OMB No. 1121-0329 Expires 12/31/2023

U.S. Department of Justice Office of Justice Programs National Institute of Justice



# NIJ FY 2023 Invited to Apply - Support Activities under Section 13(d) of Executive Order 14074

Assistance Listing Number # 16.560

**Grants.gov Opportunity Number:** O-NIJ-2023-171870

Solicitation Release Date: August 29, 2023 2:30 PM ET

**Application Grants.gov Deadline:** September 01, 2023 11:59 PM ET **Application JustGrants Deadline:** September 08, 2023 8:59 PM ET

#### Overview

The <u>U.S. Department of Justice</u> (DOJ), <u>Office of Justice Programs</u> (OJP), <u>National Institute of Justice</u> (NIJ) seeks applications for funding to study law enforcement use of probabilistic genotyping, forensic DNA phenotyping, and forensic investigative genetic genealogy technologies and law enforcement use of person-based predictive policing approaches as directed by Section 13(d) in Executive Order 14074 "<u>Advancing Effective</u>, <u>Accountable Policing and Criminal Justice Practices to Enhance Public Trust and Public Safety</u>." This program furthers the Department's mission by reducing crime and protecting law enforcement officers and others working in the criminal justice system.

This solicitation incorporates guidance provided in the <u>OJP Grant Application Resource Guide</u> which provides additional information for applicants to prepare and submit applications to OJP for funding. If this solicitation expressly modifies any provision in the OJP Grant Application Resource Guide, the applicant is to follow the quidelines in this solicitation as to that provision.

#### **Solicitation Categories**

This solicitation does not include Solicitation Categories.

#### **Eligible Applicants:**

Other

#### Other

Only the applicants that received an official invitation letter from NIJ are able and

Page 1 of 25 O-NIJ-2023-171870 eligible to apply. Please refer to that letter for the eligibility requirements.

#### Contact Information

For assistance with requirements of this solicitation, contact Kyle Fox, Science and Technology Research Advisor by telephone at 202-598-1065, or by email at kyle.fox@usdoj.gov.

For additional assistance with the requirements of this solicitation, contact the OJP Response Center at 800-851-3420, 301-240-6310 (TTY for hearing-impaired callers only), or <a href="mailto:grants@ncjrs.gov">grants@ncjrs.gov</a>. The OJP Response Center operates from 10:00 a.m. to 6:00 p.m. eastern time (ET) Monday–Friday, and 10:00 a.m. to 8:00 p.m. ET on the solicitation close date.

#### **Submission Information**

<u>Registration</u>: Before submitting an application, all applicants must register with the System for Award Management (SAM). You must renew and validate your registration every 12 months. If you do not renew your SAM registration, it will expire. An expired registration can delay or prevent application submission in Grants.gov and JustGrants. Registration and renewal can take up to 10 business days to complete.

<u>Submission</u>: Applications must be submitted to DOJ electronically through a two-step process via Grants.gov and JustGrants.

<u>Step 1</u>: The applicant must submit by the Grants.gov deadline the required **Application** for Federal Assistance standard form (SF)-424 and a Disclosure of Lobbying Activities (SF-LLL) form when they register in Grants.gov at <a href="https://www.grants.gov/web/grants/register.html">https://www.grants.gov/web/grants/register.html</a>. Submit the SF-424 and SF-LLL as early as possible, but no later than 48 hours before the Grants.gov deadline. If an applicant fails to submit in Grants.gov, they will be unable to apply in JustGrants.

For technical assistance with submitting the SF-424 and an SF-LLL in Grants.gov, contact the Grants.gov Customer Support Hotline at 800-518-4726, 606-545-5035, Grants.gov Customer Support, or support@grants.gov. The Grants.gov Support Hotline operates 24 hours a day, 7 days a week, except on federal holidays.

<u>Step 2</u>: The applicant must then submit the **full application**, including attachments, in JustGrants at <u>JustGrants.usdoj.gov</u> by the JustGrants deadline. To be considered timely, the full application must be submitted in JustGrants by the JustGrants application deadline.

For technical assistance with submitting the **full application** in JustGrants, contact the JustGrants Service Desk at 833-872-5175 or <u>JustGrants.Support@usdoj.gov</u>. The JustGrants Service Desk operates 7 a.m. to 9 p.m. ET Monday–Friday and 9 a.m. to 5 p.m. ET on Saturday, Sunday, and Federal holidays.

OJP encourages applicants to review the "How to Apply" section in the <u>OJP Grant Application Resource Guide</u> and the <u>JustGrants website</u> for more information, resources, and training. Applicants should maintain all receipts and confirmations received from SAM.gov, Grants.gov, JustGrants systems.

### **Contents**

Overview	1
Contact Information	2
Program Description	7
Overview	7
Specific Information	7
Goals, Objectives, and Deliverables	7
Evidence-Based Programs	9
Information Regarding Potential Evaluation of Programs and Activities	9
Federal Award Information	9
General Guidance for Federal Award	9
Awards, Amounts and Durations	9
Type of Award	9
Financial Management and System Controls	10
Cost Sharing or Matching Requirement	10
Pre-agreement Costs (also known as Pre-award Costs)	10
Limitation on Use of Award Funds for Employee Compensation:	10
Waiver	
Prior Approval, Planning, and Reporting of Conference/Meeting/	10
Training Costs	4.0
Costs Associated with Language Assistance (if applicable)	10
Availability of Funds	10
Eligibility Information	10
Application and Submission Information	11
Content of Application Submission	11
Information to Complete the Application for Federal Assistance (SF-424) in Grants.gov	11
Standard Applicant Information (JustGrants 424 and General Agel Information)	10y 11
Proposal Abstract	11
Proposal Narrative	12
Goal, Objective and Deliverables	13
Budget and Associated Documentation	13
Budget Worksheet and Budget Narrative (attachment)	13
Indirect Cost Rate Agreement (if applicable)	13
Employee Compensation Waiver	13
Financial Management and System of Internal Controls	14

Questionnaire (including applicant disclosure of high-risk status)	
Disclosure of Process Related to Executive Compensation	14
Additional Application Components	14
Curriculum Vitae or Resumes	14
Tribal Authorizing Resolution	14
Research and Evaluation Independence and Integrity Statement	14
Bibliography/reference	15
Any tools/instruments, questionnaires, tables/chart/graphs, or maps	15
List of Individuals in the Application	15
Human Subjects Protection	15
Privacy Certificate	15
Request to Use Incentives or Stipends	15
Disclosure and Assurances	16
Disclosure of Lobbying Activities	16
DOJ Certified Standard Assurances	16
Applicant Disclosure of Duplication in Cost Items	16
DOJ Certifications Regarding Lobbying; Debarment, Suspension and Other Responsibility Matters; and Drug-Free Workplace	16 16
Requirements; Law Enforcement and Community Policing	
Applicant Disclosure and Justification – DOJ High Risk Grantees (applicable)	1116
How to Apply	16
Submission Dates and Time	17
Application Review Information	19
Review Process	19
Federal Award Administration Information	19
Federal Award Notices	20
Administrative, National Policy, and Other Legal Requirements	20
Information Technology (IT) Security Clauses	20
General Information about Post-Federal Award Reporting	20
Requirements	
Federal Awarding Agency Contact(s)	20
Other Information	20
Freedom of Information and Privacy Act (5 U.S.C. 552 and 5 U.S.C. 552a)	20
Provide Feedback to OJP	21
Application Checklist	22
Standard Solicitation Resources	25

#### **Program Description**

#### Overview

OJP is committed to advancing work that promotes civil rights and racial equity, increases access to justice, supports crime victims and individuals impacted by the justice system, strengthens community safety and protects the public from crime and evolving threats, and builds trust between law enforcement and the community.

The purpose of this solicitation is to study law enforcement use of probabilistic genotyping, forensic DNA phenotyping, and forensic investigative genetic genealogy technologies and law enforcement use of person-based predictive policing approaches as outlined in Executive Order 14074 Section 13(d).

**Statutory Authority:** Title I of the Omnibus Crime Control and Safe Streets Act of 1968 (sections 201 and 202); the Homeland Security Act of 2002 (sections 231 – 233, 235); and 28 U.S.C. § 530C.

#### **Specific Information**

Executive Order 14074 Section 13(d) directed the Attorney General to request the National Academy of Sciences (NAS), through its National Research Council, to enter into a contract to:

- (i) conduct a study of facial recognition technology, other technologies using biometric information, and predictive algorithms, with a particular focus on the use of such technologies and algorithms by law enforcement, that includes an assessment of how such technologies and algorithms are used, and any privacy, civil rights, civil liberties, accuracy, or disparate impact concerns raised by those technologies and algorithms or their manner of use; and
- (ii) publish a report detailing the findings of that study, as well as any recommendations for the use of or for restrictions on facial recognition technologies, other technologies using biometric information, and predictive algorithms by law enforcement.

The National Academies is already conducting a consensus study (anticipated November 2023) assessing current capabilities, future possibilities, societal implications, and governance of facial recognition technologies. To address other technologies using biometric information, the National Academies would conduct a two-day public workshop on law enforcement use of probabilistic genotyping, forensic DNA phenotyping, and forensic investigative genetic genealogy. To address predictive algorithms, the National Academies would conduct a separate workshop examining person-based predictive policing algorithms. Taken together, the consensus study and two workshops will fulfill the executive order's request for guidance.

#### Goals, Objectives, and Deliverables

#### Goals

The major goals for this project are to study law enforcement use of probabilistic genotyping, forensic DNA phenotyping, and forensic investigative genetic genealogy technologies and law enforcement use of person-based predictive policing approaches.

#### **Objectives**

An applicant should address all the objectives listed below in the Goals, Objectives, Deliverables, and Timeline web-based form.

The goals of the project are to be accomplished through the following objectives:

- (1) Conduct a public workshop on law enforcement use of probabilistic genotyping, forensic DNA phenotyping, and forensic investigative genetic genealogy technologies, to include:
  - a. an assessment of how such technologies and algorithms are used, and b. any privacy, civil rights, civil liberties, accuracy, or disparate impact concerns raised by those technologies and algorithms or their manner of use; and
- (2) Publish a report detailing the findings of that workshop, as well as any recommendations for the use of or for restrictions on these technologies by law enforcement.
- (3) Conduct a public workshop on law enforcement use of person-based predictive policing strategies, to include:
  - a. an assessment of how such technologies and algorithms are used, and b. any privacy, civil rights, civil liberties, accuracy, or disparate impact concerns raised by those technologies and algorithms or their manner of use; and
- (4) Publish a report detailing the findings of that workshop, as well as any recommendations for the use of or for restrictions on these technologies by law enforcement.

#### **Deliverables**

Deliverables for this project include:

- 1) Semi-annual progress reports detailing the status of E.O. 14074 13(d) related activities.
- 2) Proceedings of a workshop in brief (PIB) and a proceedings of a workshop in

accordance with National Academies institutional guidelines for each workshop.

The goals, objectives, and deliverables are directly related to the performance measures that show the completed work's results, as discussed in the "Application and Submission Information" section.

#### **Evidence-Based Programs**

OJP strongly encourages the use of data and evidence in policymaking and program development for criminal justice, juvenile justice, and crime victim services. For additional information and resources on evidence-based programs or practices, see the OJP Grant Application Resource Guide.

#### Information Regarding Potential Evaluation of Programs and Activities

OJP may conduct or support an evaluation of the programs and activities funded under this solicitation. For additional information and applicable documentation to be included in the application, see the OJP Grant Application Resource Guide section entitled "Information Regarding Potential Evaluation of Programs and Activities."

#### Federal Award Information

#### **General Guidance for Federal Award**

Anticipated Number of Awards: 1

Anticipated Maximum Dollar Amount of awards: \$650,000

Period of performance start date: October 1, 2023

Period of performance duration: 18 months

Anticipated Total Amount to be Awarded Under Solicitation: \$650,000

#### **Solicitation Categories**

This solicitation does not include Solicitation Categories.

#### **Awards, Amounts and Durations**

#### Type of Award

NIJ expects to make awards under this solicitation as a grant. See the "Administrative, National Policy, and Other Legal Requirements" section of the OJP Grant Application Resource Guide for a brief discussion of important statutes, regulations, and award conditions that apply to many (or in some cases, all) OJP grants.

#### **Financial Management and System Controls**

Award recipients and subrecipients (including recipients or subrecipients that are pass-through entities) must, as described in the Part 200 Uniform Requirements as set out at 2 C.F.R. 200.303, comply with standards for financial and program management. See the OJP Grant Application Resource Guide for additional information.

#### **Cost Sharing or Matching Requirement**

This solicitation does not require a match.

#### **Pre-agreement Costs (also known as Pre-award Costs)**

See the OJP Grant Application Resource Guide for information on Pre-agreement Costs (also known as Pre-award Costs).

#### Limitation on Use of Award Funds for Employee Compensation: Waiver

See the OJP Grant Application Resource Guide for information on the Limitation on Use of Award Funds for Employee Compensation; Waiver.

#### Prior Approval, Planning, and Reporting of Conference/Meeting/Training Costs

See the OJP Grant Application Resource Guide for information on Prior Approval, Planning, and Reporting of Conference/Meeting/Training Costs.

#### **Costs Associated with Language Assistance (if applicable)**

See the OJP Grant Application Resource Guide for information on Costs Associated with Language Assistance.

#### **Availability of Funds**

This solicitation, and awards under this solicitation, are subject to the availability of appropriated funds and to any modifications or additional requirements that may be imposed by the agency or by law. In addition, nothing in this solicitation is intended to, and does not, create any right or benefit, substantive or procedural, enforceable at law or in equity by any party against the United States, its departments, agencies, or entities, its officers, employees, or agents, or any other person.

#### Eligibility Information

For eligibility information, see the solicitation cover page.

For information on cost sharing or matching requirements, see the Federal Award

Page 10 of 25 O-NIJ-2023-171870 Information section.

All recipients and subrecipients (including any for-profit organization) must forgo any profit or management fee.

#### Application and Submission Information

#### **Content of Application Submission**

See the "Application Elements and Formatting Instructions" section of the <u>OJP Grant Application Resource Guide</u> for information on what happens to an application that does not contain all the specified elements or is nonresponsive to the scope of the solicitation.

## Information to Complete the Application for Federal Assistance (SF-424) in Grants.gov

The SF-424 must be submitted in Grants.gov. It is a required standard form used as a cover sheet for submission of pre-applications, applications, and related information. See the OJP Grant Application Resource Guide for additional information on completing the SF-424.

In Section 8F of the SF-424, please include the name and contact information of the individual **who will complete the application in JustGrants**. JustGrants will use this information *(email address)* to assign the application to this user in JustGrants.

**Intergovernmental Review:** This solicitation ("funding opportunity") **is not** subject to Executive Order 12372. In completing the SF-424, an applicant is to answer question 19 by selecting the response that the "Program is not covered by E.O. 12372."

#### Standard Applicant Information (JustGrants 424 and General Agency Information)

The Standard Applicant Information section of the JustGrants application is prepopulated with the SF-424 data submitted in Grants.gov. The applicant will need to review the Standard Applicant Information in JustGrants and make edits as needed. Within this section, the applicant will need to add: zip codes for areas affected by the project; confirm their Authorized Organization Representative; and verify and confirm the organization's unique entity identifier, legal name, and address.

#### **Proposal Abstract**

A Proposal Abstract (no more than 400 words) summarizing the proposed project—including its purpose, primary activities, expected outcomes, the service area, intended beneficiaries, and subrecipients (if known) — must be completed in the JustGrants web-based form. This abstract should be in paragraph form without bullets or tables, written in the third person, and exclude personally identifiable information. Abstracts will be made publicly available on the OJP and USASpending.gov websites if

the project is awarded. See the OJP Grant Application Resource Guide for an example of a proposal abstract.

#### **Proposal Narrative**

The Proposal Narrative should be submitted as an attachment in JustGrants. The attached document should be double-spaced, using a standard 12-point font; have no less than 1-inch margins; and should not exceed 10 numbered pages.

The Proposal Narrative must include the following sections:

#### Statement of the Problem

Describe why this project/ these proposed activities are necessary (significance/ value) and/or address a need and include supporting information.

#### **Project Design and Implementation**

Describe the strategy to address the needs identified in the Description of the Issue/Statement of the Problem. List the program activities and describe how they relate to the stated objectives. Activities are the specific actions to be undertaken to fulfill the program's objectives and reach the program's goal(s). Provide a detailed description of the method(s) to be used to carry out each activity. Provide a timetable indicating roughly when the activities or program milestones are to be accomplished.

#### Capabilities and Competencies

Describe the capabilities and competencies required to accomplish the goals and objectives of the project.

### Plan for Collecting the Data Required for this Solicitation's Performance Measures

Describe the process for measuring project performance. Identify who will collect the data; who is responsible for performance measurements; and how the information will be used to guide and evaluate the project's impact. Describe the process to accurately report data.

Note: An applicant is **not** required to submit performance data with the application. Rather, performance measure information is included as a notification that award recipients will be required to submit performance data as part of each award's reporting requirements. Some measures are presented as examples, while others are the exact measures that every recipient will be expected to address.

OJP will require each award recipient to submit regular performance data that show
Page 12 of 25
O-NIJ-2023-171870

the completed work's results. The performance data directly relate to the goals, objectives, and deliverables identified in the "Goals, Objectives, and Deliverables" discussion. An applicant can visit OJP's performance measurement page at <a href="https://www.ojp.gov/performance">www.ojp.gov/performance</a> for an overview of performance measurement activities at OJP.

NIJ will require award recipients to submit performance measure data and performance reports in JustGrants. NIJ will provide further guidance on the post-award submission process, at the time of award.

#### **Note on Project Evaluations**

An applicant that proposes to use award funds through this solicitation to conduct project evaluations must follow the guidance in the "Note on Project Evaluations" section in the OJP Grant Application Resource Guide.

#### **Goal, Objective and Deliverables**

Applicants will submit the project's goals, objectives, deliverables and timelines in the JustGrants Web-based form. The projected fiscal year and quarter the objectives and deliverables will be completed is also entered into the form. Please refer to the <u>Application Submission Job Aid</u> for step-by-step directions.

Goals. The applicant should outline the project's goals.

Program Objectives. The applicant should explain how the program will accomplish its goals. Objectives are specific, quantifiable statements of the project's desired results. They should be measurable and clearly linked to the goal.

Deliverables. Project deliverables refer to outputs—tangible or intangible—that are submitted within the scope of a project.

#### **Budget and Associated Documentation**

#### **Budget Worksheet and Budget Narrative (attachment)**

The applicant will complete the budget worksheet attachment and submit it by uploading it as an attachment in JustGrants. See the OJP Grant Application Resource Guide for additional information.

#### **Indirect Cost Rate Agreement (if applicable)**

If applicable, the applicant will upload their indirect cost rate agreement as an attachment in JustGrants. See the OJP Grant Application Resource Guide for additional information.

#### **Employee Compensation Waiver**

See the OJP Grant Application Resource Guide for information on the Limitation on Use of Award Funds for Employee Compensation for Awards over \$250,000; Waiver.

## Financial Management and System of Internal Controls Questionnaire (including applicant disclosure of high-risk status)

The applicant will download the questionnaire, complete it, and upload it as an attachment in JustGrants. See the OJP Grant Application Resource Guide for additional information and the link to the questionnaire.

#### **Disclosure of Process Related to Executive Compensation**

This solicitation expressly modifies the OJP Grant Application Resource Guide by not incorporating its "Disclosure of Process Related to Executive Compensation" provisions. Applicants to this solicitation are not required to provide this disclosure.

#### **Additional Application Components**

The applicant will attach the additional requested documentation in JustGrants.

- Curriculum Vitae or Resumes
- Tribal Authorizing Resolution (if applicable)
- Research and Evaluation Independence and Integrity
- Bibliography/References
- Any Tools/Instruments, Questionnaires, Tables/Charts/Graphs, or Maps
- List of Individuals in the Application
- Human Subjects Protection
- Privacy Certificate
- Reguest to Use Incentives or Stipends

#### **Curriculum Vitae or Resumes**

Provide resumes of key personnel.

#### **Tribal Authorizing Resolution**

An application in response to this solicitation may require inclusion of tribal authorizing documentation as an attachment. If applicable, the applicant will upload the tribal authorizing documentation as an attachment in JustGrants. See the <a href="OJP Grant">OJP Grant</a> Application Resource Guide for information on tribal authorizing resolutions.

#### **Research and Evaluation Independence and Integrity Statement**

If an application proposes research (including research and development) and/or

evaluation, the applicant must demonstrate research/evaluation independence and integrity, including appropriate safeguards, before it may receive award funds. The applicant will upload documentation of its research and evaluation independence and integrity as an attachment in JustGrants. For additional information, see the OJP Grant Application Resource Guide.

#### Bibliography/reference

Provide a bibliography of any references cited in the Proposal Narrative.

#### Any tools/instruments, questionnaires, tables/chart/graphs, or maps

Any tools/instruments, questionnaires, tables/charts/graphs, or maps pertaining to the proposed project that are supplemental to such items included in the main body of the narrative.

#### **List of Individuals in the Application**

Applicant should use the "Proposed Project Staff, Affiliation, and Roles" form available on the NIJ webpage to prepare this list.

#### **Human Subjects Protection**

Human Subjects Protection paperwork as a separate attachment (documentation and forms related to Institutional Review Board [IRB] review). See <a href="https://nij.ojp.gov/funding/human-subjects-protection">https://nij.ojp.gov/funding/human-subjects-protection</a>. Note: Final IRB approval is not required at the time an application is submitted.

#### **Privacy Certificate**

Privacy Certificate as a separate file (for further guidance go to <a href="https://nij.ojp.gov/funding/confidentiality-and-privacy-protections">https://nij.ojp.gov/funding/confidentiality-and-privacy-protections</a> and <a href="https://nij.ojp.gov/funding/model-privacy-certificate">https://nij.ojp.gov/funding/confidentiality-and-privacy-protections</a> and <a href="https://nij.ojp.gov/funding/confidentiality-and-privacy-protections">https://nij.ojp.gov/funding/confidentiality-and-privacy-protections</a> and <a href="https://nij.ojp.gov/funding/confidentiality-and-privacy-protections">https://nij.ojp.gov/funding/confidentiality-and-privacy-protections

#### Request to Use Incentives or Stipends

Applicants proposing to use incentives or stipend payments as part of their research project design must submit an incentive or stipend approval request, as a separate document, according to the requirements set forth at <a href="https://nij.ojp.gov/funding/participant-support-costs-and-incentives-social-science-research">https://nij.ojp.gov/funding/participant-support-costs-and-incentives-social-science-research</a>.

#### **Disclosure and Assurances**

The applicant will address the following disclosures and assurances.

#### **Disclosure of Lobbying Activities**

Complete and submit the SF-LLL in Grants.gov. Once the applicant submits in Grants.gov, this information will pre-pend into JustGrants. See the OJP Grant Application Resource Guide for additional information.

#### **DOJ Certified Standard Assurances**

Review and accept the DOJ Certified Standard Assurances in JustGrants. See the OJP Grant Application Resource Guide for additional information.

#### **Applicant Disclosure of Duplication in Cost Items**

Complete the JustGrants web-based Applicant Disclosure of Duplication in Cost Items form. See the OJP Grant Application Resource Guide for additional information.

DOJ Certifications Regarding Lobbying; Debarment, Suspension and Other Responsibility Matters; and Drug-Free Workplace Requirements; Law Enforcement and Community Policing

Review and accept the DOJ Certified Certifications Regarding Lobbying; Debarment, Suspension and Other Responsibility Matters; Drug-Free Workplace Requirements; and Law Enforcement and Community Policing in JustGrants. See the OJP Grant Application Resource Guide for additional information.

#### Applicant Disclosure and Justification – DOJ High Risk Grantees (if applicable)

If applicable, submit the DOJ High Risk Disclosure and Justification as an attachment in JustGrants. A DOJ High Risk Grantee is an award recipient that has received a DOJ High Risk designation based on a documented history of unsatisfactory performance; financial instability; management system or other internal control deficiencies; noncompliance with award terms and conditions on prior awards, or is otherwise not responsible. See the OJP Grant Application Resource Guide for additional information.

#### **How to Apply**

<u>Registration</u>: Before submitting an application, all applicants must register with the System for Award Management (SAM). You must renew and validate your registration every 12 months. If you do not renew your SAM registration, it will expire. An expired registration can delay or prevent application submission in Grants.gov and JustGrants.

Registration and renewal can take up to 10 business days to complete.

<u>Submission</u>: Applications must be submitted to DOJ electronically through a two-step process via Grants.gov and JustGrants.

Step 1: After registering with SAM, the applicant must submit the SF-424 and an SF-LLL in Grants.gov at <a href="https://www.grants.gov/web/grants/register.html">https://www.grants.gov/web/grants/register.html</a> by the Grants.gov deadline. Submit the SF-424 and SF-LLL as early as possible, but no later than 48 hours before the Grants.gov deadline. If an applicant fails to submit in Grants.gov, they will be unable to apply in JustGrants.

**Step 2**: The applicant must then submit the **full application**, including attachments, in JustGrants at <u>JustGrants.usdoj.gov</u> by the JustGrants deadline.

Within 24 hours after receipt of confirmation emails from Grants.gov, the individual in Section 8F of the SF-424 will receive an email from <a href="DIAMD-NoReply@usdoj.gov">DIAMD-NoReply@usdoj.gov</a> with instructions on how to create a JustGrants account. Register the Entity Administrator and the Application Submitter with JustGrants as early as possible but no later than 48-72 hours before the JustGrants deadline. Once registered in JustGrants, the Application Submitter will receive an emailed link to complete the rest of the application in JustGrants. The Entity Administrator also will need to log into JustGrants to review and invite the applicant's Authorized Representative(s) before an application can be submitted.

Submit the complete application package in JustGrants at least 24 – 48 hours prior to the JustGrants deadline. Some of the application components will be entered directly into JustGrants, and others will require uploading attached documents. Therefore, applicants will need to allow ample time before the JustGrants deadline to prepare each component. Applicants may save their progress in the system and revise the application as needed prior to hitting the Submit button at the end of the application in JustGrants.

For additional information, see the "How to Apply" section in the <u>OJP Grant Application</u> Resource <u>Guide</u> and the <u>DOJ Application Submission Checklist</u>.

#### **Submission Dates and Time**

The **SF-424** and the **SF-LLL** must be submitted in Grants.gov by 11:59 p.m. eastern time on September 1, 2023.

The full application must be submitted in JustGrants by 8:59 p.m. eastern time on September 8, 2023.

OJP urges applicants to submit their Grants.gov and JustGrants submissions prior to the due dates with sufficient time to correct any errors and resubmit by the submission deadlines if a rejection notification is received. To be considered timely, the full

application must be submitted in JustGrants by the JustGrants application deadline. Failure to begin the SAM.gov, Grants.gov, or JustGrants registration process in sufficient time (i.e., waiting until the date identified in this solicitation) is not an acceptable reason for late submission.

### **Experiencing Unforeseen Technical Issues Preventing Submission of an Application**

OJP will only consider a request to submit an application after the deadline when the applicant can document that a technical issue with a government system prevented application submission.

If an applicant misses a deadline due to unforeseen technical issues with SAM.gov, Grants.gov, or JustGrants, the applicant may request a waiver to submit an application after the deadline. However, the waiver request will not be considered unless it includes a tracking number generated when the applicant contacts the applicable service desks to report technical difficulties. Tracking numbers are generated automatically when an applicant emails the applicable service desks; and for this reason, applicants are encouraged to email the appropriate service desk, even if they also intend to call the service desk for phone support. Experiencing wait times for phone support does not relieve the applicant of the responsibility of getting a tracking number.

An applicant experiencing technical difficulties must contact the associated service desk indicated below to report the technical issue and receive a tracking number:

- SAM.gov contact the <u>SAM Help Desk (Federal Service Desk)</u>, Monday Friday from 8 a.m. to 8 p.m. ET at 866-606-8220.
- Grants.gov contact the <u>Grants.gov Customer Support Hotline</u>, 24 hours a day, 7 days a week, except on federal holidays, at 800-518-4726, 606-545-5035, or <u>support@grants.gov</u>.
- JustGrants contact the JustGrants Service Desk at <u>JustGrants.Support@usdoj.gov</u> or 833-872-5175, Monday – Friday from 7 a.m. to 9 p.m. ET and Saturday, Sunday, and Federal holidays from 9 a.m. to 5 p.m. ET.

If an applicant has technical issues with SAM.gov or Grants.gov, the applicant must contact the OJP Response Center at <a href="mailto:grants@ncjrs.gov">grants.gov</a> within **24 hours of the**Grants.gov deadline to request approval to submit after the deadline.

If an applicant has technical issues with JustGrants that prevent application submission by the deadline, the applicant must contact the OJP Response Center at <a href="mailto:grants@ncjrs.gov">grants@ncjrs.gov</a> within **24 hours of the JustGrants deadline** to request approval to submit after the deadline.

Waiver requests sent to the OJP Response Center must -

- describe the technical difficulties experienced,
- include a timeline of the applicant's submission efforts (e.g., date and time the error occurred, date and time of actions taken to resolve the issue and resubmit; and date and time support representatives responded),
- include an attachment of the complete grant application and all the required documentation and materials,
- include the applicant's Unique Entity Identifier (UEI), and
- include any SAM.gov, Grants.gov, and JustGrants Service Desk tracking numbers documenting the technical issue.

OJP will review each waiver request and the required supporting documentation and notify the applicant whether the request for late submission has been approved or denied. An applicant who does not provide documentation of a technical issue or who does not submit a waiver request within the required time period will be denied.

For more details on the waiver process, OJP encourages applicants to review the "Experiencing Unforeseen Technical Issues" section in the OJP Grant Application Resource Guide.

#### **Application Review Information**

#### **Review Process**

OJP reviews the application to make sure that the information presented is reasonable, understandable, measurable, achievable, and consistent with the solicitation. For invited applications, OJP reviews for consistency with the invitation letter and invited applicant guidance. See the OJP Grant Application Resource Guide for information on the application review process.

In addition, if OJP anticipates that an award will exceed \$250,000 in federal funds, OJP also must review and consider any information about the applicant that appears in the non-public segment of the integrity and performance system accessible through SAM (currently, the Federal Awardee Performance and Integrity Information System, FAPIIS).

**Important note on FAPIIS:** An applicant, at its option, may review and comment on any information about itself that currently appears in FAPIIS and was entered by a federal awarding agency. OJP will consider such comments by the applicant, in addition to the other information in FAPIIS, in its assessment of the risk posed by the applicant.

Final award decisions for research and statistics programs will be made by the Director of the National Institute of Justice. For purposes of this Guidance for Invited Applications, this "[final] award decisions" provision expressly modifies the "final award decisions" provision in the "Application Review Information" section of the OJP Grant Application Resource Guide.

#### Federal Award Administration Information

#### **Federal Award Notices**

Generally, award notifications are made by the end of the current Federal fiscal year, September 30th. See the OJP Grant Application Resource Guide for information on award notifications and instructions.

#### Administrative, National Policy, and Other Legal Requirements

If selected for funding, in addition to implementing the funded project consistent with the OJP-approved application, the recipient must comply with all award conditions and all applicable requirements of federal statutes and regulations, including the applicable requirements referred to in the assurances and certifications executed in connection with award acceptance. For additional information on these legal requirements, see the "Administrative, National Policy, and Other Legal Requirements" section in the OJP Grant Application Resource Guide.

#### Information Technology (IT) Security Clauses

An application in response to this solicitation may require inclusion of information related to information technology security. See the <u>OJP Grant Application Resource Guide</u> for information.

#### **General Information about Post-Federal Award Reporting Requirements**

In addition to the deliverables described in the "Program Description" section, all award recipients under this solicitation will be required to submit certain reports and data.

Required reports. Award recipients typically must submit quarterly financial reports, semi-annual performance reports, final financial and performance reports, and, if applicable, an annual audit report in accordance with the Part 200 Uniform Requirements or specific award conditions. Future awards and fund drawdowns may be withheld if reports are delinquent. (In appropriate cases, OJP may require additional reports.)

See the <u>OJP Grant Application Resource Guide</u> for additional information on specific post-award reporting requirements, including performance measure data.

#### Federal Awarding Agency Contact(s)

For OJP contact(s), and contact information for Grants.gov and JustGrants, see the solicitation cover page.

#### Other Information

Freedom of Information and Privacy Act (5 U.S.C. 552 and 5 U.S.C. 552a)

See the OJP Grant Application Resource Guide for information on the Freedom of Information and Privacy Act (5 U.S.C. 552 and 5 U.S.C. 552a).

#### **Provide Feedback to OJP**

See the OJP Grant Application Resource Guide for information on how to provide feedback to OJP.

#### **Application Checklist**

### NIJ FY 2023 Invited to Apply - Support Activities under Section 13(d) of Executive Order 14074

This application checklist has been created as an aid in developing an application. For more information, reference the OJP Application Submission Steps in the OJP Grant Application Resource Guide and the DOJ Application Submission Checklist.

#### **Pre-Application**

#### Before Registering in Grants.gov:

 Acquire or renew your Entity's <u>System Award Management (SAM) Registration</u> Information (see OJP Grant Application Resource Guide)

#### Register in Grants.gov:

- Acquire an Authorized Organization Representative (AOR) and a Grants.gov username and password (see OJP Grant Application Resource Guide)
- Acquire AOR confirmation from the E-Business Point of Contact (E-Biz POC) (see OJP Grant Application Resource Guide)

#### Find the Funding Opportunity:

- Search for the Funding Opportunity in Grants.gov using the opportunity number, Assistance Listing or keyword(s)
- Access the Funding Opportunity and Application Package (see step 7 in the OJP Grant Application Resource Guide)
- Sign up for Grants.gov email <u>notifications</u> (optional) (see <u>OJP Grant Application</u> <u>Resource Guide</u>)
- Read Important Notice: Applying for Grants in Grants.gov
- Read OJP policy and guidance on conference approval, planning, and reporting available at <a href="https://www.ojp.gov/funding/financialguidedoj/iii-postaward-requirements#6g3y8">https://www.ojp.gov/funding/financialguidedoj/iii-postaward-requirements#6g3y8</a> (see OJP Grant Application Resource Guide)

#### Review the Overview of Post-Award Legal Requirements:

 Review the "Overview of Legal Requirements Generally Applicable to OJP Grants and Cooperative Agreements - FY 2023 Awards" in the OJP Funding Resource Center.

#### Review Scope Requirement:

The federal amount requested is within the allowable limit(s).

#### Review Eligibility Requirement:

 Review the Eligibility section on the cover page and Eligibility Information section in the solicitation.

Application Step 1

~ ~ ~ ~

Submit the SF-424 and SF-LLL in Grants.gov

 In Section 8F of the SF-424, include the name and contact information of the individual who will complete the application in JustGrants and the SF-LLL in Grants.gov

Within 48 hours after the SF-424 and SF-LLL submission in Grants.gov, receive four (4) Grants.gov email notifications:

- a submission receipt
- a validation receipt
- a grantor agency retrieval receipt
- an agency tracking number assignment

If no Grants.gov receipt and validation email is received, or if error notifications are received:

 Contact NIJ or Grants.gov Customer Support Hotline at 800-518-4726, 606-545-5035, <u>Grants.gov customer support</u>, or <u>support@grants.gov</u> regarding technical difficulties (see OJP Grant Application Resource Guide)

Within 24 hours after receipt of confirmation emails from Grants.gov, the individual in Section 8F of the SF-424 will receive an email from JustGrants with login instructions.

Proceed to Application Step 2 and complete application in JustGrants

#### **Application Step 2**

Submit the following information in JustGrants

#### **Application Components**

- Standard Applicant information (SF-424 information from Grants.gov)
- Proposal Abstract
- Proposal Narrative

#### **Budget and Associated Documentation**

- Budget Worksheet and Budget Narrative (attachment)
- Indirect Cost Rate Agreement (if applicable) (see OJP Grant Application Resource Guide)
- Financial Management and System of Internal Controls Questionnaire (see OJP Grant Application Resource Guide)

Disclosure of Process related to Executive Compensation (see <u>OJP Grant Application Resource Guide</u>)

#### **Additional Application Components**

- Tribal Authorizing Resolution (if applicable) (see OJP Grant Application Resource Guide)
- Research and Evaluation Independence and Integrity (see <u>OJP Grant Application</u> <u>Resource Guide</u>)
- Request and Justification for Employee Compensation; Waiver (if applicable) (see OJP Grant Application Resource Guide)
- Curriculum Vitae or Resumes
- Bibliography/References
- Any Tools/Instruments, Questionnaires, Tables/Charts/Graphs, or Maps
- List of Individuals in the Application
- Human Subjects Protection
- Privacy Certificate
- Request to Use Incentives or Stipends

#### **Disclosures and Assurances**

- <u>Disclosure of Lobbying Activities (SF-LLL)</u> (see <u>OJP Grant Application Resource</u> Guide)
- Applicant Disclosure of Duplication in Cost Items (see OJP Grant Application Resource Guide)
- DOJ Certified Standard Assurances (see OJP Grant Application Resource Guide)
- DOJ Certifications Regarding Lobbying; Debarment, Suspension and Other Responsibility Matters; and Drug-Free Workplace Requirements; Law Enforcement and Community Policing (see OJP Grant Application Resource Guide)
- Applicant Disclosure and Justification DOJ High Risk Grantees (if applicable) (see OJP Grant Application Resource Guide)

#### Review, Certify and Submit Application in JustGrants:

- Any validation errors will immediately display on screen after submission.
- Correct validation errors, if necessary, and then return to the "Certify and Submit" screen to submit the application. Access the <u>Application Submission Validation</u> <u>Errors Quick Reference Guide</u> for step-by-step instructions to resolve errors prior to submission.
- Once the application is submitted and validated, a confirmation message will

appear at the top of the page. Users will also receive a notification in the "bell" alerts confirming submission.

### If no JustGrants application submission confirmation email or validation is received, or if error notification is received:

Contact the JustGrants Service Desk at 833-872-5175 or <u>JustGrants.Support@usdoj.gov</u> regarding technical difficulties. See the <u>OJP Grant Application Resource Guide</u> for additional information.

#### **Standard Solicitation Resources**

OJP Grant Application Resource Guide provides guidance to assist OJP grant applicants in preparing and submitting applications for OJP funding.

<u>DOJ Grants Financial Guide</u> serves as the primary reference manual to assist award recipients in fulfilling their fiduciary responsibility to safeguard grant funds and to ensure funds are used for the purposes for which they were awarded. It compiles a variety of laws, rules and regulations that affect the financial and administrative management of DOJ awards. This guide serves as a starting point for all award recipients and subrecipients of DOJ grants and cooperative agreements in ensuring the effective day-to-day management of awards.

<u>JustGrants Resources Website</u> is an entryway into information about JustGrants and the grants management system itself. Through this portal both award recipients and applicants can access training resource and user support options, find frequently asked questions and sign-up for the <u>JustGrants Update e-newsletter</u>.

<u>JustGrants Application Submission Training Page</u> offers helpful information and resources on the application process. This training page includes e-learning videos, reference guides, checklists and other resources to help applicants complete an application.

<u>Virtual Q&A Sessions</u> are advertised here and provide opportunities for users to receive topic-specific training, direct technical assistance and support on JustGrants system functionality.