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U.S. Department of Justice Office of Justice Programs National Institute of Justice



NIJ FY 2022 Invited to Apply - Research on the Impact of Public Policy on Racial and Ethnic Disparities in the Justice System

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Overview

The U.S. Department of Justice (DOJ), Office of Justice Programs (OJP), National Institute of Justice seeks applications for funding of investigator-initiated proposals for evidence-based nonpartisan analyses of existing evidence to examine how observed racial and ethnic disparities in the justice system might be reduced through public policy. NIJ is interested in receiving proposals for research in either the criminal or juvenile justice context, or both. This program furthers the DOJ's mission by supporting the development of new knowledge and tools to address the challenges of crime and justice in the United States.

This solicitation incorporates the <u>OJP Grant Application Resource Guide</u> by reference. The OJP Grant Application Resource Guide provides guidance to applicants to prepare and submit applications for funding. If this solicitation expressly modifies any provision in the OJP Grant Application Resource Guide, the applicant is to follow the guidelines in this solicitation as to that provision.

Solicitation Categories

This solicitation does not include Solicitation Categories.

Eligible Applicants: Other

Other

Only the applicants that received an official invitation letter from NIJ are able and eligible to apply. Please refer to that letter for the eligibility requirements.

To advance Executive Order 13929 Safe Policing for Safe Communities, the Attorney General determined that all state, local, and university or college law enforcement agencies must be certified by an approved independent credentialing body or have started the certification process to be eligible for FY 2022 DOJ discretionary grant funding. To become certified, the law enforcement agency must meet two mandatory conditions: (1) the agency's use-of-force policies adhere to all applicable federal, state, and local laws and (2) the agency's use-of-force policies prohibit chokeholds except in situations where use of deadly force is allowed by law. The certification requirement also applies to law enforcement agencies receiving DOJ discretionary grant funding through a subaward. For detailed information on this certification requirement, please visit

<u>https://cops.usdoj.gov/SafePolicingEO</u> to access the Standards for Certification on Safe Policing for Safe Communities, the Implementation Fact Sheet, and the List of Designated Independent Credentialing Bodies.

All recipients and subrecipients (including any for-profit organization) must forgo any profit or management fee.

NIJ will consider applications under which two or more entities would carry out the federal award; however, only

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Contact Information

For technical assistance with submitting the Application for Federal Assistance standard form (SF-424) and a Disclosure of Lobbying Activities form (SF-LLL) in Grants.gov, contact the Grants.gov Customer Support Hotline at 800-518-4726, 606-545-5035, at <u>Grants.gov customer support</u>, or <u>support@grants.gov</u>. The Grants.gov Support Hotline operates 24 hours a day, 7 days a week, except on federal holidays.

For technical assistance with submitting the **full application** in DOJ's Justice Grants System (JustGrants), contact the JustGrants Service Desk at 833–872–5175 or <u>JustGrants.Support@usdoj.gov</u>. The JustGrants Service Desk operates 5 a.m. to 9 p.m. EST Monday - Friday and 9 a.m. to 5 p.m. Saturday, Sunday, and Federal holidays.

For assistance with any other requirements of this solicitation, contact Abby Hannifan, Grants Management Specialist, by telephone at 202-532-3822 or by email at <u>lauren.a.hannifan@usdoj.gov</u>.

Submission Information

Applications will be submitted to DOJ in two steps.

<u>Step 1:</u> The applicant must submit by the Grants.gov deadline the required Application for Federal Assistance standard form (SF)-424 and a Disclosure of Lobbying Activities

(SF-LLL) form when they register in Grants.gov at <u>https://www.grants.gov/web/grants/register.html.</u> To register in Grants.gov, the applicant will need to ensure that its System for Award Management (SAM) registration is current.

<u>Step 2</u>: The applicant must then submit the **full application**, including attachments, in JustGrants at <u>JustGrants.usdoj.gov</u>. To be considered timely, the full application must be submitted in JustGrants by the JustGrants application deadline. OJP encourages applicants to review the "How to Apply" section in the <u>OJP</u> <u>Grant Application Resource Guide</u> and the <u>JustGrants website</u> for more information, resources, and training.

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Program Description

Overview

OJP is committed to advancing work that promotes civil rights and racial equity, increases access to justice, supports crime victims and individuals impacted by the justice system, strengthens community safety, and protects the public from crime and evolving threats, and builds trust between law enforcement and the community.

With this solicitation, NIJ seeks applications for funding of investigator-initiated proposals for evidence-based, nonpartisan analyses of existing evidence to examine how observed racial and ethnic disparities in the justice system might be reduced through public policy.

Applications proposing research involving partnerships with criminal justice or other agencies should include a strong letter of support, signed (hard/wet, electronic, digital signature) by an appropriate decision-making authority from each proposed partnering agency. A letter of support should include the partnering agency's acknowledgment that de-identified data derived from, provided to, or obtained through this project will be archived by the grant recipient with the National Archive of Criminal Justice Data (NACJD) at the conclusion of the award. Applicants and their potential partners are encouraged to review the NACJD's policies and protections. If selected for an award, grantees will be expected to have a formal agreement in place with partnering agencies by July 1, 2023. That formal agreement must include a provision to meet the data archiving requirements of the award.

In the case of partnerships that will involve the use of federal award funds from multiple partnering agencies to carry out the proposed project, only one entity/partnering agency may be the applicant (as is the case with any application submitted in response to this solicitation); any others must be proposed as subrecipients.

Statutory Authority: Title I of the Omnibus Crime Control and Safe Streets Act of 1968 (Sections 201 and 202).

Specific Information

W.E.B. DuBois (1868-1963) was an American sociologist, historian, writer, and editor. As a social scientist, DuBois used objective scientific methods to promote social change. The Philadelphia Negro: A Social Study,[1] published by DuBois in 1899, was a groundbreaking sociological study of the city's African American community. It was one of the first research projects to combine urban ethnography, social history, and descriptive statistics.

Racial and ethnic disparities in the justice system have been well documented in the research literature.^[2] Black Americans, Latinos, and Native Americans are disproportionately represented in arrest, prosecution, conviction, and incarceration rates.^[3] Communities of color often experience high levels of crime and victimization.^[4]

Since President Johnson's Commission on Law Enforcement and Administration of Justice almost 55 years ago, substantial empirical attention has been devoted to uncovering factors that contribute to racial and ethnic disparities in the justice system, and to a lesser extent programs, policies and practices that might mitigate them. [5] Studies have examined disparity at all stages of the justice process, from police discretion to arrest, to incarceration and application of the death penalty. Recently, special issues of journals have focused on strategies aimed at reducing this disparity.[6] While the call for reform to address racial and ethnic disparities within the justice system is not new, it became more salient in 2020 with the deaths of George Floyd and Breonna Taylor, which led to massive protests and civil unrest within the U.S. and abroad.[7]

NIJ has a long history of funding research on race, culture, gender and crime and their intersections with the administration of justice, particularly, but not limited to, through the W.E.B. DuBois Program. Potential applicants should consult the NIJ website and familiarize themselves with projects that NIJ has previously funded.[8]

With this solicitation, NIJ seeks proposals for rigorous research projects examining the existing body of evidence to identify policy interventions that have the potential to reduce observed racial and ethnic disparities in the justice system (which may involve primary and/or secondary data collection). NIJ expects the findings and recommendations derived from this research to guide future research and testing of promising interventions.

NIJ is interested in policy interventions that have the potential to reduce disparities at any point during the administration of justice for juveniles and/or adults. This could include policing practices, access to defense resources, pre-trial release practices, charging decisions, sanctions imposed, access to treatment services, post-

Page 5 of 18 O-NIJ-2022-171403 release programming, or any other point at which disparity is evident. NIJ is particularly interested in identifying those interventions that have the greatest potential to positively intervene in an individual's progressing through the justice system.

Applicants must provide as applicable:

- A complete description of the data to be used in the study. This narrative should include the number of cases, complete variable descriptions, data collection method, data format, data source, and the cost of accessing data (if applicable.)
- A description of the justice phenomena that currently results in unwarranted disparity in the treatment of, or outcomes for, racial or ethnic categories.
- A disparity analysis (using existing data) showing evidence of racial or ethnic disparity surrounding the justice phenomena or event to be studied. NIJ is interested in proposals that can document evidence of disparity in the population to be studied and surrounding the specific activity under study.
- A detailed description of the modification or intervention expected to resolve unwarranted disparity, and a summary of the literature that suggests that the proposed intervention will effectively reduce unwarranted disparity.
- A description of the analytic techniques to be employed and methods of determining success/failure must be provided if the method relies on statistical modeling of the intervention/modification.
- An explicit statement discussing how the proposed research follows the W.E.B. DuBois Program's tradition of multidisciplinary scientific inquiry, and how it will propel the justice research field forward.

Goals, Objectives, Deliverables, and Timeline

Goals

The primary goal of this solicitation is to promote the fair administration of justice. Findings from this research program will assist state, local, and tribal jurisdictions to promote fairer justice systems, and increase the legitimacy of those systems, thus enhancing racial and ethnic communities' trust in public safety.

Objectives

This solicitation aims to: 1) Identify policy interventions that have potential to reduce racial and ethnic disparities in the justice system and guide future research and testing of promising interventions; and 2) Translate and disseminate research findings to diverse stakeholder audiences in order to improve practice and policy through science.

Deliverables

<u>Final Research Report.</u> Any recipient of an award under this solicitation will be expected to submit a final research report. Additional information on the final research report requirement for the solicitation is posted on NIJ's <u>webpage</u>.

<u>Required Data Sets and Associated Files and Documentation.</u> Any recipient of an award under this solicitation will be expected to submit to the National Archive of Criminal Justice Data (NACJD) all data sets that result in whole or in part from the work funded by the award, along with associated files and any documentation necessary for future efforts by others to reproduce the project's findings and/or to extend the scientific value of the data set through secondary analysis.

In addition to these deliverables (and the required reports and data on performance measures), NIJ expects scholarly products to result from each award under this solicitation, taking the form of one or more published, peer-reviewed, scientific journal articles, and/or (if appropriate) law review journal articles, book chapter(s) or book(s) in the academic press, technological prototypes, patented inventions, or similar scientific products. NIJ expects that there will be an equal effort to make the research findings accessible to practitioner and policymaker audiences.

The Goals, Objectives, and Deliverables are directly related to the performance measures that show the completed work's results, as discussed in the Content of Application Submission section.

Evidence-Based Programs or Practices

OJP strongly encourages the use of data and evidence in policymaking and program development for criminal justice, juvenile justice, and crime victim services. For additional information and resources on evidence-based programs or practices, see the OJP Grant Application Resource Guide.

Federal Award Information

General Guidance for Federal Award

Total number of awards NIJ expects to make: 1 Maximum dollar amount for each award: \$49,837.00 Total amount to be awarded under solicitation: \$49,837.00 Period of performance start date: January 1, 2023 Period of performance duration: 12 months

Solicitation Categories

This solicitation does not include Solicitation Categories.

Awards, Amounts and Durations

Anticipated Total Amount to be Awarded Under Solicitation \$49,837.00

Types of Awards

NIJ expects to make awards under this solicitation as grants. See the "Administrative, National Policy, and Other Legal Requirements" section of the <u>OJP Grant Application Resource Guide</u> for a brief discussion of important statutes, regulations, and award conditions that apply to many (or in some cases, all) OJP grants.

Financial Management and System of Internal Controls

Award recipients and subrecipients (including recipients or subrecipients that are pass-through entities) must, as described in the Part 200 Uniform Requirements as set out at 2 C.F.R. 200.303, comply with standards for financial and program management. See the <u>OJP Grant Application Resource Guide</u> for additional information.

Cost Sharing or Matching Requirement

This solicitation does not require a match.

Pre-agreement Costs (also known as Pre-award Costs)

See the <u>OJP Grant Application Resource Guide</u> for information on Pre-agreement Costs (also known as Preaward Costs).

Limitation on Use of Award Funds for Employee Compensation: Waiver

See the OJP Grant Application Resource Guide for information on the Limitation on Use of Award Funds for Employee Compensation; Waiver.

Prior Approval, Planning, and Reporting of Conference/Meeting/Training Costs

See the <u>OJP Grant Application Resource Guide</u> for information on Prior Approval, Planning, and Reporting of Conference/Meeting/Training Costs.

Costs Associated with Language Assistance (if applicable)

See the OJP Grant Application Resource Guide for information on Costs Associated with Language Assistance.

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Eligibility Information

For eligibility information, see the solicitation cover page.

For information on cost sharing or matching requirements, see the Federal Award Information section.

Application and Submission Information

See the "Application Elements and Formatting Instructions" section of the <u>OJP Grant Application Resource Guide</u> for information on what happens to an application that does not contain all the specified elements or is nonresponsive to the scope of the solicitation.

Information to Complete the Application for Federal Assistance (SF-424)

The SF-424 must be submitted in Grants.gov. It is a required standard form used as a cover sheet for submission of pre-applications, applications, and related information. See the <u>OJP Grant Application Resource Guide</u> for additional information on completing the SF-424.

In Section 8F of the SF-424, please include the name and contact information of the individual **who will complete the application in JustGrants**. JustGrants will use this information *(email address)* to assign the application to this user in JustGrants.

Intergovernmental Review: This solicitation ("funding opportunity") is not subject to <u>Executive Order 12372</u>. In completing the SF-424, an applicant is to answer question 19 by selecting the response that the "Program is not covered by E.O. 12372."

Standard Applicant Information (JustGrants 424 and General Agency Information)

The Standard Applicant Information section of the JustGrants application is pre-populated with the SF-424 data submitted in Grants.gov. The applicant will need to review the Standard Applicant Information in JustGrants and make edits as needed. Within this section, the applicant will need to add: zip codes for areas affected by the project; confirm its Authorized Representative; and verify and confirm the organization's unique entity identifier, legal name, and address.

Proposal Abstract

A proposal abstract (no more than 400 words) summarizing the proposed project, including the purpose of the project, primary activities, expected outcomes, the service area, intended beneficiaries and subrecipients (if known), will be completed in the JustGrants web-based form. This abstract should be written in the third person and will be made publicly available on the OJP website if the project is awarded.

Proposal Narrative

The proposal narrative should be submitted as an attachment in JustGrants. The attached document should be double-spaced, using a standard 12-point font; have no less than 1-inch margins; and should not exceed 30 pages. Pages should be numbered and submitted as an attachment. If the proposal narrative fails to comply with these length restrictions, NIJ may consider such noncompliance in final award decision.

The following sections must be included as part of the proposal narrative:

a. <u>Title Page</u> (not counted against the proposal narrative page limit) The title page should include:

- Project title.
- · Submission date.
- Funding opportunity number.
- Principal Investigator (and any co-principal investigators).
- Unique author identifier (e.g., ORCID, ResearcherID, Scopus Author ID), if available.
- Key words, including science focus areas.

- Contact information (that is, name, address, telephone number, and e-mail address) for both the applicant and the principal investigator.
- b. <u>Resubmit Response</u> (if applicable) (not counted against the proposal narrative page limit.)

If an applicant is resubmitting an application presented previously to NIJ, but not funded, the applicant should indicate this. A statement should be provided, no more than two pages, addressing: (1) the title, submission date, and NIJ-assigned application number of the previous application, and (2) a brief summary of revisions to the application, including responses to previous feedback received from NIJ.

c. Table of Contents and Figures (not counted against the proposal narrative page limit)

d. Main Body

1. Statement of the Problem

The statement of the problem should address the need for research in this area. Applicants should discuss current gaps in data, research, and knowledge, including those for particular justice sectors, for certain populations, and to answer questions relevant to current policy and practice needs and public interests. It is not uncommon for multiple problems to exist simultaneously (for example, there may be a criminal justice problem affecting public safety, a current technical challenge/need identified by forensic practitioners, as well as a scientific/technology gap between the current state of the art and a desired solution) and applicants should discuss each of these clearly and justify the importance of the separate issues. As part of this discussion, applicants should present a review of previous literature and discuss previous research related to these problems.

2. Project Design and Implementation

Applicants should provide a detailed description of the strategies to implement this research project and address the research questions. Design elements should follow directly from the research project's goals and objectives and address the program-specific information noted in the solicitation. Applicants should describe the research methodology and analysis plan in detail and demonstrate the validity and usefulness of the data they will collect. Applicants should consider the rigor and soundness of the methodology and analytical and technical approaches for the proposed research and address the feasibility of the proposed project and potential challenges or problems in carrying out the activities.

3. Potential Impact

Applicants should describe the potential impact of the research and how it may inform or improve criminal or juvenile justice-related policy, practice, or theory in the United States. The applicant should include a discussion of the proposed dissemination plan to produce scholarly products and to make information available to broader interested audiences, such as criminal justice practitioners or policymakers.

4. Capabilities and Competencies

This section should describe the experience and capability of the applicant organization, key staff, and any proposed subgrantees (including consultants) that the applicant will use to implement and manage this effort and the federal funds under this award, highlighting any previous experience implementing projects of similar scope, design, and magnitude. Applicants should address:

- Experience and capacity to work with the proposed data sources in the conduct of similar research efforts.
- Experience and capacity to design and implement rigorous research and data analysis projects.
- Experience producing and disseminating meaningful deliverables.

Applicants should also outline the management plan and organization that connects to the goals and objectives of the project.

- 5. Appendices (not counted against the proposal narrative page limit) include:
 - The project management plan.
 - If the application (including the budget) identifies any proposed non-competitive agreements that are
 or may be considered procurement "contracts" (rather than subawards), for purposes of federal grants
 administrative requirements, the applicant also must list the entities with which the applicant proposes
 to contract.
 - List of other agencies, organizations, or funding sources to which this application has been submitted (if applicable).
- 6. Plan for Collecting the Data Required for this Solicitation's Performance Measures

Note: The applicant is **not** required to submit performance data with the application. Rather, performance measure information is included as an alert that each successful applicant will be required to submit performance data as part of each award's reporting requirements.

OJP will require each successful applicant to submit regular performance data that show the completed work's results. The performance data directly relate to the goals, objectives, and deliverables identified in the "Goals, Objectives, and Deliverables" discussion. The applicant can also visit OJP's performance measurement page at www.ojp.gov/performance for an overview of performance measurement activities at OJP.

A list of performance measure questions for this program can be found in the "Performance Measures" section.

NIJ will require award recipients to submit performance measure data in JustGrants through quarterly financial reports, semi-annual progress reports, and the Final Research Report. NIJ will provide further guidance on the post-award submission process, if selected for award.

Note on Project Evaluations

An applicant that proposes to use award funds through this solicitation to conduct project evaluations must follow the guidance in the "Note on Project Evaluations" section in the <u>OJP Grant Application Resource Guide</u>.

Goals, Objectives, Deliverables, and Timeline

Applicants will submit the project's goals, objectives, deliverables and timelines in the JustGrants Web-based form.

Budget and Associated Documentation

Budget Worksheet and Budget Narrative (attachment)

Applicants will complete the budget attachment and submit it by uploading it as an attachment in JustGrants. See the <u>OJP Grant Application Resource Guide</u> for additional information.

Cofunding: An award made by NIJ under this solicitation may account for up to 100 percent of the total cost of the project. The application should indicate whether it is feasible for the applicant to contribute cash, facilities, or services as non-federal support for the project. The application should identify generally any such contributions that the applicant expects to make and the proposed budget should indicate in detail which items, if any, will be supported with non-federal contributions.

If a successful application proposes a voluntary match amount, and OJP approves the budget, the total match amount incorporated into the approved budget becomes mandatory and subject to audit.

Indirect Cost Rate Agreement (if applicable)

The applicant will submit its indirect cost rate agreement by uploading it as an attachment in JustGrants. See the OJP Grant Application Resource Guide for additional information.

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Financial Management Questionnaire (including applicant disclosure of high-risk status)

The applicant will download the questionnaire, complete it, and submit it by uploading it as an attachment in JustGrants. See the <u>OJP Grant Application Resource Guide</u> for additional information and the link to the questionnaire.

Disclosure of Process Related to Executive Compensation

This solicitation expressly modifies the OJP Grant Application Resource Guide by not incorporating its "Disclosure of Process Related to Executive Compensation" provisions. Applicants to this solicitation are not required to provide this disclosure.

Additional Application Components

The applicant will attach the requested documentation in JustGrants.

Tribal Authorizing Resolution

An application in response to this solicitation may require inclusion of tribal authorizing documentation as an attachment. If applicable, the applicant will submit the tribal authorizing documentation by uploading it as an attachment in JustGrants. See the <u>OJP Grant Application Resource Guide</u> for information on tribal authorizing resolutions.

Timeline Form

Proposed project timeline and expected milestones.

Letters of Support

Letters of cooperation/support or administrative agreements from organizations collaborating in the project, such as law enforcement and correctional agencies (if applicable).

Research and Evaluation Independence and Integrity Statement

If an application proposes research (including research and development) and/or evaluation, the applicant must demonstrate research/evaluation independence and integrity, including appropriate safeguards, before it may receive award funds. The applicant will submit documentation of its research and evaluation independence and integrity by uploading it as an attachment in JustGrants. For additional information, see the <u>OJP Grant Application</u> <u>Resource Guide</u>.

Bibliography/reference

Provide a bibliography of any references cited in the Project Narrative.

Any tools/instruments, questionnaires, tables/chart/graphs, or maps

Any tools/instruments, questionnaires, tables/charts/graphs, or maps pertaining to the proposed project that are supplemental to such items included in the main body of the narrative.

List of Individuals in the Application

To assist OJP in assessing actual or apparent conflicts of interest (including such conflicts on the part of prospective reviewers of the application), provide a complete list of the individuals named or otherwise identified anywhere in the application (including in the budget or in any other attachment) who will or may work (or advise or consult) on the proposed research, development, or evaluation project. Applicants should use the "Proposed Project Staff, Affiliation, and Roles" form available on the NIJ webpage to prepare this list.

Human Subjects Protection

Human Subjects Protection paperwork (documentation and forms related to Institutional Review Board [IRB] review). See <u>"Human Subjects Protection"</u> on the NIJ webpage. **Note**: Final IRB approval is not required at the time an application is submitted.

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Privacy Certificate

Privacy Certificate (for further guidance go to "Confidentiality and Privacy Protections" on the NIJ webpage).

Request to Use Incentives or Stipends

Applicants proposing to use incentives or stipends payments as part of their research project design must submit an incentive or stipend approval request, as a separate document, according to the requirements set forth under <u>"Participant Support Costs and Incentives</u>" on the NIJ webpage).

Consortium/Contractual Arrangements

Explain the programmatic, fiscal, and administrative arrangements to be made between the applicant organization and the consortium organization(s).

Data Archiving Plan

Applicants should anticipate that NIJ will require, through special award conditions, that data sets resulting in whole or in part from projects funded under this solicitation be submitted for archiving with the NACJD. See <u>"Data Archiving"</u> on the NIJ webpage.

Applications should include as an appendix a brief plan — labeled "Data Archiving Plan" — to comply with data archiving requirements. The plan should provide brief details about proposed data management and archiving, including submission to NIJ (through NACJD) of **all files and documentation** necessary to allow for future efforts by others to reproduce the project's findings and/or to extend the scientific value of the data set through secondary analysis. Pertinent files and documentation include, among other things, qualitative and quantitative data produced, instrumentation and data collection forms, codebook(s), any specialized programming code necessary to reproduce all constructed measures and the original data analysis, description of necessary de-identification procedures, and (when required) a copy of the privacy certificate and informed consent protocols.

The plan should be one or two pages in length and include the level of effort associated with meeting archiving requirements.

Note that recipients are strongly encouraged to submit required data sets at least 90 days before the end of the period of performance.

Disclosures and Assurances

The applicant will address the following disclosures and assurances.

Disclosure of Lobbying Activities

Complete and submit the SF-LLL in Grants.gov. See the <u>OJP Grant Application Resource Guide</u> for additional information.

DOJ Certified Standard Assurances

Review and accept the DOJ Certified Standard Assurances in JustGrants. See the <u>OJP Grant Application</u> <u>Resource Guide</u> for additional information.

Applicant Disclosure of Duplication in Cost Items

Complete the JustGrants web-based Applicant Disclosure of Duplication in Cost Items form. See the <u>OJP Grant</u> <u>Application Resource Guide</u> for additional information.

DOJ Certifications Regarding Lobbying; Debarment, Suspension and Other Responsibility Matters; and Drug-Free Workplace Requirements

Review and accept the DOJ Certified Certifications Regarding Lobbying; Debarment, Suspension and Other Responsibility Matters; Drug-Free Workplace Requirements; and Law Enforcement and Community Policing in JustGrants. See the <u>OJP Grant Application Resource Guide</u> for additional information.

Applicant Disclosure and Justification – DOJ High Risk Grantees (if applicable)

If applicable, submit the DOJ High Risk Disclosure and Justification as an attachment in JustGrants. A DOJ High Risk Grantee is an award recipient that has received a DOJ High Risk designation based on a documented history of unsatisfactory performance, financial instability, management system or other internal control deficiencies, or noncompliance with award terms and conditions on prior awards, or that is otherwise not responsible. See the OJP Grant Application Resource Guide for additional information.

How to Apply

Step 1: The applicant must submit the **SF-424** and an **SF-LLL** in Grants.gov at https://www.grants.gov/web/grants/register.html.

Step 2: The applicant must then submit the **full application**, including attachments, in JustGrants at <u>JustGrants.usdoj.gov</u>.

For additional information, see the "How to Apply" section in the <u>OJP Grant Application Resource Guide</u> and the <u>DOJ Application Submission Checklist.</u>

Submission Dates and Time

The SF-424 and the SF-LLL must be submitted in Grants.gov by 11:59 p.m. eastern time on July 15, 2022.

The full application must be submitted in JustGrants by 8:59 p.m. eastern time on July 22, 2022.

OJP urges applicants to submit their Grants.gov and JustGrants submissions prior to the due dates to allow sufficient time to correct errors and resubmit by the submission deadlines if a rejection notification is received. To be considered timely, the **full application** must be submitted in JustGrants by the JustGrants application deadline.

Experiencing Unforeseen Technical Issues

An applicant that experiences unforeseen SAM.gov, Grants.gov, or JustGrants technical issues beyond its control that prevent application submission by the deadline, must demonstrate all efforts in requesting technical support in order to submit an application by the deadline. Technical support is available via phone and email to the applicable SAM.gov, Grants.gov, or JustGrants support centers or service desks in which an applicant received a ticket number for resolution. If an applicant misses a deadline due to unforeseen technical difficulties, the applicant may request a waiver to submit an application after the deadline. *Note: If an applicant does not submit all the required Grants.gov forms by the Grants.gov deadline, the applicant will not be able to proceed to the JustGrants portion of the application process.*

An applicant experiencing technical difficulties with the following systems must contact the associated support desk indicated below to report the technical issue and receive a tracking number:

- Grants.gov contact the Grants.gov Customer Support Hotline
- SAM.gov contact the <u>SAM Help Desk (Federal Service Desk)</u>
- JustGrants contact the JustGrants Support Desk at JustGrants.Support@usdoj.gov or 833-872-5175

An applicant requesting a waiver to submit a late application must document their request for technical assistance in an email to the OJP Response Center at <u>grants@ncjrs.gov</u> within 24 hours after the application deadline to request approval to submit its application after the deadline. If an applicant has technical issues with Grants.gov, the applicant must contact OJP Response Center within 24 hours of the Grants.gov deadline to request approval to submit after the deadline. However, waiver requests will not be reviewed until after the JustGrants deadline to Data 12 of 18

Page 13 of 18 O-NIJ-2022-171403 allow time for all waivers to be submitted. Waiver requests to submit after the submission deadline must:

- Describe the technical difficulties experienced;
- Include a timeline of the applicant's submission efforts (e.g., what date and time did the error occur, what date and time was action taken to resolve the issue and resubmit; and what date and time did support representatives respond)
- Include an attachment(s) of the complete grant application and all required documentation and material; and
- Include the applicant's Unique Entity Identifier (UEI) and any applicable SAM.gov tracking number(s), Grants.gov Help Desk, and JustGrants Support Desk Ticket Numbers.

OJP will review each request for late submission and required supporting documentation and notify the applicant whether the request has been approved or denied. For more details on the waiver process, OJP encourages applicants to review the "Experiencing Unforeseen Technical Issues" section in the <u>OJP Grant Application</u> Resource Guide.

Application Review Information

Review Process

OJP reviews each application to make sure that the information presented is reasonable, understandable, measurable, and achievable, as well as consistent with the solicitation. For invited applications, OJP reviews for consistency with the invitation letter and invited applicant guidance. See the <u>OJP Grant Application Resource</u> <u>Guide</u> for information on the application review process.

OJP screens applications to ensure they meet the basic minimum requirements prior to conducting peer review. Although specific requirements may vary, the following are common requirements applicable to all solicitations for funding under OJP programs:

- The application must be submitted by an eligible type of applicant.
- The application must request funding within programmatic funding constraints (if applicable).
- The application must be responsive to the scope of the solicitation.
- The application must include all items necessary to meet the basic minimum requirements.
- The application, if submitted by an applicant that is a DOJ High Risk Grantee, or is designated "high risk" by a federal grant-making agency outside of DOJ, must not have been determined by the NIJ Director to pose a substantial risk of program implementation failure, based on 1) the applicant's lack of sufficient progress in addressing required corrective actions necessary for removal of the DOJ High Risk Grantee (or non-DOJ high risk) designation, 2) the nature and severity of the issues leading to or accompanying the DOJ High Risk Grantee (or non-DOJ high risk) designation, and/or 3) the applicant's expected ability to manage grant funds and achieve grant goals and objectives.

In addition, if OJP anticipates that an award will exceed \$250,000 in federal funds, OJP also must review and consider any information about the applicant that appears in the non-public segment of the integrity and performance system accessible through SAM (currently, the Federal Awardee Performance and Integrity Information System, FAPIIS).

Important note on FAPIIS: An applicant, at its option, may review and comment on any information about itself that currently appears in FAPIIS and was entered by a federal awarding agency. OJP will consider such comments by the applicant, in addition to the other information in FAPIIS, in its assessment of the risk posed by the applicant.

Final award decisions for research and statistics programs will be made by the Director of the National Institute of Justice. For purposes of this Guidance for Invited Applications, this "[final] award decisions" provision expressly modifies the "final award decisions" provision in the "Application Review Information" section of the <u>OJP Grant</u> <u>Application Resource Guide</u>.

Federal Award Administration Information

Federal Award Notices

See the OJP Grant Application Resource Guide for information on award notifications and instructions.

Administrative, National Policy, and Other Legal Requirements

If selected for funding, in addition to implementing the funded project consistent with the OJP-approved application, the recipient must comply with all award conditions and all applicable requirements of federal statutes and regulations, including the applicable requirements referred to in the assurances and certifications executed in connection with award acceptance. For additional information on these legal requirements, see the "Administrative, National Policy, and Other Legal Requirements" section in the <u>OJP Grant Application Resource</u> <u>Guide</u>.

Information Technology (IT) Security Clauses

An application in response to this solicitation may require inclusion of information related to information technology security. See the <u>OJP Grant Application Resource Guide</u> for information on information technology security.

General Information about Post-Federal Award Reporting Requirements

In addition to the deliverables described in the "Program Description" section, all award recipients under this solicitation will be required to submit certain reports and data.

<u>Required reports</u>. Award recipients typically must submit quarterly financial reports, semi-annual performance reports, final financial and performance reports, and, if applicable, an annual audit report in accordance with the Part 200 Uniform Requirements or specific award conditions. Future awards and fund drawdowns may be withheld if reports are delinquent. (In appropriate cases, OJP may require additional reports.)

See the <u>OJP Grant Application Resource Guide</u> for additional information on specific post-award reporting requirements, including performance measure data.

Federal Awarding Agency Contact(s)

For OJP contact(s), see the solicitation cover page.

For contact information for Grants.gov and JustGrants, see the solicitation cover page.

Other Information

Freedom of Information and Privacy Act (5 U.S.C. 552 and 5 U.S.C. 552a)

See the <u>OJP Grant Application Resource Guide</u> for information on the Freedom of Information and Privacy Act (5 U.S.C. 552 and 5 U.S.C. 552a).

Provide Feedback to OJP

See the OJP Grant Application Resource Guide for information on how to provide feedback to OJP.

Performance Measures

Objective	Performance Measure(s)	Data Recipient Provides
Conduct research in social and behavioral sciences having clear implications for criminal justice policy and practice in the United States. Conduct research in science, technology, engineering, and/or mathematics having clear implications for criminal or juvenile justice policy and practice in the United States.	Relevance to the needs of the field as measured by whether the project's substantive scope did not deviate from the funded project or any subsequent agency-approved modifications to the scope. Quality of the research as demonstrated by the scholarly products that result in whole or in part from work funded under the NIJ award, such as published, peer- reviewed, scientific journal articles, and/or (as appropriate for the funded project) law review journal articles, book chapter(s) or book(s) in the academic press, technological prototypes, patented inventions, or similar scientific products. Quality of management as measured by such factors as whether significant project milestones were achieved, reporting and other deadlines were met, and costs remained within approved limits.	Quarterly financial reports, semi- annual and final progress reports, and products of the work performed under the NIJ award (including, at a minimum, a final research report). If applicable, an annual audit report. List of citation(s) to al scholarly products that resulted in whole or in part from work funded under the NIJ award. If applicable, each data set that resulted in whole or in part from work funded under the NIJ award.

Application Checklist

This application checklist has been created as an aid in developing an application. The DOJ Application Submission Checklist is another resource.

What an Applicant Must Do:

Prior to registering in Grants.gov

 Confirm your Entity's System Award Management (SAM) Registration Information (see OJP Grant Application Resource Guide)

To register in Grants.gov:

- Acquire an Authorized Organization Representative (AOR) and Grants.gov username and password (see <u>OJP Grant Application Resource Guide</u>) Acquire AOR confirmation from the E-Business Point of Contact (see <u>OJP Grant Application</u>
- **Resource Guide**)

To find the Funding Opportunity:

- Search for the Funding Opportunity in Grants.gov using the opportunity number, Assistance Listing or keyword(s)
- Access the Funding Opportunity and Application Package (see step 7 in the OJP Grant Application **Resource Guide**)
- Sign up for Grants.gov email notifications (optional) (see OJP Grant Application Resource Guide)
- Read <u>Important Notice: Applying for Grants in Grants.gov</u>
 Read OJP policy and guidance on conference approval, planning, and reporting available at https://www.ojp.gov/funding/financialguidedoj/iii-postaward-requirements#6g3y8 (see OJP Grant Application Resource Guide)

Overview of Post-Award Legal Requirements:

 Review the "Overview of Legal Requirements Generally Applicable to OJP Grants and Cooperative Agreements - FY 2022 Awards" in the OJP Funding Resource Center.

Review Scope Requirement:

The federal amount requested is within the allowable limit(s) of \$49,837.00.

Review Eligibility Requirement: See cover page.

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Prepare to submit the Application for Federal Assistance standard form (SF)-424 and Disclosure of Lobbying Activities form (SF-LLL)

- Review Information to complete the Application for Federal Assistance (SF-424) in Grants.gov
- Complete Standard Applicant Information (SF-424 information from Grants.gov)
- Submit the SF-424 and SF-LLL in Grants.gov

After the SF-424 and SF-LLL submission in Grants.gov, receive Grants.gov email notifications that:

- Submission has been received in Grants.gov
- Submission has either been successfully validated or rejected with errors (see OJP Grant Application Resource Guide)

If no Grants.gov receipt validation, or if error notifications are received:

• Contact NIJ or the Grants.gov Customer Support Hotline at 800-518-4726, 606-545-5035, at Grants.gov customer support, or support@grants.gov regarding technical difficulties (see OJP Grant Application Resource Guide)

Receive email notification to complete application in JustGrants

· Proceed to complete Application in JustGrants

Content of Application Submission

- Proposal Abstract
- Proposal Narrative

Budget and Associated Documentation

- Budget Worksheet and Budget Narrative (attachment)
- Indirect Cost Rate Agreement (if applicable) (see <u>OJP Grant Application Resource Guide</u>)
 Financial Management and System of Internal Controls Questionnaire (see <u>OJP Grant Application</u>) Resource Guide)
- Disclosure of Process related to Executive Compensation (see OJP Grant Application Resource Guide

Additional Application Components

- Tribal Authorizing Resolution (if applicable) (see OJP Grant Application Resource Guide)
- Research and Evaluation Independence and Integrity (see OJP Grant Application Resource Guide)
- Request and Justification for Employee Compensation; Waiver (if applicable) (see OJP Grant **Application Resource Guide**)

Disclosures and Assurances

- Disclosure of Lobbying Activities (SF-LLL) (see OJP Grant Application Resource Guide)
- Applicant Disclosure of Duplication in Cost Items (see OJP Grant Application Resource Guide)
- DOJ Certified Standard Assurances (see OJP Grant Application Resource Guide)

- DOJ Certifications Regarding Lobbying; Debarment, Suspension and Other Responsibility Matters; and Drug-Free Workplace Requirements (see <u>OJP Grant Application Resource Guide</u>)
- Applicant Disclosure and Justification DOJ High Risk Grantees (if applicable) (see <u>OJP Grant</u> <u>Application Resource Guide</u>)

Submit application in JustGrants:

Application has been successfully submitted in JustGrants

If no JustGrants application submission validation or if error notifications are received:

Contact <u>JustGrants.Support@usdoj.gov</u> or 833–872–5175 regarding technical difficulties.

Endnotes

[1] DuBois, W.E.B. (1899). The Philadelphia Negro; a Social Study. Philadelphia: Published for the University.

[2] Kovera, M. B. (2019). Racial Disparities in the Criminal Justice System: Prevalence, Causes, and a Search for Solutions. *Journal of Social Issues*, *75*(4), 1139–1164. Ellen A. Donnelly (2017). Racial disparity reform: racial inequality and policy responses in US national politics, *Journal of Crime and Justice*, 40:4, 462-477, DOI: 10.1080/0735648X.2016.1176950. Alexander, M. (2010). *The New Jim Crow: Mass Incarceration in the Age of Colorblindness*. New York: The New Press. Horowitz, J. and Utada, C. (2018). *Community Supervision Marked by Racial and Gender Disparities*. The Pew Charitable Trusts.

[3] Franklin, T. W. (2018). The state of race and punishment in America: Is justice really blind? *Journal of Criminal Justice*, *59*(SI), 18–28. Kovera, M. B. (2019). Racial Disparities in the Criminal Justice System: Prevalence, Causes, and a Search for Solutions. *Journal of Social Issues*, *75*(4), 1139–1164. Dragomir, R. R., & Tadros, E. (2020). Exploring the Impacts of Racial Disparity Within the American Juvenile Justice System. *Juvenile & Family Court Journal*, *71*(2), 61–73.

[4] Morgan and Truman (2020). *Criminal Victimization*, 2019. U.S. Department of Justice, Office of Justice Programs, Bureau of Justice Statistics, NCJ 255113. Warnken, H. and Lauritsen, J. (2019). Who Experiences Violent Victimizations and Who Accesses Services? Findings from the National Crime Victimization Survey for Expanding Our Reach. Center for Victim Research. *Crime in the United States*, 2019. U.S. Department of Justice, Federal Bureau of Investigation, Criminal Justice Information Services Division. <u>https://ucr.fbi.gov/crime-in-the-u.s/2019/crime-in-theu.s.-2019/tables/table-43/#overview</u>. Violence Policy Center (2020). *Black Homicide Victimization in the United States. An Analysis of 2017 Homicide Data*.

[5] The Challenge of Crime in a Free Society, A Report by the President's Commission on Law Enforcement and Administration of Justice. United States Government Printing Office Washington, D.C., February 1967. Ellen A. Donnelly (2017). Racial disparity reform: racial inequality and policy responses in US national politics, Journal of Crime and Justice, 40:4, 462-477, DOI: 10.1080/0735648X.2016.1176950

[6] See for example Criminology and Public Policy 19(4).

[7] https://cnsmaryland.org/2020/12/08/the-legislative-legacies-of-the-george-floyd-and-breonna-taylor-protests/

[8] https://nij.ojp.gov/taxonomy/term/30301