OMB No. 1121-0329 Expires 12/31/2023

U.S. Department of Justice Office of Justice Programs National Institute of Justice



# NIJ FY 2023 Invited to Apply - Research and Evaluation in Safety, Health, and Wellness in the Criminal Justice System

Assistance Listing Number # 16.560

**Grants.gov Opportunity Number:** O-NIJ-2023-171868

Solicitation Release Date: August 25, 2023 11:30 AM ET

Application Grants.gov Deadline: August 29, 2023 11:59 PM ET

Application JustGrants Deadline: September 01, 2023 8:59 PM ET

#### Overview

The <u>U.S. Department of Justice</u> (DOJ), <u>Office of Justice Programs</u> (OJP), <u>National Institute of Justice</u> (NIJ) is seeking an application for funding to support the project— *Expanding Mental Health Diversion Opportunities: A Prospective Evaluation of the Los Angeles County Intake Booking Diversion Program.* This project seeks to assess the development and implementation of the Intake Booking Diversion program (IBD) for individuals presenting with mental illness. This program furthers the goals of Department of Justice's mission by supporting the development of new knowledge to address the challenges of crime and justice in the United States through fundamental research.

This solicitation incorporates guidance provided in the OJP Grant Application Resource Guide which provides additional information for applicants to prepare and submit applications to OJP for funding. If this solicitation expressly modifies any provision in the OJP Grant Application Resource Guide, the applicant is to follow the guidelines in this solicitation as to that provision.

#### **Solicitation Categories**

This solicitation does not include Solicitation Categories.

#### **Eliqible Applicants:**

Other

#### Other

Only the applicant that received an official invitation letter from NIJ is able and eligible to apply. Please refer to that letter for the eligibility requirements.

#### Contact Information

For assistance with the requirements of this solicitation, contact the OJP Response Center at 800-851-3420, 301-240-6310 (TTY for hearing-impaired callers only), or <a href="mailto:grants@ncjrs.gov">grants@ncjrs.gov</a>. The OJP Response Center operates from 10:00 a.m. to 6:00 p.m. eastern time (ET) Monday–Friday, and 10:00 a.m. to 8:00 p.m. ET on the solicitation close date.

For any additional assistance with requirements of this solicitation, contact Eric Martin, Senior Social Science Analyst, by email at <a href="mailto:Eric.D.Martin@usdoj.gov">Eric.D.Martin@usdoj.gov</a>, or by telephone at 202-598-7260.

#### **Submission Information**

<u>Registration</u>: Before submitting an application, all applicants must register with the System for Award Management (SAM). You must renew and validate your registration every 12 months. If you do not renew your SAM registration, it will expire. An expired registration can delay or prevent application submission in Grants.gov and JustGrants. Registration and renewal can take up to 10 business days to complete.

<u>Submission</u>: Applications must be submitted to DOJ electronically through a two-step process via Grants.gov and JustGrants.

<u>Step 1</u>: The applicant must submit by the Grants.gov deadline the required Application for Federal Assistance standard form (SF)-424 and a Disclosure of Lobbying Activities (SF-LLL) form when they register in Grants.gov at <a href="https://www.grants.gov/web/grants/register.html">https://www.grants.gov/web/grants/register.html</a>. Submit the SF-424 and SF-LLL as early as possible, but no later than 48 hours before the Grants.gov deadline. If an applicant fails to submit in Grants.gov, they will be unable to apply in JustGrants.

For technical assistance with submitting the SF-424 and an SF-LLL in Grants.gov, contact the Grants.gov Customer Support Hotline at 800-518-4726, 606-545-5035, <a href="mailto:Grants.gov">Grants.gov Customer Support</a>, or <a href="mailto:support@grants.gov">support@grants.gov</a>. The Grants.gov Support Hotline operates 24 hours a day, 7 days a week, except on federal holidays.

<u>Step 2</u>: The applicant must then submit the **full application**, including attachments, in JustGrants at <u>JustGrants.usdoj.gov</u> by the JustGrants deadline. To be considered timely, the full application must be submitted in JustGrants by the JustGrants application deadline.

For technical assistance with submitting the **full application** in JustGrants, contact the JustGrants Service Desk at 833-872-5175 or JustGrants.Support@usdoj.gov. The

JustGrants Service Desk operates 7 a.m. to 9 p.m. ET Monday–Friday and 9 a.m. to 5 p.m. ET on Saturday, Sunday, and Federal holidays.

OJP encourages applicants to review the "How to Apply" section in the <u>OJP Grant Application Resource Guide</u> and the <u>JustGrants website</u> for more information, resources, and training. Applicants should maintain all receipts and confirmations received from SAM.gov, Grants.gov, JustGrants systems.

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#### **Program Description**

#### Overview

OJP is committed to advancing work that promotes civil rights and racial equity, increases access to justice, supports crime victims and individuals impacted by the justice system, strengthens community safety, protects the public from crime and evolving threats, and builds trust between law enforcement and the community.

With this solicitation, NIJ seeks to support the original goals of NIJ's Research and Evaluation in Safety, Health, and Wellness in the Criminal Justice System, FY 2019 competitive grant solicitation. NIJ awarded Grant # 2019-R2-CX-0033 to the Fund for the City of New York to support the project, *Expanding Mental Health Diversion Opportunities: A Prospective Evaluation of the Los Angeles County Intake Booking Diversion Program.* This invitation is for an application to support the project and related research activities under this project by the research team led by Justice Innovation Inc.

**Statutory Authority:** FY19(NIJ - Section 212 Research) Pub. L. No. 116-6, 133 Stat. 13, 119; 28 USC 530C.

#### **Specific Information**

This project seeks to assess the development and implementation of the Intake Booking Diversion program (IBD) for individuals presenting with mental illness. This new program is an expansion of the Los Angeles Sheriff Department's (LASD) Mental Evaluation Team (MET) co-responder model. It builds upon LASD's 25 years of collaboration with the Los Angeles County Department of Mental Health to divert individuals with mental illness away from jail and towards treatment. Additionally, this program promotes the safety of patrol and corrections officers.

The applicant will partner with LASD to conduct a formative process evaluation of the IBD program. The process evaluation will involve two site visits for the purposes of data collection— an initial visit three months after the implementation of booster trainings for IBD substations and a follow-up visit approximately one-year later. Research activities will involve stakeholder and staff interviews, focus groups with assistant deputies from patrol divisions, and program observations. Interview, observation and focus group data will be analyzed to identify patterns across respondent type, observational situation, or interview domain. These activities will serve to document the successes and challenges of IBD program development and implementation. The process evaluation will also allow for a limited investigation into who is being diverted through IBD. This will involve working with MET to extract programmatic data to examine participant profiles (e.g., demographics, common charges) and outcome data to measure future contacts with law enforcement (e.g., re-arrest, future calls for service). These quantitative findings will lend further context to the findings of the process evaluation. The applicant will document lessons learned for other jurisdictions implementing diversion initiatives, particularly with

an eye towards processes for navigating and adapting programming during challenging external events (e.g., public health crises, changes in the sociopolitical environment).

#### Goals, Objectives, and Deliverables

#### Goals

The goal of the research is to examine the development and impact of the proposed IBD program.

#### **Objectives**

An applicant should address the objectives that are relevant to their proposed program/project in the Goals, Objectives, Deliverables and Timeline web-based form.

The objective of this solicitation is to determine the effectiveness of the IBD Program in its ability to identify and divert arrestees with mental illness to treatment, reduces recidivism and calls for service, and improves safety for LASD deputies.

#### **Deliverables**

The grantee funded under this solicitation is required to produce several deliverables.

<u>Final Research Report</u>. The Final Research Report is a manuscript that summarizes study methodology, project activities, and key findings. This Report is intended for a public audience and will undergo review for public archive on the NCJRS Web site. Additional information on the Final Research Report can be found in the <u>Research</u>, <u>Development</u>, and <u>Evaluation Grant Award Requirements</u> section on NIJ's website.

Required Data Sets and Associated Files and Documentation. The grantee will be expected to submit to the National Archive of Criminal Justice Data (NACJD) all data sets that result in whole or in part from the work funded by the award, along with associated files and any documentation necessary for future efforts by others to reproduce the project's findings and/or to extend the scientific value of the data set through secondary analysis. For more information on data archiving, see the <a href="Data Archiving">Data Archiving</a> Web page on NIJ's website. For more information on how to build a Data Archiving Plan to comply with this requirement, see "Additional Application Components."

The grantee funded under this solicitation is expected to publish one or more peerreviewed scientific journal articles, but may include law review journals, academic press books, or book chapters.

The goals, objectives, and deliverables are directly related to the performance measures that show the completed work's results, as discussed in the "Application and Submission Information" section.

#### **Evidence-Based Programs**

OJP strongly encourages the use of data and evidence in policymaking and program development for criminal justice, juvenile justice, and crime victim services. For additional information and resources on evidence-based programs or practices, see the <a href="OJP Grant">OJP Grant</a> Application Resource Guide.

#### Information Regarding Potential Evaluation of Programs and Activities

OJP may conduct or support an evaluation of the programs and activities funded under this solicitation. For additional information and applicable documentation to be included in the application, see the OJP Grant Application Resource Guide section entitled "Information Regarding Potential Evaluation of Programs and Activities."

#### **Federal Award Information**

#### **General Guidance for Federal Award**

Anticipated Number of Awards: 1

Anticipated Maximum Dollar Amount of awards: \$754,209.32

Period of performance start date: September 1, 2023

Period of performance duration: 9 months

Anticipated Total Amount to be Awarded Under Solicitation: \$754,209.32

#### **Solicitation Categories**

This solicitation does not include Solicitation Categories.

#### **Awards, Amounts and Durations**

#### Type of Award

NIJ expects to make award under this solicitation as a grant. See the "Administrative, National Policy, and Other Legal Requirements" section of the <a href="OJP Grant Application Resource Guide">OJP Grant Application Resource Guide</a> for a brief discussion of important statutes, regulations, and award conditions that apply to many (or in some cases, all) OJP grants.

#### **Financial Management and System Controls**

Award recipients and subrecipients (including recipients or subrecipients that are pass-through entities) must, as described in the Part 200 Uniform Requirements as set out at 2 C.F.R. 200.303, comply with standards for financial and program management. See the OJP Grant Application Resource Guide for additional information.

#### **Cost Sharing or Matching Requirement**

This solicitation does not require a match.

#### Pre-agreement Costs (also known as Pre-award Costs)

See the OJP Grant Application Resource Guide for information on Pre-agreement Costs (also known as Pre-award Costs).

#### Limitation on Use of Award Funds for Employee Compensation: Waiver

See the OJP Grant Application Resource Guide for information on the Limitation on Use of Award Funds for Employee Compensation; Waiver.

#### Prior Approval, Planning, and Reporting of Conference/Meeting/Training Costs

See the OJP Grant Application Resource Guide for information on Prior Approval, Planning, and Reporting of Conference/Meeting/Training Costs.

#### **Costs Associated with Language Assistance (if applicable)**

See the <u>OJP Grant Application Resource Guide</u> for information on Costs Associated with Language Assistance.

#### **Availability of Funds**

This solicitation, and awards under this solicitation, are subject to the availability of appropriated funds and to any modifications or additional requirements that may be imposed by the agency or by law. In addition, nothing in this solicitation is intended to, and does not, create any right or benefit, substantive or procedural, enforceable at law or in equity by any party against the United States, its departments, agencies, or entities, its officers, employees, or agents, or any other person.

#### **Eligibility Information**

For eligibility information, see the solicitation cover page.

For information on cost sharing or matching requirements, see the Federal Award Information section.

All recipients and subrecipients (including any for-profit organization) must forgo any profit or management fee.

#### Application and Submission Information

#### **Content of Application Submission**

See the "Application Elements and Formatting Instructions" section of the <u>OJP Grant Application Resource Guide</u> for information on what happens to an application that does not contain all the specified elements or is nonresponsive to the scope of the solicitation.

# Information to Complete the Application for Federal Assistance (SF-424) in Grants.gov

The SF-424 must be submitted in Grants.gov. It is a required standard form used as a cover sheet for submission of pre-applications, applications, and related information. See the OJP Grant Application Resource Guide for additional information on completing the SF-424.

In Section 8F of the SF-424, please include the name and contact information of the individual **who will complete the application in JustGrants**. JustGrants will use this information *(email address)* to assign the application to this user in JustGrants.

**Intergovernmental Review:** This solicitation ("funding opportunity") **is not** subject to Executive Order 12372. In completing the SF-424, an applicant is to answer question 19 by selecting the response that the "Program is not covered by E.O. 12372."

#### Standard Applicant Information (JustGrants 424 and General Agency Information)

The Standard Applicant Information section of the JustGrants application is prepopulated with the SF-424 data submitted in Grants.gov. The applicant will need to review the Standard Applicant Information in JustGrants and make edits as needed. Within this section, the applicant will need to add: zip codes for areas affected by the project; confirm their Authorized Organization Representative; and verify and confirm the organization's unique entity identifier, legal name, and address.

#### **Proposal Abstract**

A Proposal Abstract (no more than 400 words) summarizing the proposed project—including its purpose, primary activities, expected outcomes, the service area, intended beneficiaries, and subrecipients (if known) — must be completed in the JustGrants web-based form. This abstract should be in paragraph form without bullets or tables, written in the third person, and exclude personally identifiable information. Abstracts will be made publicly available on the OJP and USASpending.gov websites if the project is awarded. See the OJP Grant Application Resource Guide for an example of a proposal abstract.

#### **Proposal Narrative**

The Proposal Narrative should be submitted as an attachment in JustGrants. The

attached document should be double-spaced, using a standard 12-point font; have no less than 1-inch margins; and should not exceed 30 numbered pages. Tables, charts, and graphs included in the program narrative can be created in a legible font smaller than 12-point and will count toward the page limit.

The Proposal Narrative must include the following sections:

#### Statement of the Problem

Describe the problem that is being addressed and the need for research in this area.

#### **Project Design and Implementation**

Describe the strategy to address the needs identified in the Statement of the Problem. List the program activities and describe how they relate to the stated objectives. Activities are the specific actions to be undertaken to fulfill the program's objectives and reach the program's goal(s). Provide a detailed description of the method(s) to be used to carry out each activity. Provide a timetable indicating roughly when the activities or program milestones are to be accomplished.

#### **Capabilities and Competencies**

Describe the capabilities and competencies of the applicant organization, key staff, and any proposed subgrantees (including consultants) that the applicant will use to accomplish the goals and objectives of this longitudinal research project.

#### **Potential Impact and Dissemination Plan**

Describe the potential impact of the research on policy, practice, or theory. Discuss the proposed dissemination plan to produce scholarly products and to make information available to broader interested audiences.

### Plan for Collecting the Data Required for this Solicitation's Performance Measures

Note: An applicant is not required to submit performance data with the application. Rather, performance measure information is included as a notification that award recipients will be required to submit performance data as part of each award's reporting requirements. Some measures are presented as examples, while others are the exact measures that every recipient will be expected to address.

OJP will require each award recipient to submit regular performance data that show the completed work's results. The performance data directly relate to the goals, objectives, and deliverables identified in the "Goals, Objectives, and Deliverables" discussion. An applicant can visit OJP's performance measurement page at <a href="https://www.ojp.gov/performance">www.ojp.gov/performance</a> for an overview of performance measurement activities at OJP.

NIJ will require award recipients to submit performance reports in JustGrants. NIJ will provide further guidance on the post-award submission process, at the time of award.

#### **Note on Project Evaluations**

An applicant that proposes to use award funds through this solicitation to conduct project evaluations must follow the guidance in the "Note on Project Evaluations" section in the OJP Grant Application Resource Guide.

#### **Goal, Objective and Deliverables**

The applicant will submit the project's goals, objectives, deliverables, and timelines in the JustGrants web-based form. The projected fiscal year and quarter the objectives and deliverables will be completed is also entered into the form. Please refer to the Application Submission Job Aid for step-by-step directions.

**Goals.** The applicant should outline the project's goals.

**Program Objectives.** The applicant should explain how the program will accomplish its goals. Objectives are specific, quantifiable statements of the project's desired results. They should be measurable and clearly linked to the goal.

**Deliverables.** Project deliverables refer to outputs—tangible or intangible—that are submitted within the scope of a project.

Timeline.

#### **Budget and Associated Documentation**

#### **Budget Worksheet and Budget Narrative (attachment)**

The applicant will complete the budget worksheet attachment and submit it by uploading it as an attachment in JustGrants. See the OJP Grant Application Resource Guide for additional information.

#### **Indirect Cost Rate Agreement (if applicable)**

If applicable, the applicant will upload their indirect cost rate agreement as an attachment in JustGrants. See the OJP Grant Application Resource Guide for additional information.

#### **Employee Compensation Waiver**

See the OJP Grant Application Resource Guide for information on the Limitation on Use of Award Funds for Employee Compensation for Awards over \$250,000; Waiver.

# Financial Management and System of Internal Controls Questionnaire (including applicant disclosure of high-risk status)

The applicant will download the questionnaire, complete it, and upload it as an attachment in JustGrants. See the OJP Grant Application Resource Guide for additional information and the link to the questionnaire.

#### **Disclosure of Process Related to Executive Compensation**

If applicable, the applicant will upload a description of the process used to determine executive compensation as an attachment in JustGrants. See the "Application Attachments" section of the OJP Grant Application Resource Guide for information.

#### Memoranda of Understanding (MOUs) and Other Supportive Documents

Applicants should include for each named partner, a signed Memorandum of Understanding (MOU), Letter of Intent, or subcontract that confirms the partner's agreement to support the project through commitments of staff time, space, services, or other project needs. For applications submitted from two or more entities, applicants are encouraged to develop and submit signed MOUs or signed Letters of Intent that provide a detailed description of how the agencies will work together to meet project requirements.

Each MOU or Letter of Intent should include the following: (1) names of the organizations involved in the agreement; (2) scope of the direct service(s) and other work to be performed under the agreement; (3) duration of the agreement. Subcontracts, MOUs, or Letters of Intent should be submitted as one separate attachment to the application.

#### **List of Procurement Contracts**

This includes consultants or contractors that the applicant will engage to support project objectives.

#### **Additional Application Components**

The applicant will attach the requested documentation in JustGrants.

#### **Curriculum Vitae or Resumes**

Provide resumes of key personnel. For purposes of this solicitation, "key personnel" means the principal investigators and any and all co-principal investigators.

#### **Letters of Support**

Letters of cooperation/support or administrative agreements from organizations collaborating in the project, such as law enforcement and correctional agencies providing access to administrative data (if applicable).

#### **Research and Evaluation Independence and Integrity Statement**

If an application proposes research (including research and development) and/or evaluation, the applicant must demonstrate research/evaluation independence and integrity, including appropriate safeguards, before it may receive award funds. The applicant will upload documentation of its research and evaluation independence and integrity as an attachment in JustGrants. For additional information, see the OJP Grant Application Resource Guide.

#### Bibliography/reference

Provide a bibliography of any references cited in the Project Narrative.

#### Any tools/instruments, questionnaires, tables/chart/graphs, or maps

Any tools/instruments, questionnaires, tables/charts/graphs, or maps pertaining to the proposed project that are supplemental to such items included in the main body of the narrative.

#### List of Individuals in the Application

To assist OJP in assessing actual or apparent conflicts of interest (including such conflicts on the part of prospective reviewers of the application), provide a complete list of the individuals named or otherwise identified anywhere in the application (including in the budget or in any other attachment) who will or may work (or advise or consult) on the proposed research, development, or evaluation project. Applicants should use the "Proposed Project Staff, Affiliation, and Roles" form available on the NIJ webpage to prepare this list.

#### **Human Subjects Protection**

Human Subjects Protection paperwork (i.e., documentation and forms related to Institutional Review Board, or IRB, review) as a separate attachment. For further guidance, see the <u>Human Subjects Protection Web page</u> on NIJ's website. Note: Final

IRB approval is not required at the time an application is submitted.

#### **Privacy Certificate**

Privacy Certificate as a separate file. For further guidance, see the <u>Privacy Certificate</u> Guidance Web page on NIJ's website.

#### Request to Use Incentives or Stipends

Applicants proposing to use incentives or stipends payments as part of their research project design must submit an incentive or stipend approval request, as a separate document, according to the requirements set forth at <a href="https://nij.ojp.gov/funding/participant-support-costs-and-incentives-social-science-research">https://nij.ojp.gov/funding/participant-support-costs-and-incentives-social-science-research</a>.

#### **Data Archiving Plan**

The applicant should include a brief plan—labeled "Data Archiving Plan"—that describes how they will comply with data archiving requirements to submit final data sets and supporting documentation to NACJD at the end of the project. The plan should not exceed two pages in length and should explain the types of data expected to be produced (including any associated metadata and data standards to be used); proposed management of data during the project (including data security and integrity); plans to prepare all files and documentation necessary for others to use for secondary analysis and/or to review and reproduce the project's findings after the project's completion; and location where pertinent data files and documentation will be archived. See <a href="NIJ's Data">NIJ's Data</a> Archiving Web page for more information.

Note that recipients are strongly encouraged to submit required data sets at least 90 days before the end of the period of performance.

#### **Disclosure and Assurances**

The applicant will address the following disclosures and assurances.

#### **Disclosure of Lobbying Activities**

Complete and submit the SF-LLL in Grants.gov. Once the applicant submits in Grants.gov, this information will pre-pend into JustGrants. See the OJP Grant Application Resource Guide for additional information.

#### **DOJ Certified Standard Assurances**

Review and accept the DOJ Certified Standard Assurances in JustGrants. See the OJP Grant Application Resource Guide for additional information.

#### **Applicant Disclosure of Duplication in Cost Items**

Complete the JustGrants web-based Applicant Disclosure of Duplication in Cost Items form. See the OJP Grant Application Resource Guide for additional information.

DOJ Certifications Regarding Lobbying; Debarment, Suspension and Other Responsibility Matters; and Drug-Free Workplace Requirements; Law Enforcement and Community Policing

Review and accept the DOJ Certified Certifications Regarding Lobbying; Debarment, Suspension and Other Responsibility Matters; Drug-Free Workplace Requirements; and Law Enforcement and Community Policing in JustGrants. See the OJP Grant Application Resource Guide for additional information.

#### Applicant Disclosure and Justification – DOJ High Risk Grantees (if applicable)

If applicable, submit the DOJ High Risk Disclosure and Justification as an attachment in JustGrants. A DOJ High Risk Grantee is an award recipient that has received a DOJ High Risk designation based on a documented history of unsatisfactory performance; financial instability; management system or other internal control deficiencies; noncompliance with award terms and conditions on prior awards, or is otherwise not responsible. See the OJP Grant Application Resource Guide for additional information.

#### **How to Apply**

<u>Registration</u>: Before submitting an application, all applicants must register with the System for Award Management (SAM). You must renew and validate your registration every 12 months. If you do not renew your SAM registration, it will expire. An expired registration can delay or prevent application submission in Grants.gov and JustGrants. Registration and renewal can take up to 10 business days to complete.

<u>Submission</u>: Applications must be submitted to DOJ electronically through a two-step process via Grants.gov and JustGrants.

Step 1: After registering with SAM, the applicant must submit the SF-424 and an SF-LLL in Grants.gov at <a href="https://www.grants.gov/web/grants/register.html">https://www.grants.gov/web/grants/register.html</a> by the Grants.gov deadline. Submit the SF-424 and SF-LLL as early as possible, but no later than 48 hours before the Grants.gov deadline. If an applicant fails to submit in Grants.gov, they will be unable to apply in JustGrants.

**Step 2**: The applicant must then submit the **full application**, including attachments, in JustGrants at <u>JustGrants.usdoj.gov</u> by the JustGrants deadline.

Within 24 hours after receipt of confirmation emails from Grants.gov, the individual in Section 8F of the SF-424 will receive an email from <a href="mailto:DIAMD-NoReply@usdoj.gov">DIAMD-NoReply@usdoj.gov</a> with instructions on how to create a JustGrants account. Register the Entity Administrator and

the Application Submitter with JustGrants as early as possible but no later than 48-72 hours before the JustGrants deadline. Once registered in JustGrants, the Application Submitter will receive an emailed link to complete the rest of the application in JustGrants. The Entity Administrator also will need to log into JustGrants to review and invite the applicant's Authorized Representative(s) before an application can be submitted.

Submit the complete application package in JustGrants at least 24 – 48 hours prior to the JustGrants deadline. Some of the application components will be entered directly into JustGrants, and others will require uploading attached documents. Therefore, applicants will need to allow ample time before the JustGrants deadline to prepare each component. Applicants may save their progress in the system and revise the application as needed prior to hitting the Submit button at the end of the application in JustGrants.

For additional information, see the "How to Apply" section in the <u>OJP Grant Application</u> Resource <u>Guide</u> and the <u>DOJ Application Submission Checklist</u>.

#### **Submission Dates and Time**

The **SF-424** and the **SF-LLL** must be submitted in Grants.gov by 11:59 p.m. eastern time on August 29, 2023.

The full application must be submitted in JustGrants by 8:59 p.m. eastern time on September 1, 2023.

OJP urges applicants to submit their Grants.gov and JustGrants submissions prior to the due dates with sufficient time to correct any errors and resubmit by the submission deadlines if a rejection notification is received. To be considered timely, the full application must be submitted in JustGrants by the JustGrants application deadline. Failure to begin the SAM.gov, Grants.gov, or JustGrants registration process in sufficient time (i.e., waiting until the date identified in this solicitation) is not an acceptable reason for late submission.

# **Experiencing Unforeseen Technical Issues Preventing Submission of an Application**

OJP will only consider a request to submit an application after the deadline when the applicant can document that a technical issue with a government system prevented application submission.

If an applicant misses a deadline due to unforeseen technical issues with SAM.gov, Grants.gov, or JustGrants, the applicant may request a waiver to submit an application after the deadline. However, the waiver request will not be considered unless it includes a tracking number generated when the applicant contacts the applicable service desks to report technical difficulties. Tracking numbers are generated automatically when an

applicant emails the applicable service desks; and for this reason, applicants are encouraged to email the appropriate service desk, even if they also intend to call the service desk for phone support. Experiencing wait times for phone support does not relieve the applicant of the responsibility of getting a tracking number.

An applicant experiencing technical difficulties must contact the associated service desk indicated below to report the technical issue and receive a tracking number:

- SAM.gov contact the <u>SAM Help Desk (Federal Service Desk)</u>, Monday Friday from 8 a.m. to 8 p.m. ET at 866-606-8220.
- Grants.gov contact the <u>Grants.gov Customer Support Hotline</u>, 24 hours a day, 7 days a week, except on federal holidays, at 800-518-4726, 606-545-5035, or <u>support@grants.gov</u>.
- JustGrants contact the JustGrants Service Desk at <u>JustGrants.Support@usdoj.gov</u> or 833-872-5175, Monday – Friday from 7 a.m. to 9 p.m. ET and Saturday, Sunday, and Federal holidays from 9 a.m. to 5 p.m. ET.

If an applicant has technical issues with SAM.gov or Grants.gov, the applicant must contact the OJP Response Center at <a href="mailto:grants@ncjrs.gov">grants.gov</a> within 24 hours of the Grants.gov deadline to request approval to submit after the deadline.

If an applicant has technical issues with JustGrants that prevent application submission by the deadline, the applicant must contact the OJP Response Center at <a href="mailto:grants@ncjrs.gov">grants@ncjrs.gov</a> within **24 hours of the JustGrants deadline** to request approval to submit after the deadline.

Waiver requests sent to the OJP Response Center must -

- describe the technical difficulties experienced,
- include a timeline of the applicant's submission efforts (e.g., date and time the error occurred, date and time of actions taken to resolve the issue and resubmit; and date and time support representatives responded),
- include an attachment of the complete grant application and all the required documentation and materials.
- include the applicant's Unique Entity Identifier (UEI), and
- include any SAM.gov, Grants.gov, and JustGrants Service Desk tracking numbers documenting the technical issue.

OJP will review each waiver request and the required supporting documentation and notify the applicant whether the request for late submission has been approved or denied. An applicant who does not provide documentation of a technical issue or who does not submit a waiver request within the required time period will be denied.

For more details on the waiver process, OJP encourages applicants to review the "Experiencing Unforeseen Technical Issues" section in the OJP Grant Application

#### Resource Guide.

#### Application Review Information

#### **Review Process**

OJP reviews the application to make sure that the information presented is reasonable, understandable, measurable, achievable, and consistent with the solicitation. For invited applications, OJP reviews for consistency with the invitation letter and invited applicant guidance. See the OJP Grant Application Resource Guide for information on the application review process.

In addition, if OJP anticipates that an award will exceed \$250,000 in federal funds, OJP also must review and consider any information about the applicant that appears in the non-public segment of the integrity and performance system accessible through SAM (currently, the Federal Awardee Performance and Integrity Information System, FAPIIS).

**Important note on FAPIIS:** An applicant, at its option, may review and comment on any information about itself that currently appears in FAPIIS and was entered by a federal awarding agency. OJP will consider such comments by the applicant, in addition to the other information in FAPIIS, in its assessment of the risk posed by the applicant.

Final award decisions for research programs will be made by the Director of the National Institute of Justice. For purposes of this Guidance for Invited Applications, this "[final] award decisions" provision expressly modifies the "final award decisions" provision in the "Application Review Information" section of the OJP Grant Application Resource Guide.

#### Federal Award Administration Information

#### **Federal Award Notices**

Generally, award notifications are made by the end of the current Federal fiscal year, September 30th. See the OJP Grant Application Resource Guide for information on award notifications and instructions.

#### Administrative, National Policy, and Other Legal Requirements

If selected for funding, in addition to implementing the funded project consistent with the OJP-approved application, the recipient must comply with all award conditions and all applicable requirements of federal statutes and regulations, including the applicable requirements referred to in the assurances and certifications executed in connection with award acceptance. For additional information on these legal requirements, see the "Administrative, National Policy, and Other Legal Requirements" section in the OJP Grant Application Resource Guide.

#### Information Technology (IT) Security Clauses

An application in response to this solicitation may require inclusion of information related to information technology security. See the <u>OJP Grant Application Resource Guide</u> for information.

#### **General Information about Post-Federal Award Reporting Requirements**

In addition to the deliverables described in the "Program Description" section, all award recipients under this solicitation will be required to submit certain reports and data.

Required reports. Award recipients typically must submit quarterly financial reports, semi-annual performance reports, final financial and performance reports, and, if applicable, an annual audit report in accordance with the Part 200 Uniform Requirements or specific award conditions. Future awards and fund drawdowns may be withheld if reports are delinquent. (In appropriate cases, OJP may require additional reports.)

See the <u>OJP Grant Application Resource Guide</u> for additional information on specific post-award reporting requirements, including performance measure data.

#### Federal Awarding Agency Contact(s)

For OJP contact(s), and contact information for Grants.gov and JustGrants, see the solicitation cover page.

#### Other Information

#### Freedom of Information and Privacy Act (5 U.S.C. 552 and 5 U.S.C. 552a)

See the OJP Grant Application Resource Guide for information on the Freedom of Information and Privacy Act (5 U.S.C. 552 and 5 U.S.C. 552a).

#### Provide Feedback to OJP

See the OJP Grant Application Resource Guide for information on how to provide feedback to OJP.

#### Performance Measures

Objective	Performance Measure(s)	Data Recipient Provides
Conduct research in social and	Relevance to the needs of the field as measured by whether the project's substantive scope did not	Quarterly financial reports, semi-annual and final performance reports, and

behavioral sciences having clear implications for juvenile and criminal justice policy and practice in the United States.	deviate from the funded project or any subsequent agency-approved modifications to the scope.	products of the work performed under the NIJ award (including, at minimum, a final research report). If applicable, an annual audit report.
	2. Quality of the research as demonstrated by the scholarly products that result in whole or in part from work funded under the NIJ award, such as published, peerreviewed, scientific journal articles, and/or (as appropriate for the funded project) law review journal articles, book chapter(s) or book(s) in the academic press, technological prototypes, patented inventions, or similar scientific products.	2. List of citation(s) to all scholarly products that resulted in whole or in part from work funded under the NIJ award.
	3. Quality of management as measured by such factors as whether significant project milestones were achieved, reporting and other deadlines were met, and costs remained within approved limits.	3. If applicable, each data set that resulted in whole or in part from work funded under the NIJ award.

#### **Application Checklist**

# NIJ FY 2023 Invited to Apply - Research and Evaluation in Safety, Health, and Wellness in the Criminal Justice System

This application checklist has been created as an aid in developing an application. For more information, reference the OJP Application Submission Steps in the OJP Grant Application Resource Guide and the DOJ Application Submission Checklist.

#### **Pre-Application**

#### Before Registering in Grants.gov:

 Acquire or renew your Entity's <u>System Award Management (SAM) Registration</u> <u>Information</u> (see <u>OJP Grant Application Resource Guide</u>)

#### Register in Grants.gov:

- Acquire an Authorized Organization Representative (AOR) and a Grants.gov username and password (see OJP Grant Application Resource Guide)
- Acquire AOR confirmation from the E-Business Point of Contact (E-Biz POC) (see OJP Grant Application Resource Guide)

#### Find the Funding Opportunity:

- Search for the Funding Opportunity in Grants.gov using the opportunity number, Assistance Listing or keyword(s)
- Access the Funding Opportunity and Application Package (see step 7 in the OJP Grant Application Resource Guide)
- Sign up for Grants.gov email <u>notifications</u> (optional) (see <u>OJP Grant Application</u> <u>Resource Guide</u>)
- Read Important Notice: Applying for Grants in Grants.gov
- Read OJP policy and guidance on conference approval, planning, and reporting available at <a href="https://www.ojp.gov/funding/financialguidedoj/iii-postaward-requirements#6g3y8">https://www.ojp.gov/funding/financialguidedoj/iii-postaward-requirements#6g3y8</a> (see <a href="https://www.ojp.gov/funding/financialguidedoj/iii-postaward-requirements#6g3y8">https://www.ojp.gov/funding/financialguidedoj/iii-postaward-requirements#6g3y8</a> (

#### Review the Overview of Post-Award Legal Requirements:

 Review the "Overview of Legal Requirements Generally Applicable to OJP Grants and Cooperative Agreements - FY 2023 Awards" in the OJP Funding Resource Center.

#### Review Scope Requirement:

• The federal amount requested is within the allowable limit(s) of \$754,209.32.

#### Review Eligibility Requirement:

 Review the Eligibility section on the cover page and Eligibility Information section in the solicitation.

Application Step 1

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Submit the SF-424 and SF-LLL in Grants.gov

 In Section 8F of the SF-424, include the name and contact information of the individual who will complete the application in JustGrants and the SF-LLL in Grants.gov

Within 48 hours after the SF-424 and SF-LLL submission in Grants.gov, receive four (4) Grants.gov email notifications:

- · a submission receipt
- a validation receipt
- a grantor agency retrieval receipt
- an agency tracking number assignment

If no Grants.gov receipt and validation email is received, or if error notifications are received:

 Contact NIJ or Grants.gov Customer Support Hotline at 800-518-4726, 606-545-5035, <u>Grants.gov customer support</u>, or <u>support@grants.gov</u> regarding technical difficulties (see OJP Grant Application Resource Guide)

Within 24 hours after receipt of confirmation emails from Grants.gov, the individual in Section 8F of the SF-424 will receive an email from JustGrants with login instructions.

Proceed to Application Step 2 and complete application in JustGrants

#### **Application Step 2**

Submit the following information in JustGrants

#### **Application Components**

- Standard Applicant information (SF-424 information from Grants.gov)
- Proposal Abstract
- Proposal Narrative

#### **Budget and Associated Documentation**

- Budget Worksheet and Budget Narrative (attachment)
- Indirect Cost Rate Agreement (if applicable) (see OJP Grant Application Resource Guide)
- Financial Management and System of Internal Controls Questionnaire (see OJP Grant Application Resource Guide)

Disclosure of Process related to Executive Compensation (see <u>OJP Grant Application Resource Guide</u>)

#### **Additional Application Components**

- Research and Evaluation Independence and Integrity (see OJP Grant Application Resource Guide)
- Curriculum Vitae or Resumes
- Letters of Support
- Research and Evaluation Independence and Integrity
- Data Archiving Plan
- Bibliography/Reference
- Any Tools/Instruments, Questionnaires, Tables/Charts/Graphs, or Maps (as needed)
- List of Individuals in the Application
- Human Subjects Protection
- Privacy Certificate
- Request to Use Incentives or Stipends

#### **Disclosures and Assurances**

- <u>Disclosure of Lobbying Activities (SF-LLL)</u> (see <u>OJP Grant Application Resource</u> Guide)
- Applicant Disclosure of Duplication in Cost Items (see OJP Grant Application Resource Guide)
- DOJ Certified Standard Assurances (see OJP Grant Application Resource Guide)
- DOJ Certifications Regarding Lobbying; Debarment, Suspension and Other Responsibility Matters; and Drug-Free Workplace Requirements; Law Enforcement and Community Policing (see OJP Grant Application Resource Guide)
- Applicant Disclosure and Justification DOJ High Risk Grantees (if applicable) (see OJP Grant Application Resource Guide)

#### Review, Certify and Submit Application in JustGrants:

- Any validation errors will immediately display on screen after submission.
- Correct validation errors, if necessary, and then return to the "Certify and Submit" screen to submit the application. Access the <u>Application Submission Validation</u> <u>Errors Quick Reference Guide</u> for step-by-step instructions to resolve errors prior to submission.
- Once the application is submitted and validated, a confirmation message will

appear at the top of the page. Users will also receive a notification in the "bell" alerts confirming submission.

## If no JustGrants application submission confirmation email or validation is received, or if error notification is received:

Contact the JustGrants Service Desk at 833-872-5175 or <u>JustGrants.Support@usdoj.gov</u> regarding technical difficulties. See the <u>OJP Grant Application Resource Guide</u> for additional information.

#### **Standard Solicitation Resources**

OJP Grant Application Resource Guide provides guidance to assist OJP grant applicants in preparing and submitting applications for OJP funding.

<u>DOJ Grants Financial Guide</u> serves as the primary reference manual to assist award recipients in fulfilling their fiduciary responsibility to safeguard grant funds and to ensure funds are used for the purposes for which they were awarded. It compiles a variety of laws, rules and regulations that affect the financial and administrative management of DOJ awards. This guide serves as a starting point for all award recipients and subrecipients of DOJ grants and cooperative agreements in ensuring the effective day-to-day management of awards.

<u>JustGrants Resources Website</u> is an entryway into information about JustGrants and the grants management system itself. Through this portal both award recipients and applicants can access training resource and user support options, find frequently asked questions and sign-up for the <u>JustGrants Update e-newsletter</u>.

<u>JustGrants Application Submission Training Page</u> offers helpful information and resources on the application process. This training page includes e-learning videos, reference guides, checklists and other resources to help applicants complete an application.

<u>Virtual Q&A Sessions</u> are advertised here and provide opportunities for users to receive topic-specific training, direct technical assistance and support on JustGrants system functionality.