with all award conditions and all applicable requirements of federal statutes and regulations, including the applicable requirements referred to in the assurances and certifications executed in connection with award acceptance. For additional information on these legal requirements, see the "Administrative, National Policy, and Other Legal Requirements" section in the <u>Application Resource Guide</u>.

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Civil Rights Compliance

If a successful applicant accepts funding from OJP — as a recipient of OJP funding — that award recipient must comply with certain federal civil rights laws that prohibit it from discriminating on the basis of race, color, national origin, sex, religion, or disability in how the recipient delivers its program's services or benefits and in its employment practices. The civil rights laws that may be applicable to the award include Title VI of the Civil Rights Act of 1964 (Title VI), the nondiscrimination provisions of the Omnibus Crime Control and Safe Streets Act of 1968 (Safe Streets Act), and Section 504 of the Rehabilitation Act of 1973. These and other federal civil rights laws are discussed in greater detail here: "Overview of Legal Requirements Generally Applicable to OJP Grants and Cooperative Agreements - FY 2024 Awards under the "Civil Rights Requirements" section, and additional resources are available from the OJP Office for Civil Rights.

Part of complying with civil rights laws that prohibit national origin discrimination includes recipients taking reasonable steps to ensure that people who are limited in their English proficiency (LEP) because of their national origin have meaningful access to a recipient's program and activity. An LEP person is one whose first language is not English and who has a limited ability to read, write, speak, or understand English. To help recipients meet this obligation to serve LEP persons, DOJ has published a number of resources, including a language access assessment and planning tool, which are available at https://www.lep.gov/language-access-planning. Additional resources are available at https://www.ojp.gov/program/civil-rights-office/limited-english-proficient-lep. If the award recipient proposes a program or activity that would deliver services or benefits to LEP individuals, the recipient may use grant funds to support the costs of taking reasonable steps (e.g., interpretation or translation services) to provide meaningful access. Similarly, recipients are responsible for ensuring that their programs and activities are readily accessible to qualified individuals with disabilities. Applicants for OJP funding must allocate grant funds or explain how other available resources will be used to ensure meaningful and full access to their programs. For example, grant funds can be used to support American Sign Language (ASL) interpreter services for deaf or hard of hearing individuals or the purchase of adaptive equipment for individuals with mobility or cognitive disabilities. For resources, see https://www.ada.gov/ or contact OJP.

Financial Management and System of Internal Controls

Award recipients and subrecipients (including recipients or subrecipients that are pass-through entities) must, as described in the Part 200 Uniform Requirements as set out at 2 C.F.R. § 200.303, comply with standards for financial and program management. See the Application Resource Guide for additional information.

Information Technology Security Clauses

An application in response to this solicitation may require inclusion of information related to information technology security. See the Application Resource Guide for more information.

General Information About Post-Federal Award Reporting Requirements

In addition to the deliverables described in the "Program Description" section, all award recipients under this solicitation will be required to submit certain reports and data.

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Required reports. Award recipients must submit quarterly financial reports, semi-annual performance reports, final financial and performance reports, additional reports required under this solicitation, and, if applicable, an annual audit report in accordance with the Part 200 Uniform Requirements or specific award conditions. Future awards and fund drawdowns may be withheld if reports are delinquent (in appropriate cases, OJP may require additional reports).

See the <u>Application Resource Guide</u> for additional information on specific post-award reporting requirements, including performance measure data.

Federal Awarding Agency Contact(s)

For questions directed to the Federal Awarding Agency, see OJP Response Center contact information in the solicitation Synopsis.

For OJP contact(s), contact information for Grants.gov, and contact information for JustGrants, see the solicitation Synopsis.

Other Information

Freedom of Information and Privacy Act (5 U.S.C. §§ 552 and 552a)

See the <u>Application Resource Guide</u> for information on the Freedom of Information and Privacy Act (5 U.S.C. §§ 552 and 552a).

Provide Feedback to OJP

See the <u>Application Resource Guide</u> for information on how to provide feedback to OJP.

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Performance Measures

Objective	Performance Measure(s)	Data Recipient Provides
Conduct research in social and behavioral sciences that has clear implications for criminal justice policy and practice in the United States.	1. Relevance to the needs of the field as measured by whether the project's substantive scope did not deviate from the funded project of any subsequent agency-approved modifications to the scope.	1. Quarterly financial reports, semi-annual and final performance reports, deliverables required under Category 1 and Category 2, and products of the work performed under the NIJ award (including, at minimum, a final research report). If applicable, an annual audit report.
Conduct research in science, technology, engineering, and/or mathematics that has clear implications for criminal or juvenile justice policy and practice in the United States	2. Quality of the research as demonstrated by the scholarly products that result, in whole or in part, from work funded under the NIJ award, such as published, peer-reviewed, scientific journal articles, and/or (as appropriate for the funded project) law review journal articles, book chapter(s) or book(s) in the academic press, technological prototypes, patented inventions, or similar scientific products.	2. List of citation(s) to all scholarly products that resulted, in whole or in part, from work funded under the NIJ award.
	3. Quality of management as measured by such factors as whether significant project milestones were achieved, reporting and other deadlines were met, and costs remained within approved limits.	3. If applicable, each data set that resulted, in whole or in part, from work funded under the NIJ award.

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Application Checklist

NIJ FY24 Evaluation of BJA Byrne State Crisis Intervention Program (SCIP): Scan of Practices and Evaluability Assessments

This application checklist has been created as an aid in developing an application. For more information, reference The OJP Application Submission Steps in the OJP Grant Application Resource Guide and the DOJ Application Submission Checklist.

Pre-Application

Before Registering in Grants.gov

 Confirm your entity's registration in the <u>System Award Management (SAM.gov)</u> is active through the solicitation period; submit a new or renewal registration in SAM.gov if needed (see <u>Application Resource Guide</u>).

Register in Grants.gov

- Acquire an Authorized Organization Representative (AOR) and a Grants.gov username and password (see <u>Application Resource Guide</u>).
- Acquire AOR confirmation from the E-Business Point of Contact (E-Biz POC) (see Application Resource Guide).

Find the Funding Opportunity

- Search for the funding opportunity in Grants.gov using the opportunity number, assistance listing number, or keyword(s).
- Access the funding opportunity and application package (see Step 7 in the <u>Application Resource Guide</u>).
- Select the correct Competition ID:
 - o Category 1: C-NIJ-2024-00011-PROD
 - o Category 2: C-NIJ-2024-00012-PROD
- Sign up for Grants.gov email <u>notifications</u> (optional) (see <u>Application Resource Guide</u>).
- Read <u>Important Notice: Applying for Grants in Grants.gov</u> (about <u>browser compatibility</u> and special characters in file names).
- Read OJP policy and guidance on conference approval, planning, and reporting available at https://www.ojp.gov/funding/financialguidedoj/iii-postaward-requirements#6g3y8 (see https://www.ojp.gov/funding/financialguidedoj/iii-postaward-requirements#6g3y8 (

Review the Overview of Post-Award Legal Requirements

• Review the "Overview of Legal Requirements Generally Applicable to OJP Grants and Cooperative Agreements - FY 2024 Awards" in the OJP Funding Resource Center.

Review the Scope Requirement

• The federal amount requested is within the allowable limit(s) of: Category 1: \$600,000; and Category 2: up to \$1,500,000 per award.

Review Eligibility Requirement

 Review the "Eligibility" section in the Synopsis and "Eligibility Information" section in the solicitation.

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Application Step 1

After registering with SAM.gov, submit the SF-424 and SF-LLL in Grants.gov.

• In Section 8F of the SF-424, include the name and contact information of the individual who will complete the application in JustGrants and the SF-LLL in Grants.gov.

Within 48 hours after the SF-424 and SF-LLL submission in Grants.gov, receive four (4) Grants.gov email notifications:

- A submission receipt.
- A validation receipt.
- A grantor agency retrieval receipt.
- An agency tracking number assignment.

If no Grants.gov receipt and validation email is received, or if error notifications are received:

Contact OJP Response Center at grants@ncjrs.gov or 800-851-3420 or TTY: 301-240-6310 (hearing impaired only) or Grants.gov Customer Support Hotline at 800-518-4726, 606-545-5035, Grants.gov customer support, or support@grants.gov regarding technical difficulties (see "Application Resource Guide" section on Experiencing Unforeseen Technical Issues).

Within 24 hours after receipt of confirmation emails from Grants.gov, the individual in Section 8F of the SF-424 will receive an email from JustGrants with login instructions.

Proceed to Application Step 2 and complete application in JustGrants.

Application Step 2

Submit the following information in JustGrants:

Application Components

- Entity and User Verification (First Time Applicant)
- Standard Applicant information (SF-424 information from Grants.gov)
- Proposal Abstract
- Proposal Narrative* (Critical Component)
- Application Goals, Objectives, Deliverables, and Timeline

Budget and Associated Documentation

- Budget Detail Narrative and web-based form* (Critical Component)
- Financial Management and System of Internal Controls Questionnaire (see <u>Application</u> Resource Guide)
- Indirect Cost Rate Agreement (if applicable) (see <u>Application Resource Guide</u>)

Additional Application Components

- Curriculum Vitae or Resumes* (Critical Component)
- Tribal Authorizing Resolution (if applicable) (see Application Resource Guide)

• Letters of Support (if applicable)

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- Memorandum of Understanding (MOUs) and Other Supportive Documents (if applicable)
- Research and Evaluation Independence and Integrity Statement (see <u>Application</u> <u>Resource Guide</u>)
- Bibliography/References
- Any Tools/Instruments, Questionnaires, Tables/Charts/Graphs, or Maps
- List of Individuals in the Application
- Human Subjects Protection
- Privacy Certificate
- Request to Use Incentives or Stipends
- Documentation of "New Investigator" Status (if applicable)
- Consortium/Contractual Arrangements
- Data Archiving Plan
- Project Management Plan and Timeline
- Request and Justification for Employee Compensation; Waiver (if applicable) (see <u>Application Resource Guide</u>)

Disclosures and Assurances

- Disclosure of Lobbying Activities (SF-LLL) (see Application Resource Guide)
- Applicant Disclosure of Duplication in Cost Items (see <u>Application Resource Guide</u>)
- DOJ Certified Standard Assurances (see Application Resource Guide)
- DOJ Certifications Regarding Lobbying; Debarment, Suspension and Other Responsibility Matters; Drug-Free Workplace Requirements; Law Enforcement and Community Policing (see <u>Application Resource Guide</u>)
- Applicant Disclosure and Justification DOJ High-Risk Grantees (if applicable) (see <u>Application Resource Guide</u>)

*Note: Items designated with an asterisk must be submitted for an application to meet the basic minimum requirements review. If OJP determines that an application does not include the designated items, it will neither proceed to peer review, nor receive further consideration.

Review, Certify, and Submit Application in JustGrants

- Any validation errors will immediately display on screen after submission.
- Correct validation errors, if necessary, and then return to the "Certify and Submit" screen
 to submit the application. Access the <u>Application Submission Validation Errors Quick</u>
 <u>Reference Guide</u> for step-by-step instructions to resolve errors prior to submission.
- Once the application is submitted and validated, a confirmation message will appear at the top of the page. Users will also receive a notification in the "bell" alerts confirming submission.

If no JustGrants application submission confirmation email or validation is received, or if error notification is received —

 Contact the JustGrants Service Desk at 833-872-5175 or <u>JustGrants.Support@usdoj.gov</u> regarding technical difficulties. See the <u>Application</u> <u>Resource Guide</u> for additional information.

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Standard Solicitation Resources

<u>Application Resource Guide</u> provides guidance to assist OJP grant applicants in preparing and submitting applications for OJP funding.

<u>DOJ Grants Financial Guide</u> serves as the primary reference manual to assist award recipients in fulfilling their fiduciary responsibility to safeguard grant funds and to ensure funds are used for the purposes for which they were awarded. It compiles a variety of laws, rules, and regulations that affect the financial and administrative management of DOJ awards. This guide serves as a starting point for all award recipients and subrecipients of DOJ grants and cooperative agreements in ensuring the effective day-to-day management of awards.

<u>JustGrants Resources Website</u> is an entryway into information about JustGrants and the grants management system itself. Through this portal both award recipients and applicants can access training resources and user support options, find frequently asked questions, and sign up for the <u>JustGrants Update e-newsletter</u>.

<u>JustGrants Application Submission Training page</u> offers helpful information and resources on the application process. This training page includes e-learning videos, reference guides, checklists, and other resources to help applicants complete an application.

<u>Weekly Training Webinars</u> are advertised here and provide opportunities for users to receive topic-specific training, direct technical assistance, and support on JustGrants system functionality.

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